# Block-Göttsche invariants from GW invariants 

Pierrick Bousseau<br>Imperial College London<br>Tuesday 20 June 2017

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## Introduction

## Goal

Relate some virtual counts of complex algebraic curves in complex toric surfaces to some refined counts of tropical curves in $\mathbb{R}^{2}$.

How is that not off-topic?

- In some cases, refined counts of tropical curves are [Stoppa, Filippini] examples of refined DT invariants of quivers (in the sense of Sven's talk).
- Should think of the complex torus $\left(\mathbb{C}^{*}\right)^{2}$ in the toric surface as a silly example of character variety and cluster variety.
- Should be part of a more general story relating DT theory and holomorphic curves in complex integrable systems (including moduli spaces of Higgs bundles) (in a way compatible with Dylan's talk).


## Curves in toric surfaces

Projective toric surface: $\left(\mathbb{C}^{*}\right)^{2}$-equivariant compactification of $\left(\mathbb{C}^{*}\right)^{2}$. Consider curves with prescribed number of intersection points with each toric divisor and prescribed multiplicities of these intersection points.


## Curves in toric surfaces

The same information (a toric surface, number of intersection points and multiplicities of intersection points) is contained in a collection
$\Delta=\left\{v_{1}, \ldots, v_{r}\right\}$ of vectors in $\mathbb{Z}^{2}$ summing to zero.

- Rays $\mathbb{R}_{\geq 0} v_{i}$ define the fan of a toric surface $X_{\Delta}$.
- Vectors $v_{i}$ generating the same ray define intersection point with the dual toric divisor.
- Divisibility of vectors $v_{i}$ in $\mathbb{Z}^{2}$ defines the multiplicity the corresponding intersection point.
A curve in $X_{\Delta}$ satisfying the intersection and tangency constraints specified by $\Delta$ is said to be of type $\Delta$.
Fix $n$ general points $P=\left\{P_{1}, \ldots, P_{n}\right\}$ in $\left(\mathbb{C}^{*}\right)^{2}$. Denote $g_{\Delta, n}=n+1-r$.
Let $N^{\Delta, n} \in \mathbb{N}$ be the number of genus $g_{\Delta, n}$ curves of type $\Delta$ passing through $P$.


## Unrefined correspondence theorem

## Theorem

[Mikhalkin, Nishinou-Siebert] For every $\Delta$ and $n$ as above, and $p=\left\{p_{1}, \ldots, p_{n}\right\}$ a collection of $n$ general points in $\mathbb{R}^{2}$, we have

$$
N^{\Delta, n}=N_{\text {trop }}^{\Delta, p},
$$

where

$$
N_{\text {trop }}^{\Delta, p}:=\sum_{\left(h: \Gamma \rightarrow \mathbb{R}^{2}\right) \in T_{g_{\Delta, n}, p}} m(h),
$$

where $T_{g_{\Delta, n}, p}$ is the set of genus $g_{\Delta, n}$ tropical curves of type $\Delta$ in $\mathbb{R}^{2}$, and where $m(h)$ is the multiplicity of $h$.

## Tropical curves in $\mathbb{R}^{2}$

- Graph $\Gamma$ and a map $h: \Gamma \rightarrow \mathbb{R}^{2}$. Edges are mapped to straight lines of rational slopes.
- Vertices $V$ are decorated by a nonnegative integer $g(V)$, the genus of $V$. Define the genus of the tropical curve $h$ by

$$
g(h):=g_{\Gamma}+\sum_{V} g(V)
$$

where $g_{\Gamma}$ is the genus of the graph $\Gamma$.

- Edges $E$ are decorated by a positive integer $w(E)$, the weight of $E$.
- Balancing condition at the vertices.
- Type $\Delta$ : fix the directions and the weights of the unbounded edges.


## Tropical curves in $\mathbb{R}^{2}$

Fix $p=\left\{p_{1}, \ldots, p_{n}\right\}$ a collection of $n$ general points in $\mathbb{R}^{2}$.
Let $T_{g_{\Delta, n}, p}$ be the set of genus $g_{\Delta, n}$ tropical curves of type $\Delta$ in $\mathbb{R}^{2}$. This set is finite and for every $\left(h: \Gamma \rightarrow \mathbb{R}^{2}\right) \in T_{g_{\Delta, n}, p}$, the graph $\Gamma$ is trivalent and has vertices of genus zero.


Let $u_{E_{1}}, u_{E_{2}}, u_{E_{3}}$ be the primitive vectors in $\mathbb{Z}^{2}$ in the directions of the edges $E_{1}, E_{2}, E_{3}$ and going out of the vertex. Balancing condition:
$w\left(E_{1}\right) u_{E_{1}}+w\left(E_{2}\right) u_{E_{2}}+w\left(E_{3}\right) u_{E_{3}}=0$.
Multiplicity of a trivalent vertex: $m(V):=w\left(E_{1}\right) w\left(E_{2}\right)\left|\operatorname{det}\left(u_{E_{1}}, u_{E_{2}}\right)\right|$.
Multiplicity of $h: m(h):=\Pi_{V} m(V)$.

