

Numerical Study of Davey- Stewartson systems

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with

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Outline

- ♦ Introduction
- ♦ Integrable versus non-integrable cases
- ♦ Semiclassical limit
- ♦ Blow-up in focusing DS II
- ♦ Inverse scattering

Davey-Stewartson equations

- modulation of waves (rigorous justification in the context of water waves, Lannes (2013))

$$\begin{aligned}i\partial_t\psi + a\partial_x^2\psi + b\partial_y^2\psi &= (\nu_1|\psi|^2 + \nu_2\partial_x\phi)\psi, \\ \partial_x^2\phi + c\partial_y^2\phi &= -\delta\partial_x|\psi|^2,\end{aligned}$$

where $a > 0$ and $\delta > 0$.

- Ghidaglia, Saut (1990):
 - elliptic-elliptic if $(\operatorname{sgn} b, \operatorname{sgn} c) = (+1, +1)$,
 - hyperbolic-elliptic if $(\operatorname{sgn} b, \operatorname{sgn} c) = (-1, +1)$,
 - elliptic-hyperbolic if $(\operatorname{sgn} b, \operatorname{sgn} c) = (+1, -1)$,
 - hyperbolic-hyperbolic if $(\operatorname{sgn} b, \operatorname{sgn} c) = (-1, -1)$.
- integrable cases:
 - DS I: elliptic-hyperbolic
 - DS II: hyperbolic-elliptic, $a = 1, b = -1, \delta = 1, \nu_1 = \frac{\nu_2}{2}$, focusing when $\nu_2 > 0$ and defocusing when $\nu_2 < 0$.

Nonlocal NLS equation

- special case (infinite depth): hyperbolic NLS equation:

$$i\partial_t\psi + \partial_{xx}\psi - \partial_{yy}\psi + |\psi|^2\psi = 0$$

global well posedness (Totz 2016)

- DS II: nonlocal hyperbolic NLS equation:

$$i\partial_t\psi + \partial_{xx}\psi - \partial_{yy}\psi + 2\rho\Delta^{-1}[(\partial_{yy} + (1 - 2\beta)\partial_{xx})|\psi|^2]\psi = 0,$$

- integrable for $\beta = 1$:

$$i\partial_t\psi + \square\psi - 2\rho[(\Delta^{-1}\square)|\psi|^2]\psi = 0,$$

where $\square = \partial_{xx} - \partial_{yy}$.

Lump

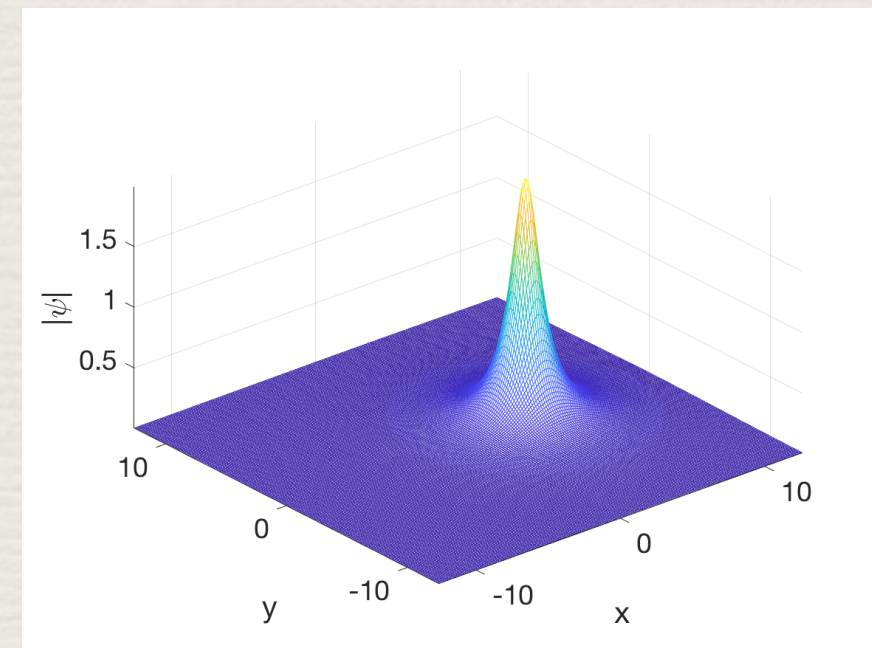
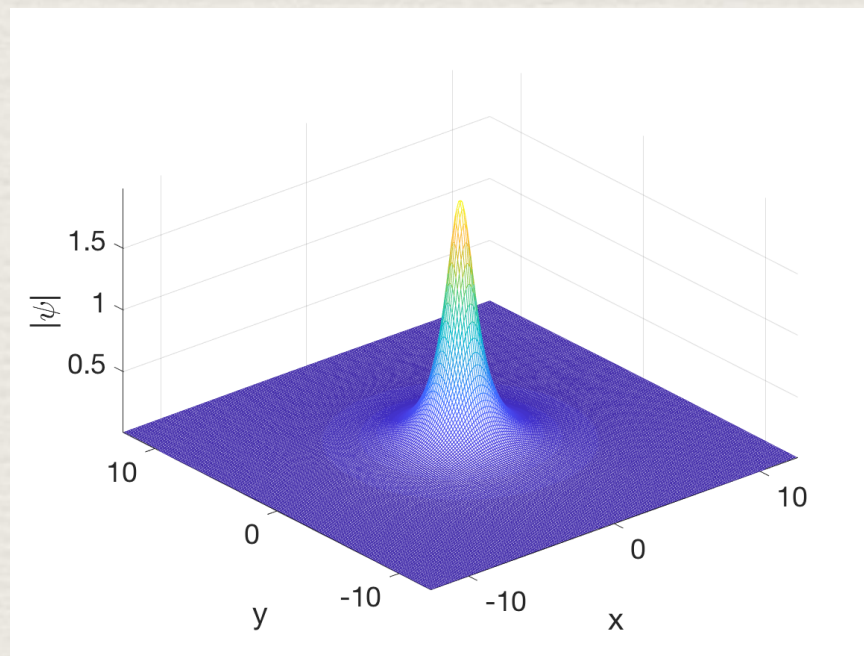
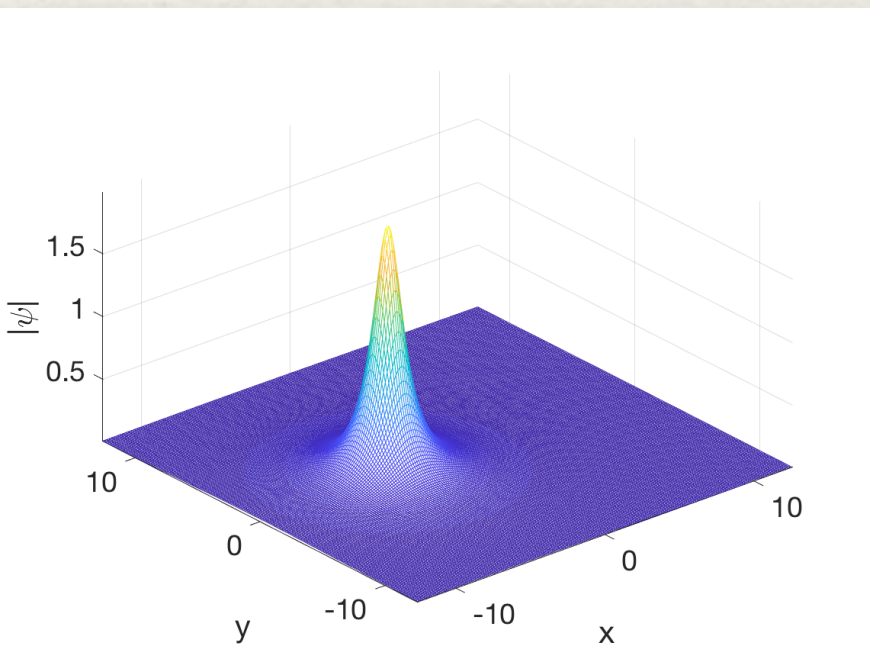
- $$\psi(x, y, t) = 2c \frac{\exp(-2i(\xi x - \eta y + 2(\xi^2 - \eta^2)t))}{|x + 4\xi t + i(y + 4\eta t) + z_0|^2 + |c|^2}$$

where $(c, z_0) \in \mathbb{C}^2$ and $(\xi, \eta) \in \mathbb{R}^2$, constant velocity $(-4\xi, -4\eta)$

$t = -1$

$t = 0$

$t = 1$



$$c = 1, \xi = -1, \eta = 0, z_0 = 0$$

Dynamical rescaling

- scaling invariance: $\psi(x, y, t)$ a solution to DS II, so is $\lambda\psi(x/\lambda, y/\lambda, t/\lambda^2)$ with constant $\lambda \in \mathbb{R}/\{0\}$
- dynamical rescaling

$$X = \frac{x}{L(t)}, \quad Y = \frac{y}{L(t)}, \quad \tau = \int_0^t \frac{dt'}{L^2(t')}, \quad \Psi(\xi, \eta, \tau) = L(t)\psi(x, y, t).$$

Merle, Raphaël (2004)

$$L(t) \propto \sqrt{\frac{t^* - t}{\ln |\ln(t^* - t)|}},$$

t^* : blow-up time.

- pseudoconformal invariance: $\psi(x, y, t)$ a solution to DS II for $t > 0$, so is

$$\tilde{\psi}(x, y, t) = \exp\left(\frac{i(x^2 - y^2)}{4t}\right) \psi\left(\frac{x}{t}, \frac{y}{t}, \frac{1}{t}\right).$$

Ozawa

Theorem:

Let $a, b \in \mathbb{R}$ such that $ab < 0$ and $t^* = -a/b$. Let

$$\psi(x, y, t) = \exp \left(i \frac{b}{4(a + bt)} (x^2 - y^2) \right) \frac{v(X, Y)}{a + bt}$$

where

$$v(X, Y) = \frac{2}{1 + X^2 + Y^2}, \quad X = \frac{x}{a + bt}, \quad Y = \frac{y}{a + bt}$$

Then, ψ is a DS II solution with

$$|\psi(t)|_2 = |v|_2 = 2\sqrt{\pi}$$

and

$$|\psi(t)|^2 \rightarrow 4\pi\delta \text{ in } \mathcal{S}' \quad \text{when } t \rightarrow t^*.$$

where δ is the Dirac measure.

