

Causal structure of black holes in generalized scalar-tensor theories

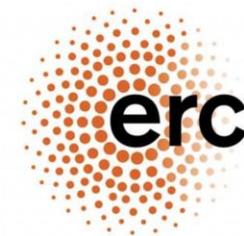
Nicola Franchini

Gravitational Waves, Black Holes and Fundamental Physics

15 Jan 2020 – IFPU, Trieste, Italy

Based on the work made in collaboration with Robert Benkel, Mehdi Saravani and Thomas Sotiriou.

[arXiv: 1806.0821](https://arxiv.org/abs/1806.0821)



European Research Council

Established by the European Commission

ERC-2018-COG GRAMS 815673

Alternatives to general relativity

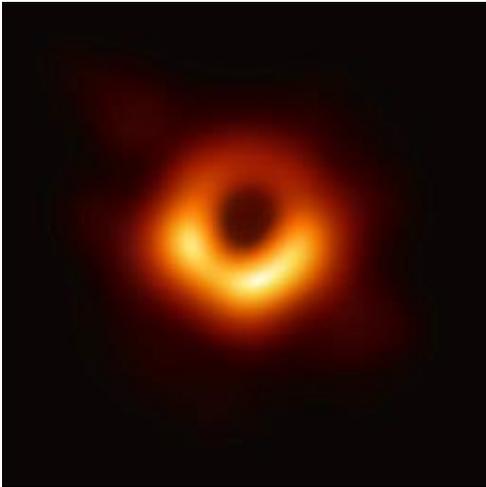
Motivations for modified gravity:

- Ignorance of the strong-field regime
- No theory of quantum-gravity
- Hope to explain the dark content of the universe

Black holes: bald or hairy?

BH in general relativity:

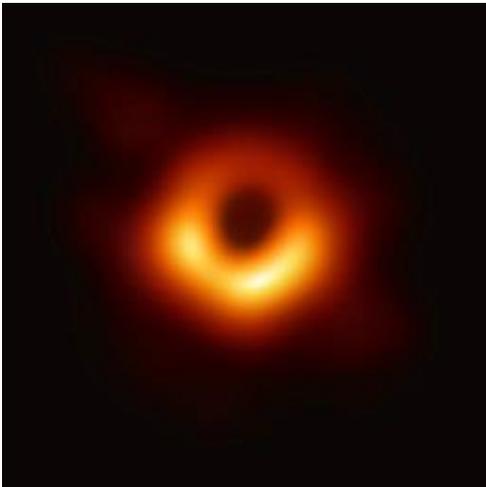
- Mass M
- Spin J



Black holes: bald or hairy?

BH in general relativity:

- Mass M
- Spin J



BH in modified gravity:

- Mass M
- Spin J
- Additional hair? q_s



Image credit: Mario Herrero Valea

Penrose process

Extraction of angular momentum from a spinning black hole

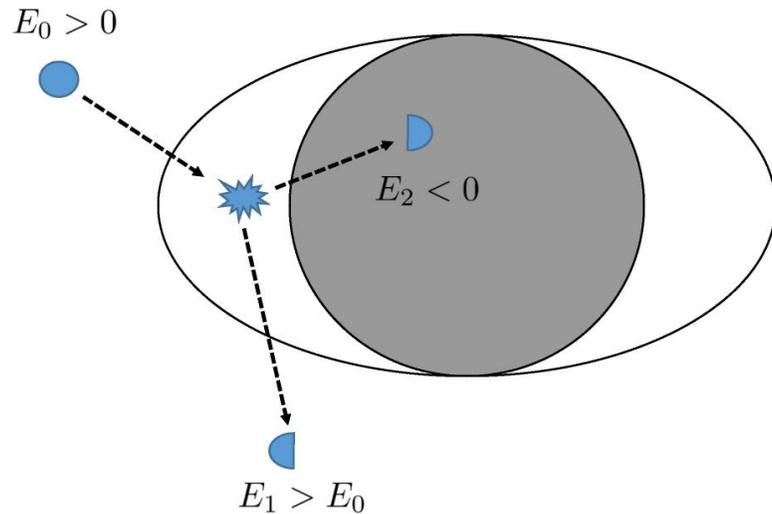


Image credit: Brito, et al. 2015

Mechanism to mine spin and energy from a BH

Evolves a Kerr BH to a Schwarzschild BH

Is there an analogue natural way to extract “hair” from a hairy BH?