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## Tropicalization

For $\hbar>0$, consider

$$
\begin{gathered}
\pi_{\hbar}:\left(\mathbb{C}^{*}\right)^{2} \rightarrow \mathbb{R}^{2} \\
\left(z_{1}, z_{2}\right) \mapsto\left(\hbar \log \left|z_{1}\right|, \hbar \log \left|z_{2}\right|\right)
\end{gathered}
$$

Fix $p=\left\{p_{1}, \ldots, p_{n}\right\}$ collection of $n$ general points in $\mathbb{R}^{2}$.
Define $P_{i}(\hbar)=e^{\frac{p_{i}}{\hbar}} \in\left(\mathbb{C}^{*}\right)^{2}, i=1, \ldots, n$. We have $\pi_{h}\left(P_{i}(\hbar)\right)=p_{i}$. Let $C(\hbar)$ be a family of curves in $\left(\mathbb{C}^{*}\right)^{2}$ passing through $P_{i}(\hbar)$. The image $\pi_{h}(C(\hbar))$ of $C(\hbar)$ is a complicated shape in $\mathbb{R}^{2}$ called an amoeba.
For $\hbar \rightarrow 0$, the amoeba retracts on a tropical curve.

## Refined tropical count

Refined multiplicity of a vertex $V$ of multiplicity $m(V)$ :

$$
[m(V)]_{q}:=\frac{q^{\frac{m(V)}{2}}-q^{-\frac{m(V)}{2}}}{q^{\frac{1}{2}}-q^{-\frac{1}{2}}}=q^{-\frac{m(V)-1}{2}}\left(1+q+\cdots+q^{m(V)-1}\right)
$$

Refined multiplicity of a trivalent tropical curve $h: \Gamma \rightarrow \mathbb{R}^{2}$,

$$
\prod_{V}[m(V)]_{q}
$$

Define [Block-Göttsche]

$$
N_{\text {trop }}^{\Delta, p}(q):=\sum_{\left(h: \Gamma \rightarrow \mathbb{R}^{2}\right) \in T_{g_{\Delta, n}, p}} \prod_{V}[m(V)]_{q}
$$

Unrefined limit: $N_{\text {trop }}^{\Delta, p}(q=1)=N_{\text {trop }}^{\Delta, p}$.
Remarkable property [Itenberg-Mikhalkin]: independent of general $p$.

## Question

- Meaning of the refined tropical count $N_{\text {trop }}^{\Delta, p}(q)$ from the point of view of the complex geometry of the toric surface $X_{\Delta}$ ?
- Geometric meaning of the extra variable $q$ ?
- Göttsche-Shende conjecture: refinement from some topological Euler characteristic to some Hirzebruch genus.
- This talk: different point of view, via Gromov-Witten theory.


## Gromov-Witten theory

- Stable maps: map $f: C \rightarrow X_{\Delta}$ from a nodal curve $C$ such that $|\operatorname{Aut}(f)|$ is finite.
- We have [Mandel-Ruddat]

$$
N^{\Delta, n}:=\int_{\left[\bar{M}_{g_{\Delta, n}, n, \Delta}^{\log }\right]^{\text {virt }}} \prod_{i=1}^{n} \mathrm{ev}_{i}^{*}(\mathrm{pt})
$$

- Technical aspects: virtual fundamental class, logarithmic theory [Abramovich-Chen-Gross-Siebert] to interact nicely with the toric divisors.
- Question: how to find a parameter $q$ from this Gromov-Witten theory point of view?


## Lambda classes

- Idea: consider curves of genus $g \geq g_{\Delta, n}$.
- Problem: the moduli space $\bar{M}_{g_{\Delta, n}, n, \Delta}^{\log }$ has (virtual) dimension $g-g_{\Delta, n}$. It does not make sense to try to count these curves.
- Idea corrected: insert a cohomology class of (complex) degree

$$
g-g_{\Delta, n}
$$

- $\pi: C \rightarrow M$ a family of genus $g$ nodal curves, Hodge bundle $E$ whose fiber at $C$ is $H^{0}\left(C, \omega_{C}\right)$. It is a rank $g$ vector bundle. Lambda classes are Chern classes of the Hodge bundle:

$$
\lambda_{j}:=c_{j}(E)
$$

$j=0, \ldots, g$.

## GW invariants

For every $g \geq g_{\Delta, n}$, define

$$
N_{g}^{\Delta, n}:=\int_{\left[\bar{M}_{g, n, \Delta}^{\log }\right]^{\text {virt }}}(-1)^{g-g_{\Delta, n}} \lambda_{g-g_{\Delta, n}} \prod_{i=1}^{n} \operatorname{ev}_{i}^{*}(\mathrm{pt}) \in \mathbb{Q}
$$

## Main result: refined correspondence theorem

## Theorem [-]

For every $\Delta$ and $n$, we have

$$
\sum_{g \geq g_{\Delta, n}} N_{g}^{\Delta, n} u^{2 g-2+r}=N_{\text {trop }}^{\Delta, p}(q)\left((-i)\left(q^{\frac{1}{2}}-q^{-\frac{1}{2}}\right)\right)^{2 g_{\Delta, n}-2+r}
$$

of power series in $u$ with rational coefficients, where

$$
q=e^{i u}=\sum_{n \geq 0} \frac{(i u)^{n}}{n!}
$$

## Remarks

- Analogue results for K3 and abelian surfaces (involving the Göttsche-Shende refinement).
- Previous talks, several ways to interpret the variable $q$ : number of elements in a finite field, variable keeping track of some cohomological information. In the previous theorem, completely different way to interpret this variable $q$ : write $q=e^{i u}$, expand in power series, get a genus expansion.

Thank you for your attention!