Solution on x -axis

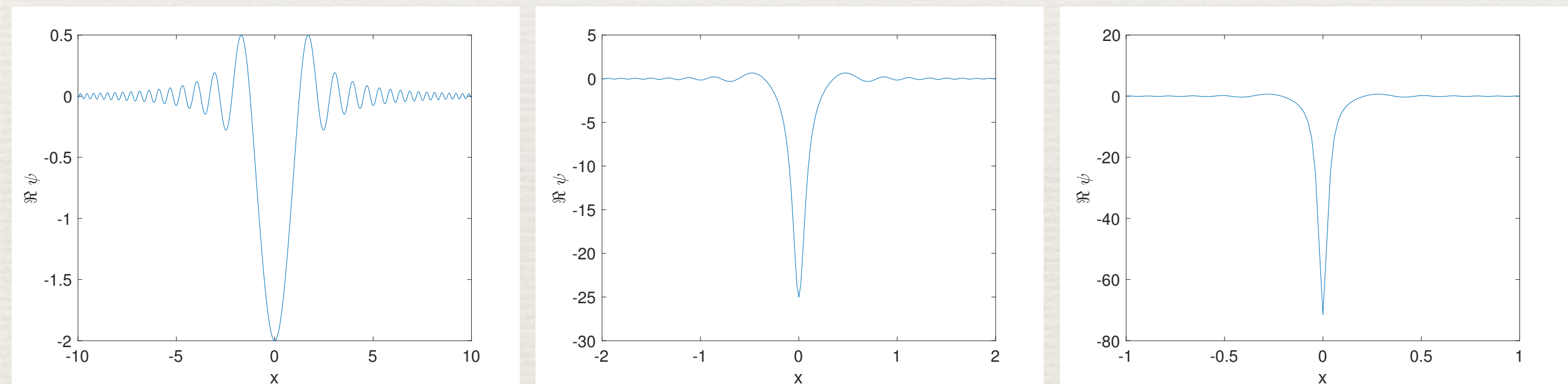


FIGURE 2. Real part of the Ozawa solution (soluo_z) for $a = 1$, $b = -4$ for various values of t : On the left for $t = 0$, on the middle for $t = 0.23$ and on the right for $t = 0.243$ close to the blow-up time $t^* = 0.25$.

Cauchy problem

- **Theorem:** (Sung)

Let $\psi_0 \in \mathcal{S}(\mathbb{R}^2)$. Then the focusing DS II possesses a unique global solution ψ such that the mapping $t \mapsto \psi(\cdot, t)$ belongs to $C^\infty(\mathbb{R}, \mathcal{S}(\mathbb{R}^2))$ if

$$|\widehat{\psi_0}|_1 |\widehat{\psi_0}|_\infty < C,$$

where C is an explicit constant. There is no condition for the defocusing DS II. Global well-posedness for $\hat{\psi}_0 \in L^1(\mathbb{R}^2) \cap L^\infty(\mathbb{R}^2)$ and $\psi_0 \in L^p(\mathbb{R}^2)$ for some $p \in [1, 2),$.

- Perry: generalization to $\psi_0 \in H^{1,1}(\mathbb{R}^2)$.
- Nachman, Regev and Tataru: generalization to $\psi_0 \in L^2(\mathbb{R}^2)$.

Semiclassical limit

- localized initial data varying on length scales of order $1/\epsilon$ for times of order $1/\epsilon$ with $\epsilon \ll 1$: $x \mapsto \epsilon x$, $y \mapsto \epsilon y$, and $t \mapsto \epsilon t$

$$i\epsilon\partial_t\psi + \epsilon^2\partial_{xx}\psi - \epsilon^2\partial_{yy}\psi + 2\rho\Delta^{-1}[(\partial_{yy} + (1 - 2\beta)\partial_{xx})|\psi|^2]\psi = 0,$$

- first numerical studies: White, Weideman (1994), Besse, Mauser, Stimming (2004), McConnel, Fokas, Pelloni (2005)