Analogue Penrose process

Let's take Lorentz-violating BHs Blas, et al. 2011, Barausse et al. 2011, 2013

- Spin-0,1,2 modes propagating at speed $> c$ $g_{(s)}^{\mu\nu}$
- Killing horizon for each mode $\chi^\mu \longrightarrow g_{\mu\nu} \chi^\mu \chi^\nu = 0$
- Conjecture of analogue of Penrose process: used as “hair extraction”

Benkel, et al. 2018

Superluminality

Not only in Lorentz-violating gravity

Common feature with self-interacting scalar Babichev, et al. 2008

We analyse for the most general theory of scalar + metric

Do BHs in Horndeski gravity admit different horizons for metric and scalar perturbations?

Horndeski gravity

Horndeski 1974
Deffayet 2009
Kobayashi 2011

Lagrangian (most general yielding 2 order EoMs)

$$\mathcal{L}_H = \sum_{i=2}^5 \mathcal{L}_i$$

$$\mathcal{L}_2 = G_2(\phi, X)$$

$$\mathcal{L}_3 = -G_3(\phi, X)\square\phi$$

$$\mathcal{L}_4 = G_4(\phi, X)R + G_{4X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2]$$

$$\mathcal{L}_5 = G_5(\phi, X)G_{\mu\nu}\nabla^\mu\nabla^\nu\phi - \frac{G_{5X}}{6} [(\square\phi)^3 - 3\square\phi(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3]$$

with

$$X = -\frac{1}{2}\nabla_\mu\phi\nabla^\mu\phi$$

Scalar effective metric

Spacetime metric $g_{\mu\nu}$

Effective metric for scalar perturbations $\phi = \varphi + \delta\phi$

$$\delta^2 \mathcal{L}_H = f^{\mu\nu} \nabla_\mu \delta\phi \nabla_\nu \delta\phi$$

Scalar effective metric

Spacetime metric $g_{\mu\nu}$

Effective metric for scalar perturbations $\phi = \varphi + \delta\phi$

$$\delta^2 \mathcal{L}_H = f^{\mu\nu} \nabla_\mu \delta\phi \nabla_\nu \delta\phi$$

Very complicated form

$$f_{(2)}^{\mu\nu} = -G_{2X} g^{\mu\nu} + G_{2XX} \nabla^\mu \varphi \nabla^\nu \varphi$$

$$f_{(3)}^{\mu\nu} = \dots \quad f_{(4)}^{\mu\nu} = \dots \quad f_{(5)}^{\mu\nu} = \dots$$

Killing horizon of the effective metric

We assume stationarity

- For the metric: the horizon \mathcal{H} is a Killing horizon $g_{\mu\nu}\chi^\mu\chi^\nu = 0$
- For the scalar field $\chi^\mu\nabla_\mu\varphi = 0$

Does \mathcal{H} act as a horizon for the effective metric too?

$$f_{\mu\nu}^{-1}\chi^\mu\chi^\nu = 0$$

Killing horizon of the effective metric

$$\begin{aligned} g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0 \end{aligned}$$

$f_{\mu\nu}^{-1}\chi^\mu\chi^\nu|_{\mathcal{H}} = 0$ is equivalent to

- $f^{\mu\nu}\chi_\mu\chi_\nu|_{\mathcal{H}} = 0$
- $f^{\mu\nu}\chi_\mu|_{\mathcal{H}} = q\chi^\nu$

We are proving that \mathcal{H} is a null surface for the effective metric

Killing horizon of the effective metric

$$g_{\mu\nu}\chi^\mu\chi^\nu = 0$$
$$\chi^\mu\nabla_\mu\varphi = 0$$

$f_{\mu\nu}^{-1}\chi^\mu\chi^\nu|_{\mathcal{H}} = 0$ is equivalent to

- $f^{\mu\nu}\chi_\mu\chi_\nu|_{\mathcal{H}} = 0$

- $f^{\mu\nu}\chi_\mu|_{\mathcal{H}} = q\chi^\nu$

We are proving that \mathcal{H} is a null surface for the effective metric

Killing horizon of the effective metric

$$\begin{aligned}g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0\end{aligned}$$

We decompose $f^{\mu\nu}\chi_\mu$ into

$$g^{\mu\nu}\chi_\mu \quad \nabla^\mu\varphi B^\nu\chi_\mu \quad \nabla^\mu\nabla^\nu\varphi\chi_\mu \quad R^{\mu\nu}\chi_\mu \quad R^{\mu\rho\nu\sigma}\chi_\mu T_{\rho\sigma}$$