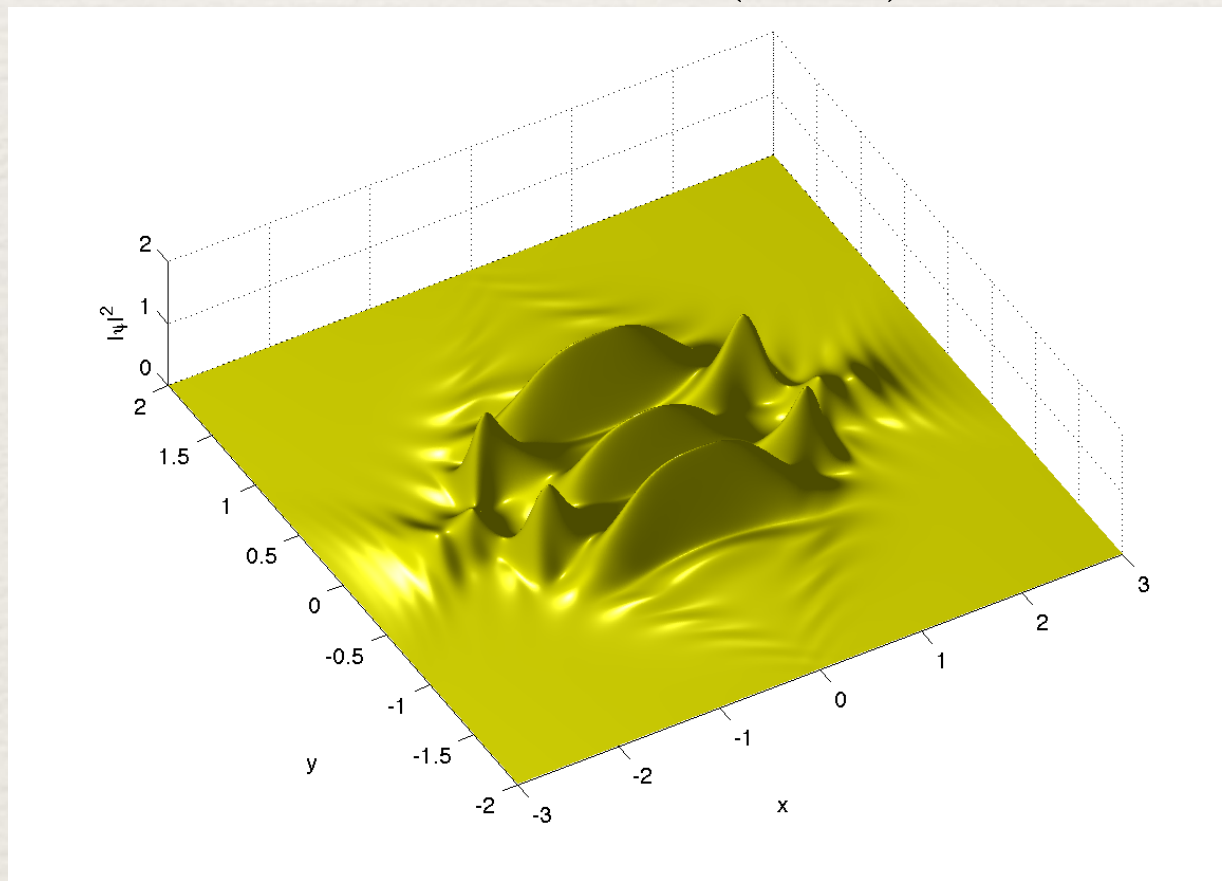
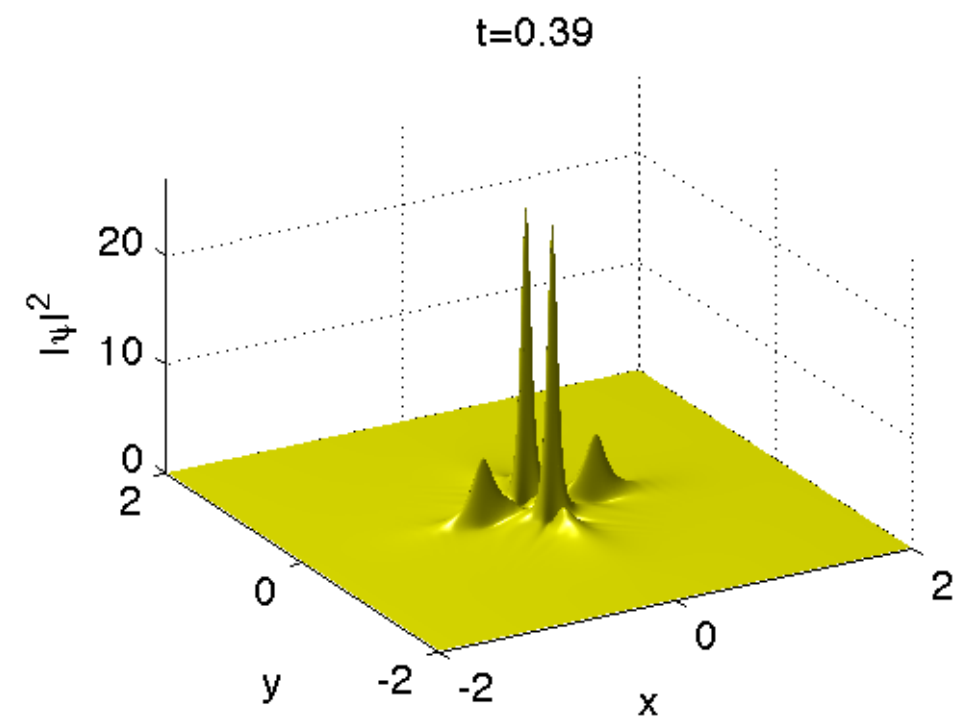
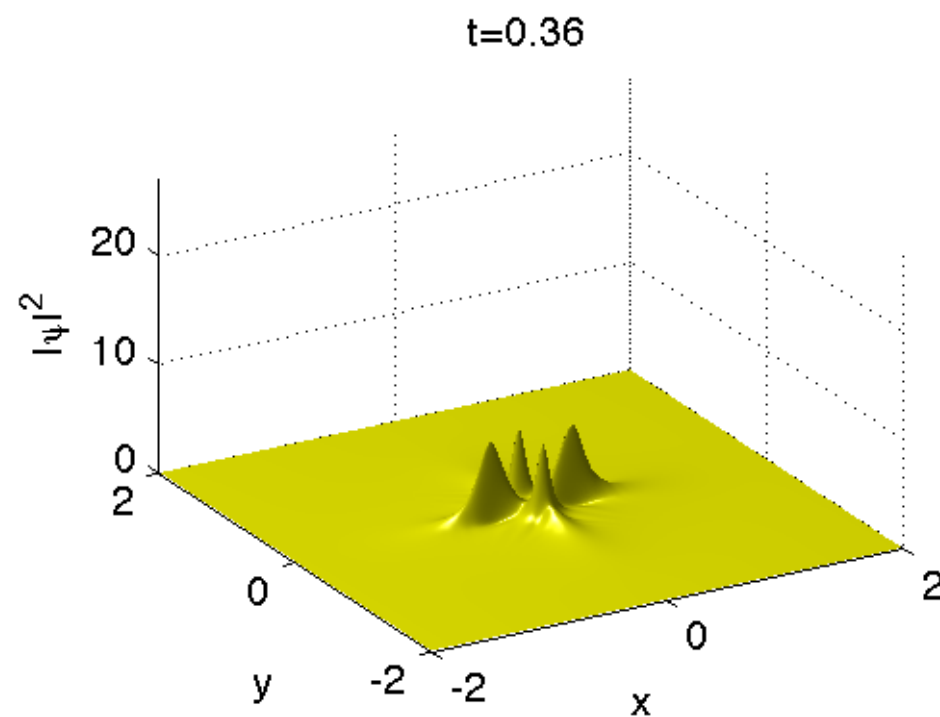
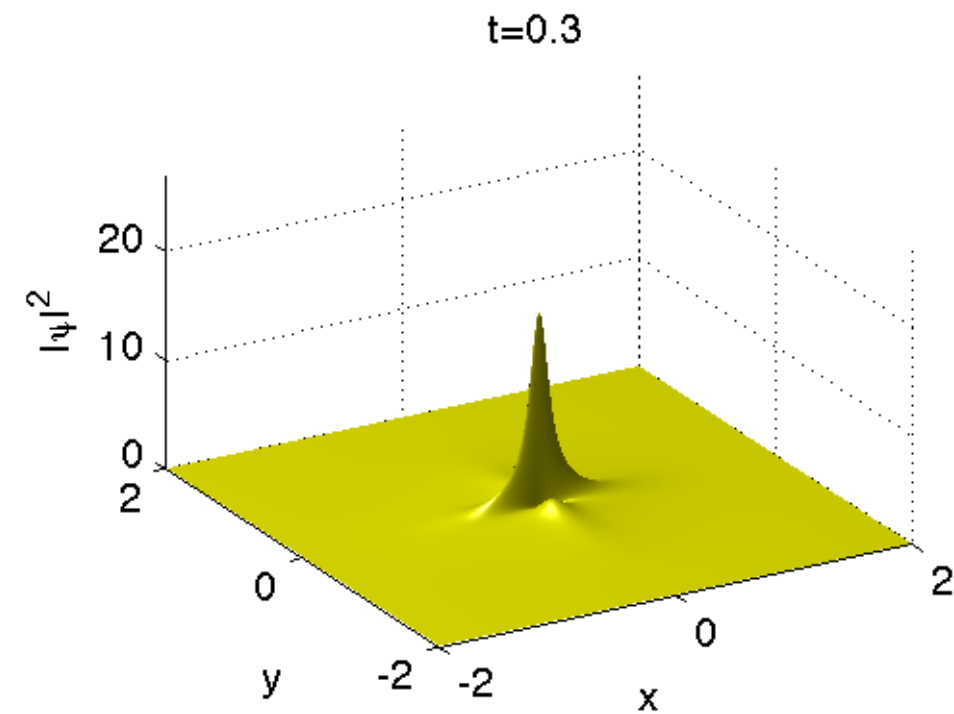
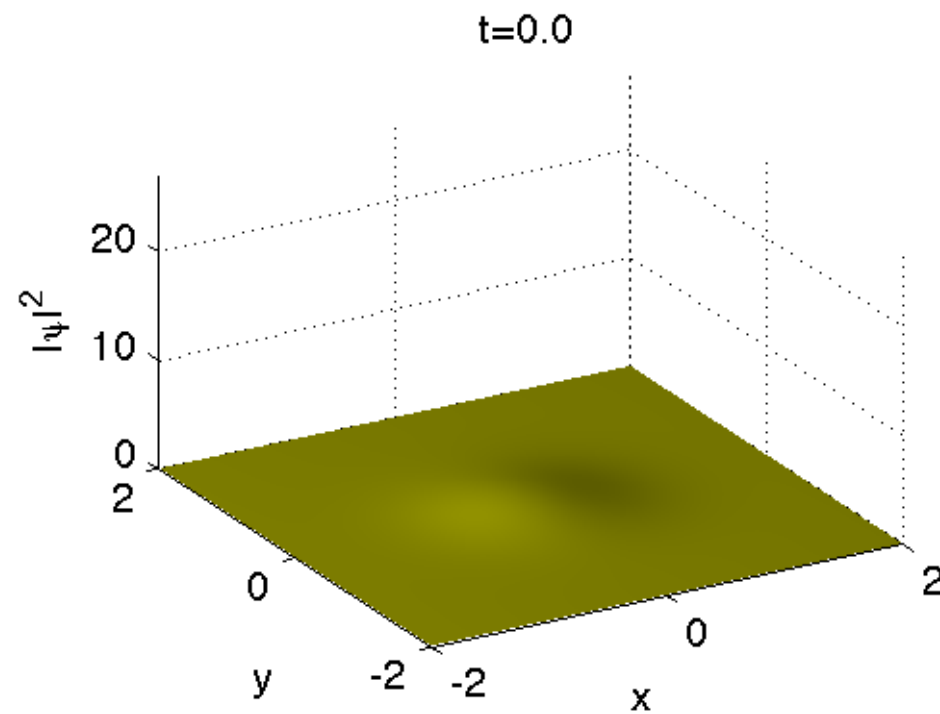
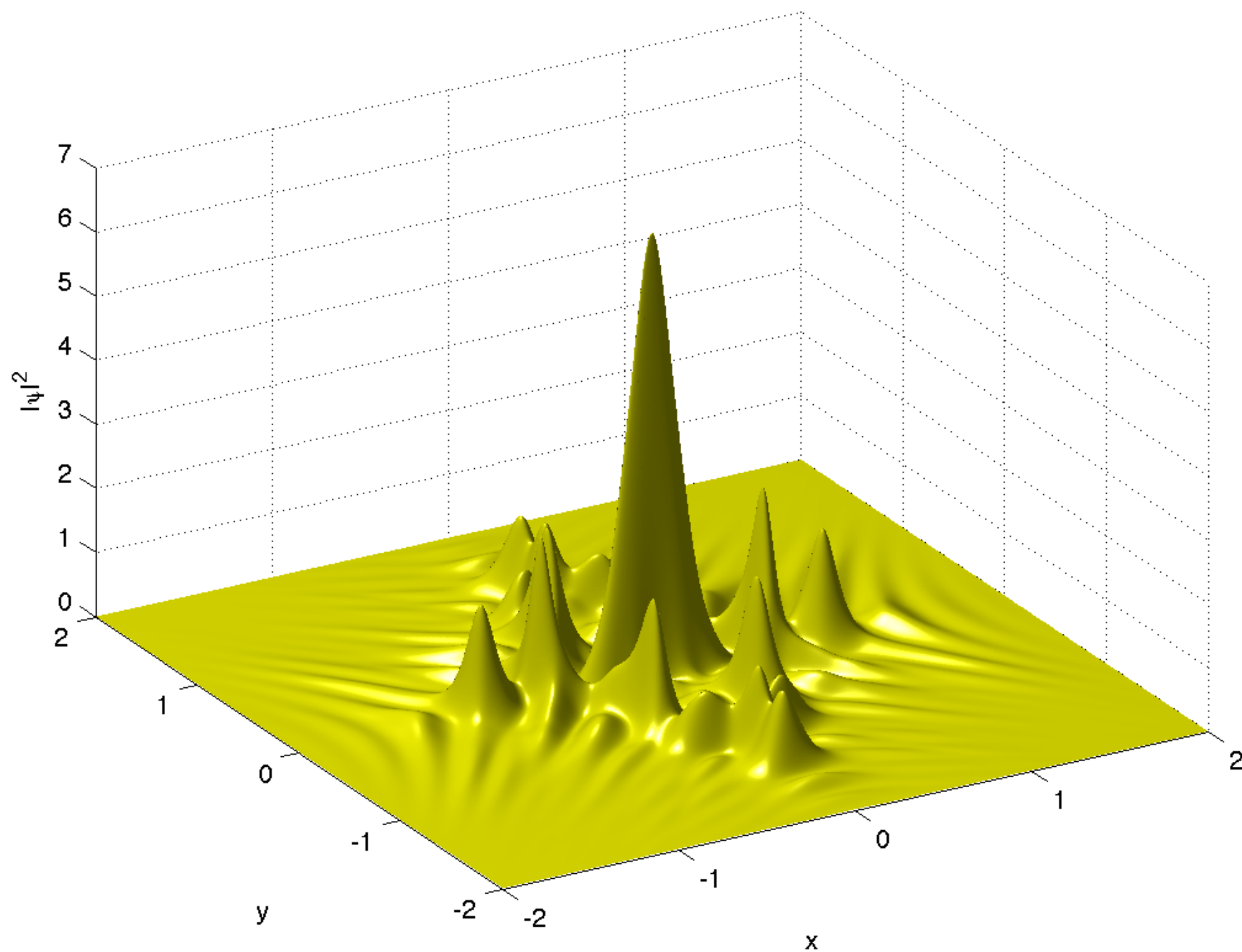


FIGURE 2. Solution to the hyperbolic NLS equation, equation (1) for $\beta = 0$, for the initial data $\psi_0 = \exp(-x^2 - y^2)$ for $\epsilon = 0.1$ at $t = 0.6$.