Killing horizon of the effective metric

$$\begin{aligned} g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0 \end{aligned}$$

We decompose $f^{\mu\nu}\chi_\mu$ into

$$g^{\mu\nu}\chi_\mu \quad \nabla^\mu\varphi B^\nu\chi_\mu \quad \nabla^\mu\nabla^\nu\varphi\chi_\mu \quad R^{\mu\nu}\chi_\mu \quad R^{\mu\rho\nu\sigma}\chi_\mu T_{\rho\sigma}$$



$$\chi^\nu$$

Killing horizon of the effective metric

$$\begin{aligned} g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0 \end{aligned}$$

We decompose $f^{\mu\nu}\chi_\mu$ into

$$g^{\mu\nu}\chi_\mu \quad \cancel{\nabla^\mu\varphi B^\nu\chi_\mu} \quad \nabla^\mu\nabla^\nu\varphi\chi_\mu \quad R^{\mu\nu}\chi_\mu \quad R^{\mu\rho\nu\sigma}\chi_\mu T_{\rho\sigma}$$

\downarrow

$$\chi^\nu$$

Killing horizon of the effective metric

$$\begin{aligned} g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0 \end{aligned}$$

We decompose $f^{\mu\nu}\chi_\mu$ into

$$g^{\mu\nu}\chi_\mu \quad \cancel{\nabla^\mu\varphi B^\nu\chi_\mu} \quad \underbrace{\nabla^\mu\nabla^\nu\varphi\chi_\mu \quad R^{\mu\nu}\chi_\mu \quad R^{\mu\rho\nu\sigma}\chi_\mu T_{\rho\sigma}}_{\text{Proportional to } \chi^\nu \text{ on } \mathcal{H}}$$

χ^ν

Proportional to χ^ν on \mathcal{H}

Killing horizon of the effective metric

$$\begin{aligned} g_{\mu\nu}\chi^\mu\chi^\nu &= 0 \\ \chi^\mu\nabla_\mu\varphi &= 0 \end{aligned}$$

We decompose $f^{\mu\nu}\chi_\mu$ into

$$\begin{array}{cccccc} g^{\mu\nu}\chi_\mu & \cancel{\nabla^\mu\varphi B^\nu} & \chi_\mu & \nabla^\mu\nabla^\nu\varphi\chi_\mu & R^{\mu\nu}\chi_\mu & R^{\mu\rho\nu\sigma}\chi_\mu T_{\rho\sigma} \\ \downarrow & & & \underbrace{\hspace{15em}} & & \\ \chi^\nu & & & & & \end{array}$$

Proportional to χ^ν on \mathcal{H}

$$f^{\mu\nu}\chi_\mu|_{\mathcal{H}} = q\chi^\nu$$

Killing horizon of the effective metric

$$f^{\mu\nu} \chi_\mu|_{\mathcal{H}} = q \chi^\nu$$

The effective metric always shares the Killing horizon with the spacetime metric, under stationarity, for every Horndeski theory

Counterexample when stationarity assumption is violated (shown if I have time)

Conclusions

- Scalar mode Killing horizon always lies on the metric, for every Horndeski theory, assuming stationarity
- No analogue of Penrose process is found
- Superluminality does not threaten the stability of BHs in Horndeski
- Counterexample when stationarity assumption is violated

Thanks for the attention =D

Time-dependent counterexample

We take a simple lagrangian

$$\mathcal{L}_K = G_2(X) = X + \frac{1}{2}\alpha X^2$$

The effective metric reads

$$f^{\mu\nu} = G_{2X}g^{\mu\nu} - G_{2XX}\nabla^\mu\varphi\nabla^\nu\varphi$$

Time-dependent counterexample

With a time dependent scalar field ansatz

$$\varphi = qv + \psi(r)$$


$v = t + r_*$

Solving perturbatively in q for $\psi(r)$, one finds the perturbed effective metric

$$f_{\mu\nu}^{(-1)} dx^\mu dx^\nu \propto \left(-1 + \frac{2M'}{r} \right) dv' + 2 \left[1 - \alpha q^2 M' \frac{4M'^2 + 2M'r + r^2}{r^3} \right] dr dv' + r^2 d\Omega^2$$