- Gaussian initial data, $\beta = 0.9$, $\epsilon = 0.1$



- Gaussian initial data, $\beta = 1.1$, $\epsilon = 0.1$, $t = 0.6$

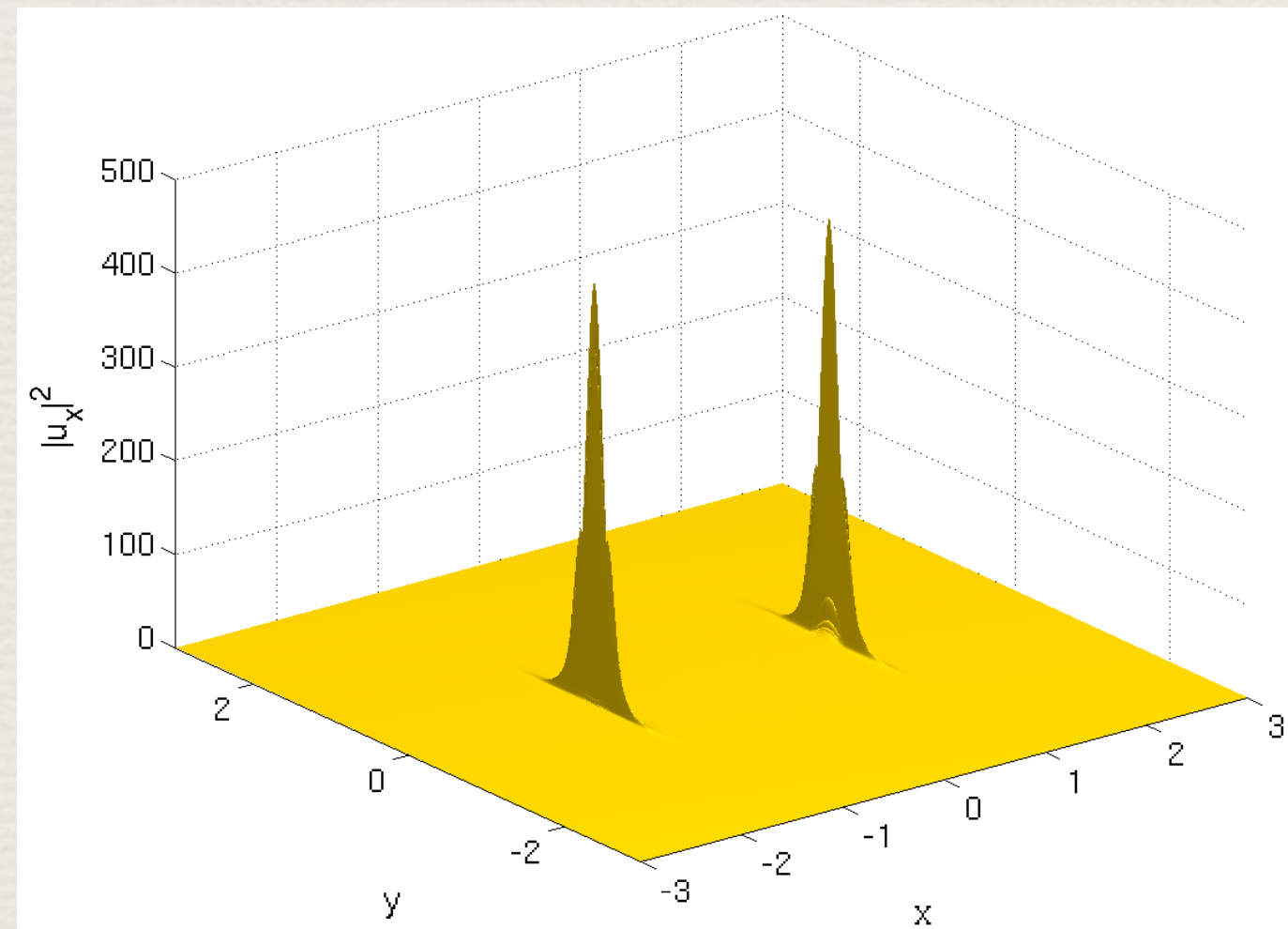
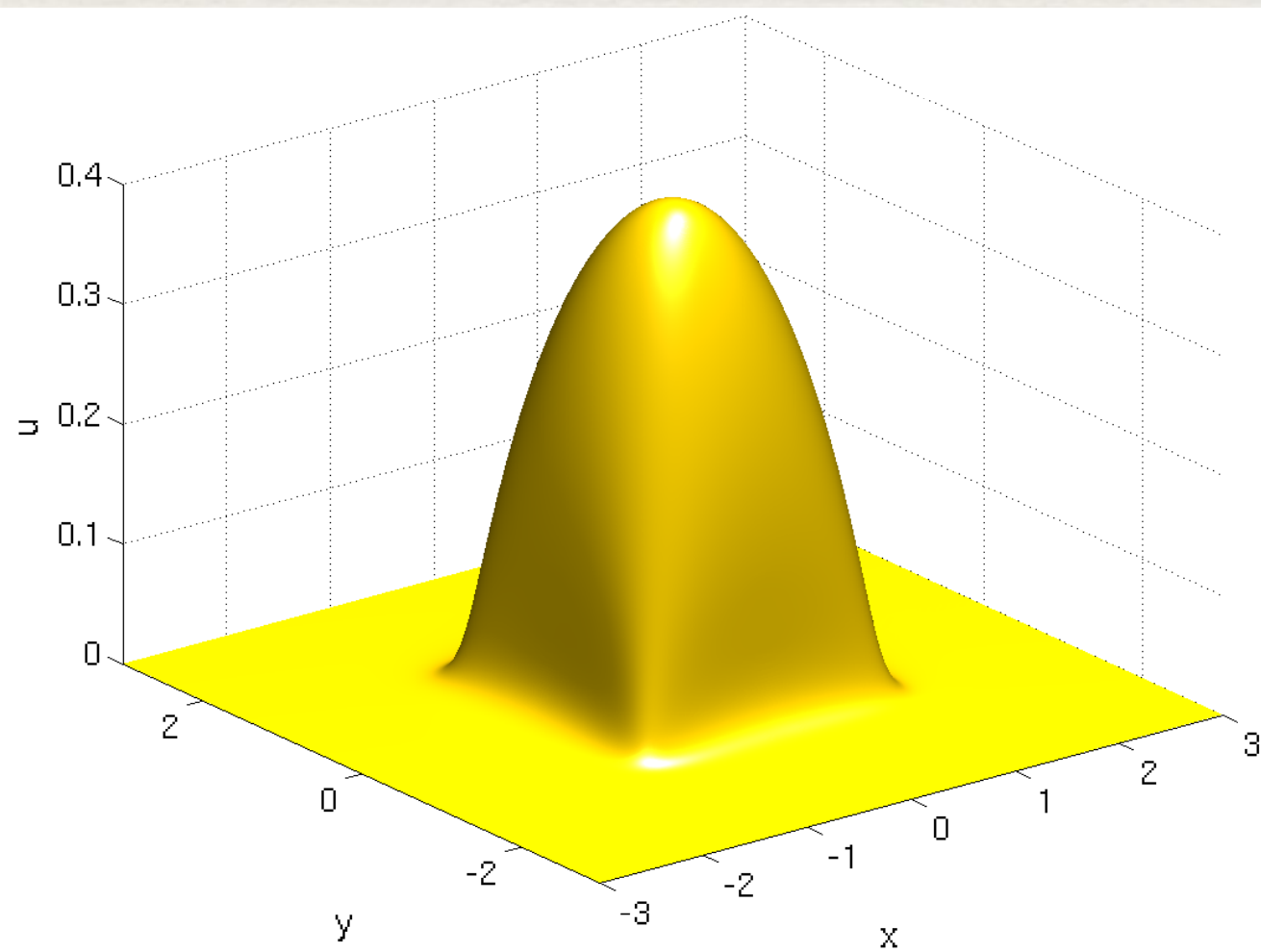


Semiclassical limit, integrable case

- semiclassical limit ($\Psi = \sqrt{u}e^{iS/\epsilon}$, $\epsilon \rightarrow 0$, $\mathcal{D}_{\pm} = \partial_x^2 \pm \partial_y^2$)

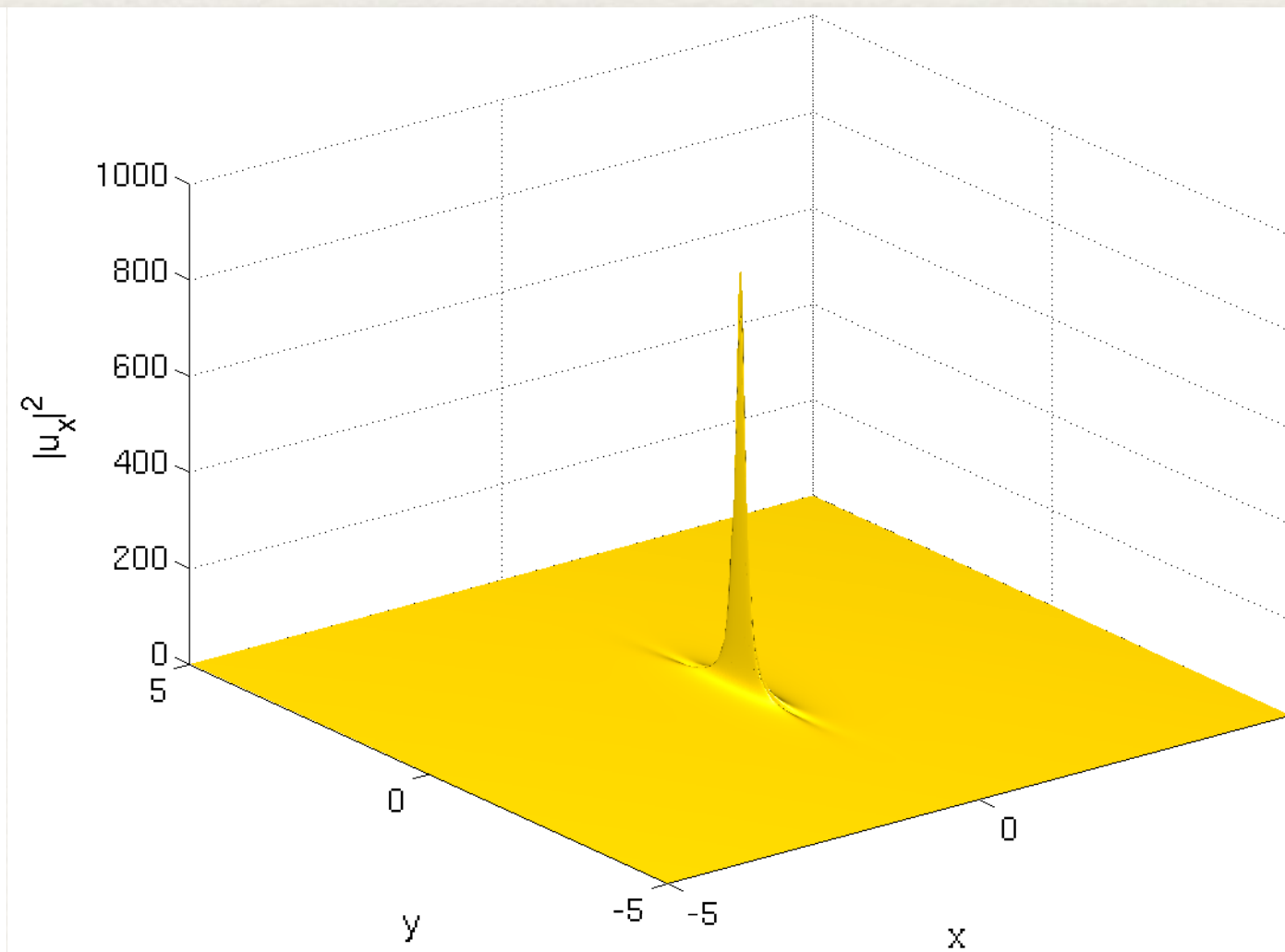
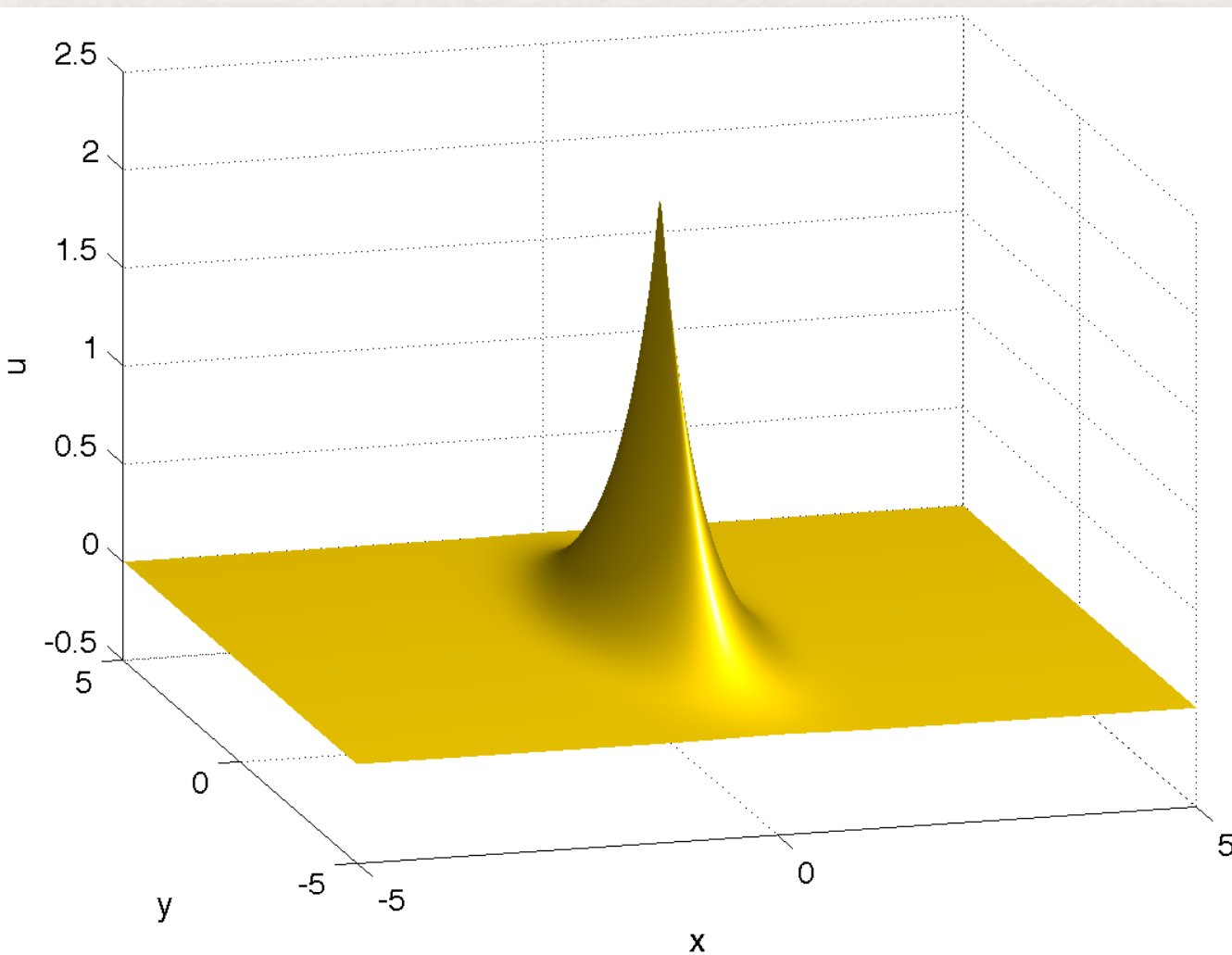
$$\begin{cases} S_t + S_x^2 - S_y^2 + 2\rho\mathcal{D}_+^{-1}\mathcal{D}_-(u) &= \frac{\epsilon^2}{2} \left(\frac{u_{xx}x}{u} - \frac{u_x^2}{u^2} - \frac{u_{yy}y}{u} + \frac{u_y^2}{u} \right) , \\ u_t + 2(S_x u)_x - 2(S_y u)_y &= 0 \end{cases}$$

- defocusing case, $u_0 = \exp(-2(x^2 + y^2))$, $S_0 = 0$

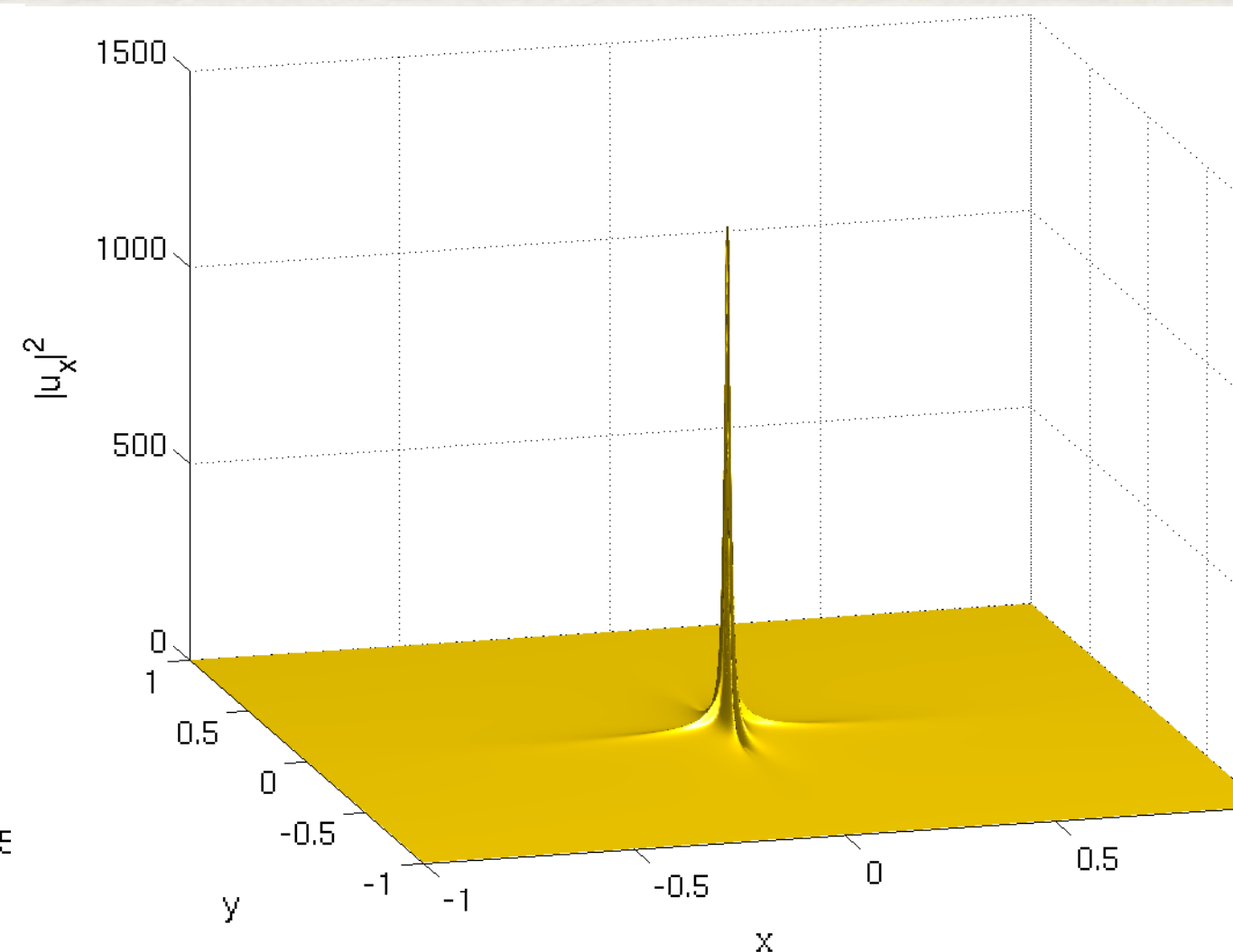
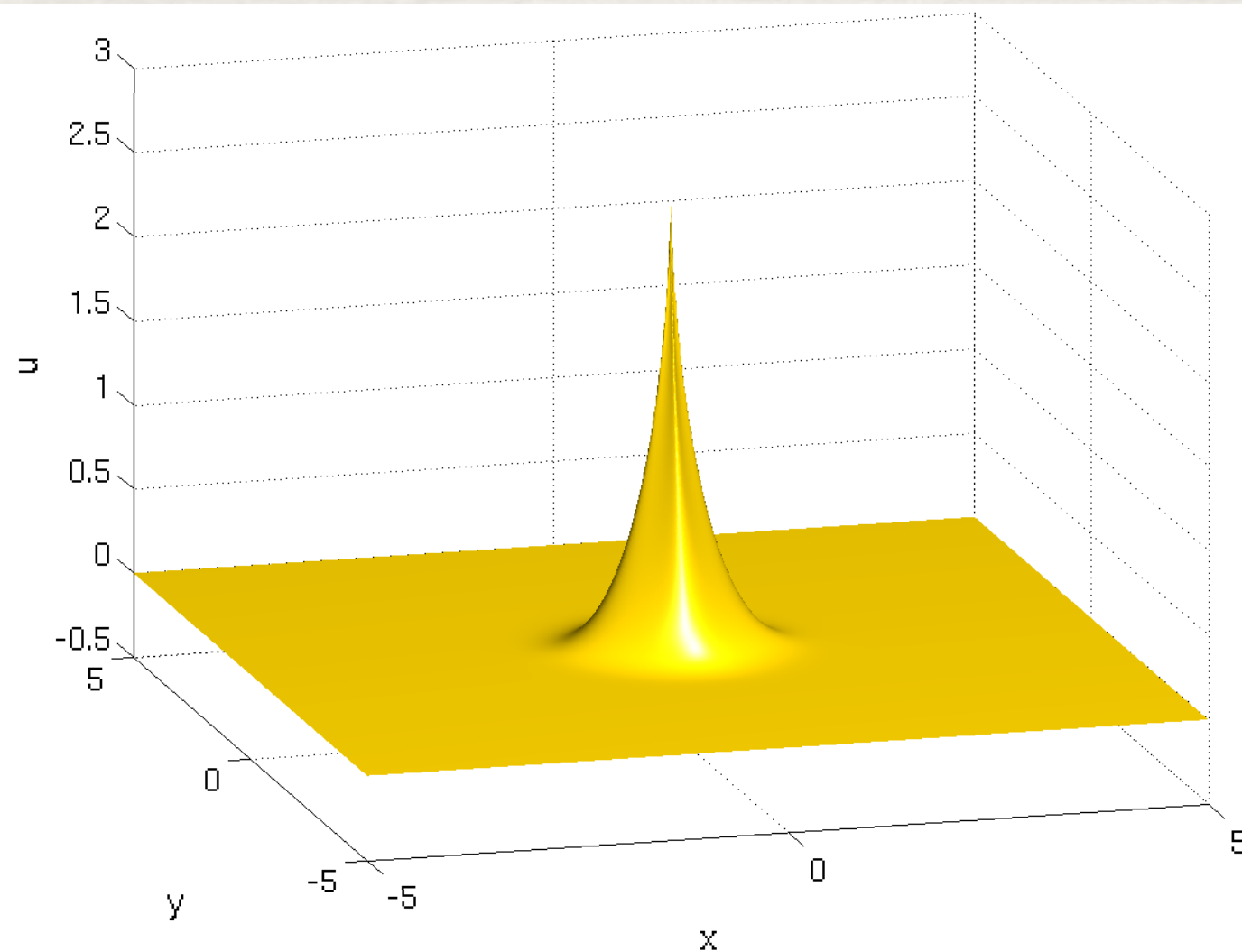


Focusing semiclassical DS II system

- $u_0 = \exp(-2(x^2 + 0.1y^2)), S_0 = 0$

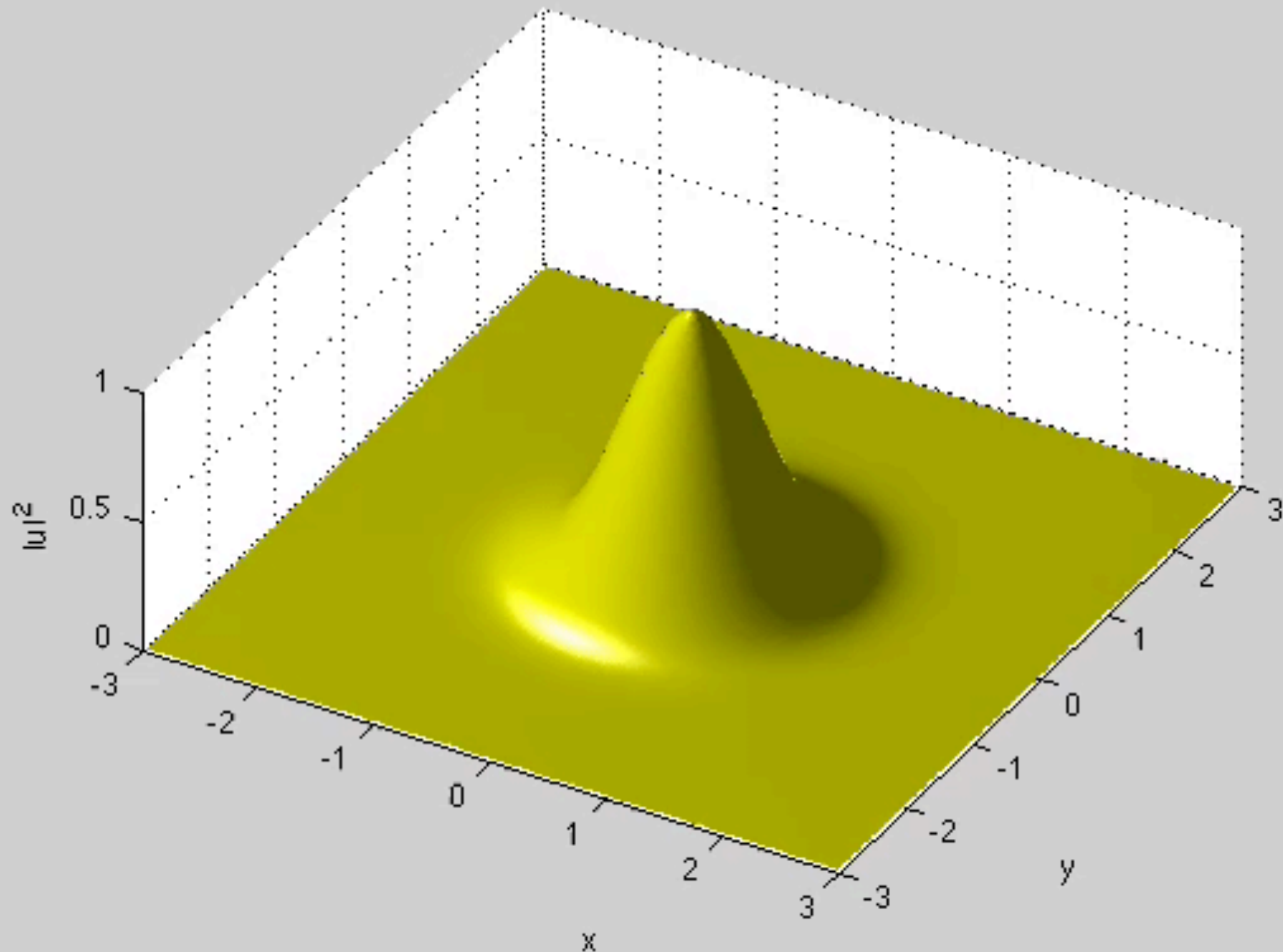


Symmetric initial data



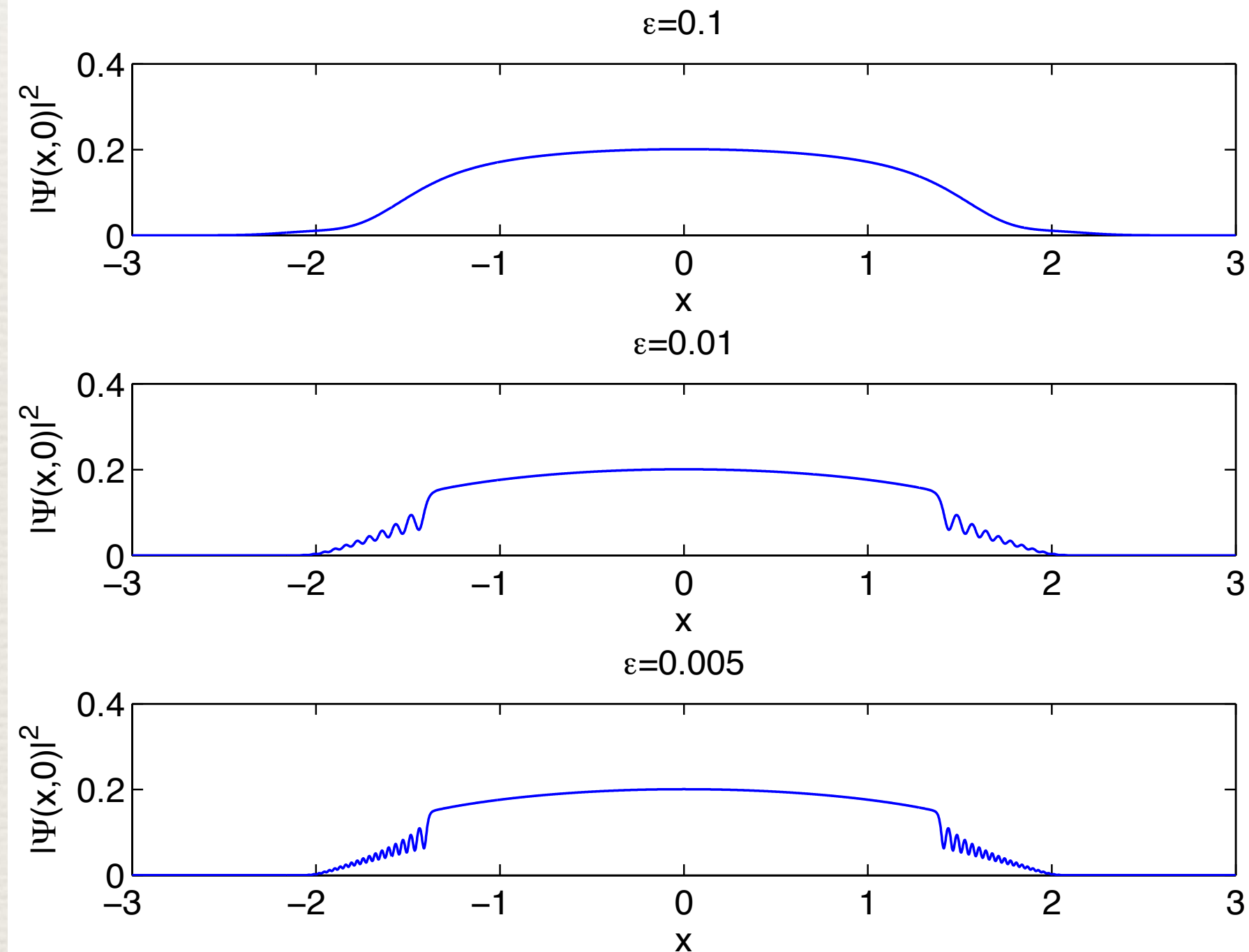
Defocusing DS II

$$u_0 = \exp(-x^2 - y^2) \quad \beta = 1$$



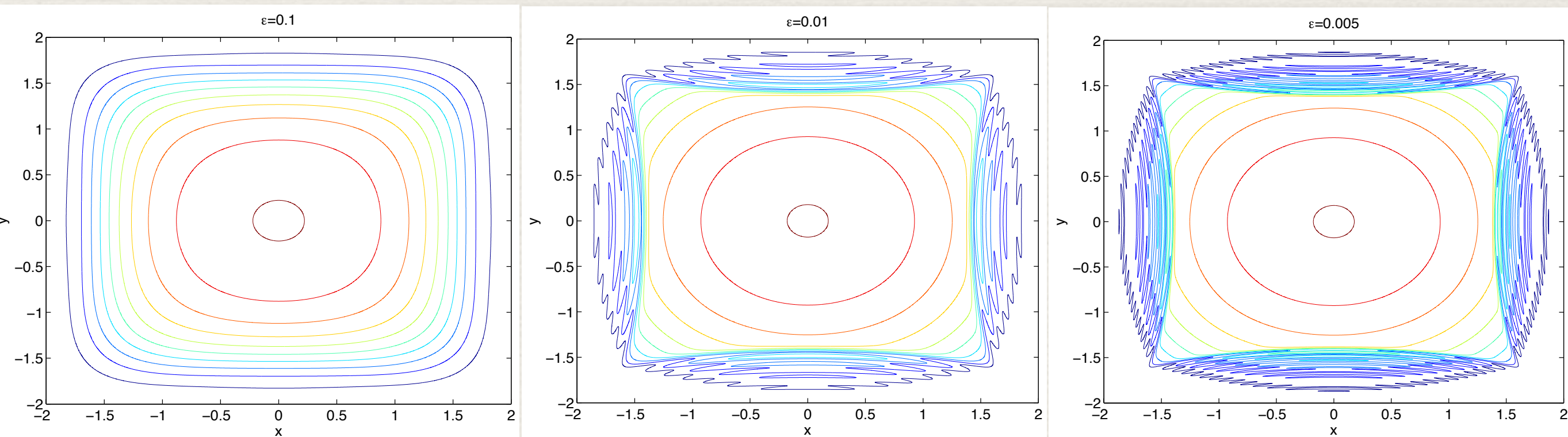
$$\epsilon = 0.1$$

Defocusing DS II



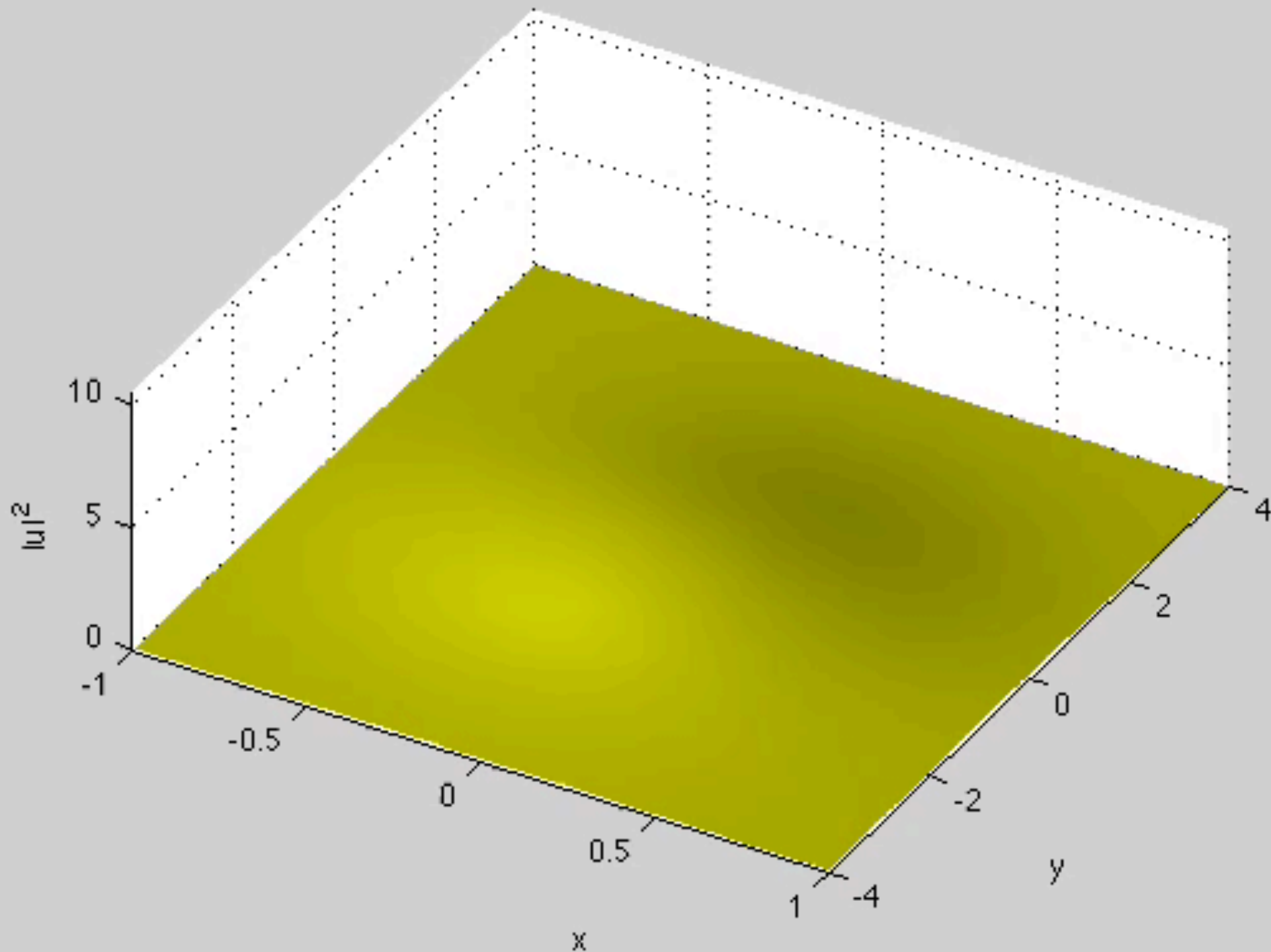
Defocusing DS II

- $t = t_c$: scaling of the difference between semiclassical and DS II solution proportional to $\epsilon^{2/7}$
- $t \gg t_c$: dispersive shock



Focusing DS

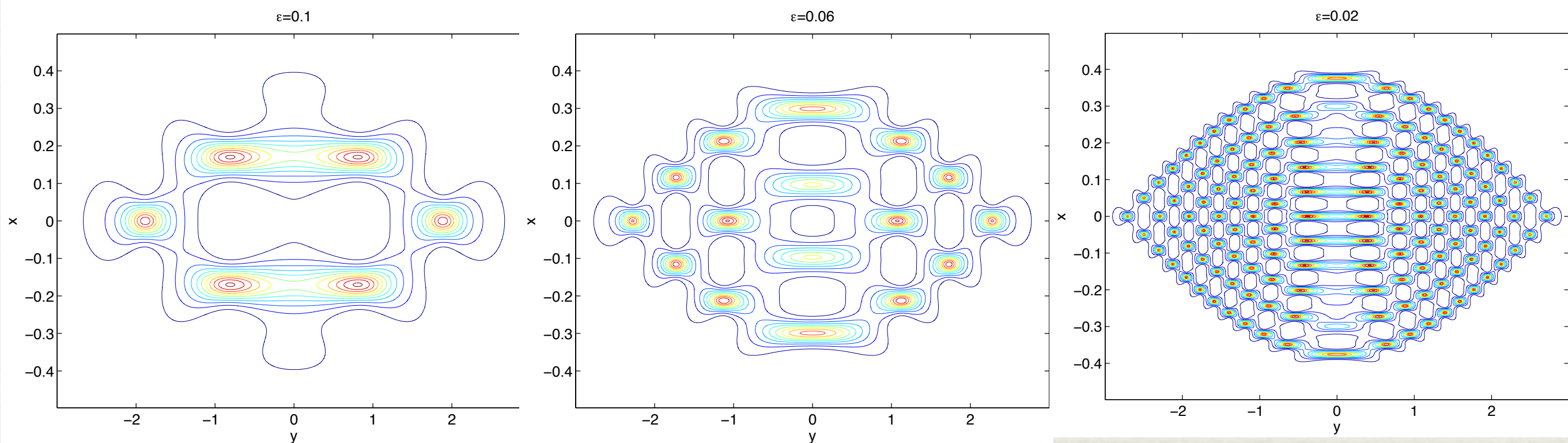
$$\psi_0 = \exp(-x^2 - 0.1y^2)$$



$$\epsilon = 0.1$$

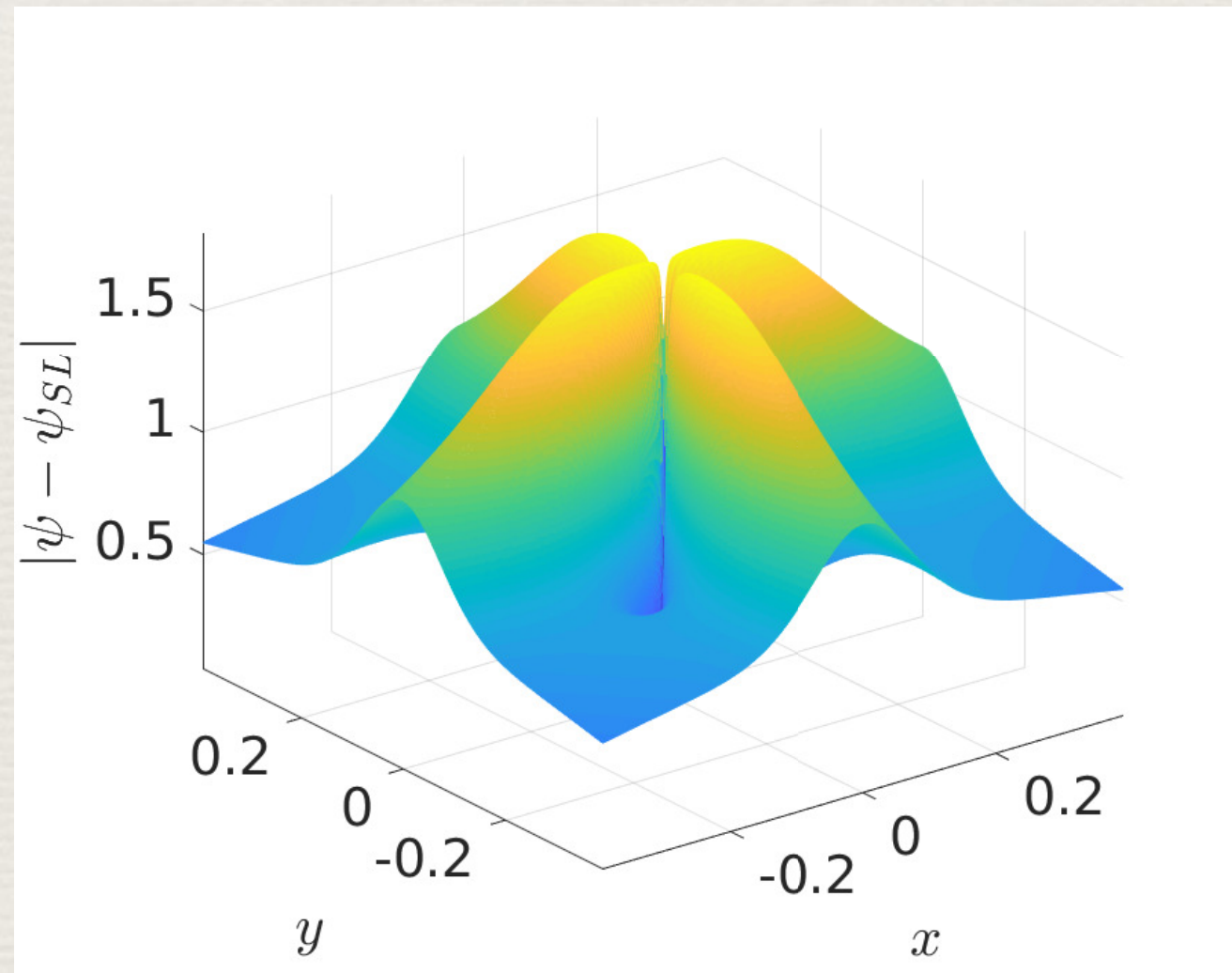
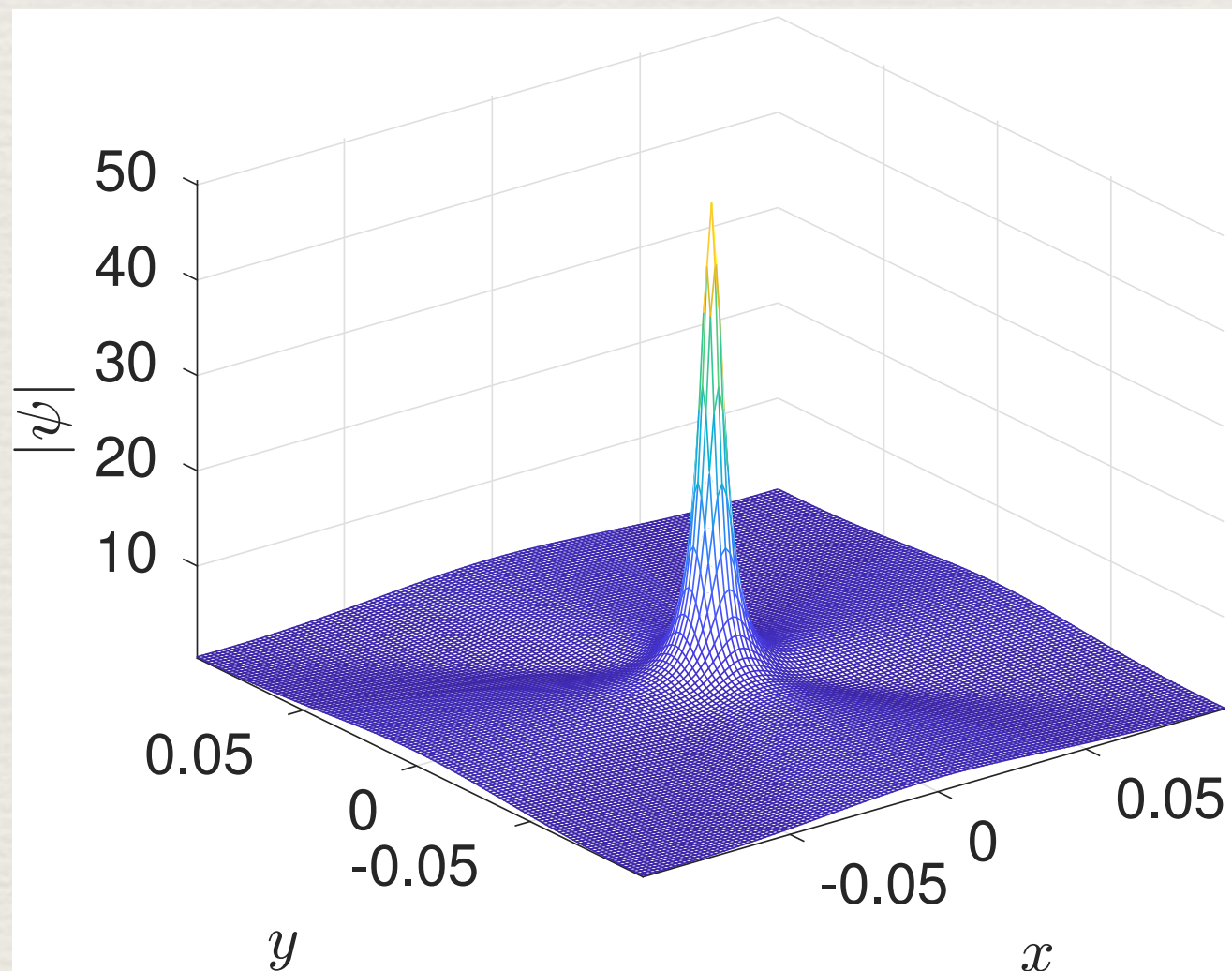
Focusing DS II

- $t = t_c$: scaling of the difference between semiclassical and DS II solution proportional to $\epsilon^{2/5}$
- $t \gg t_c$: dispersive shock for non-symmetric initial data



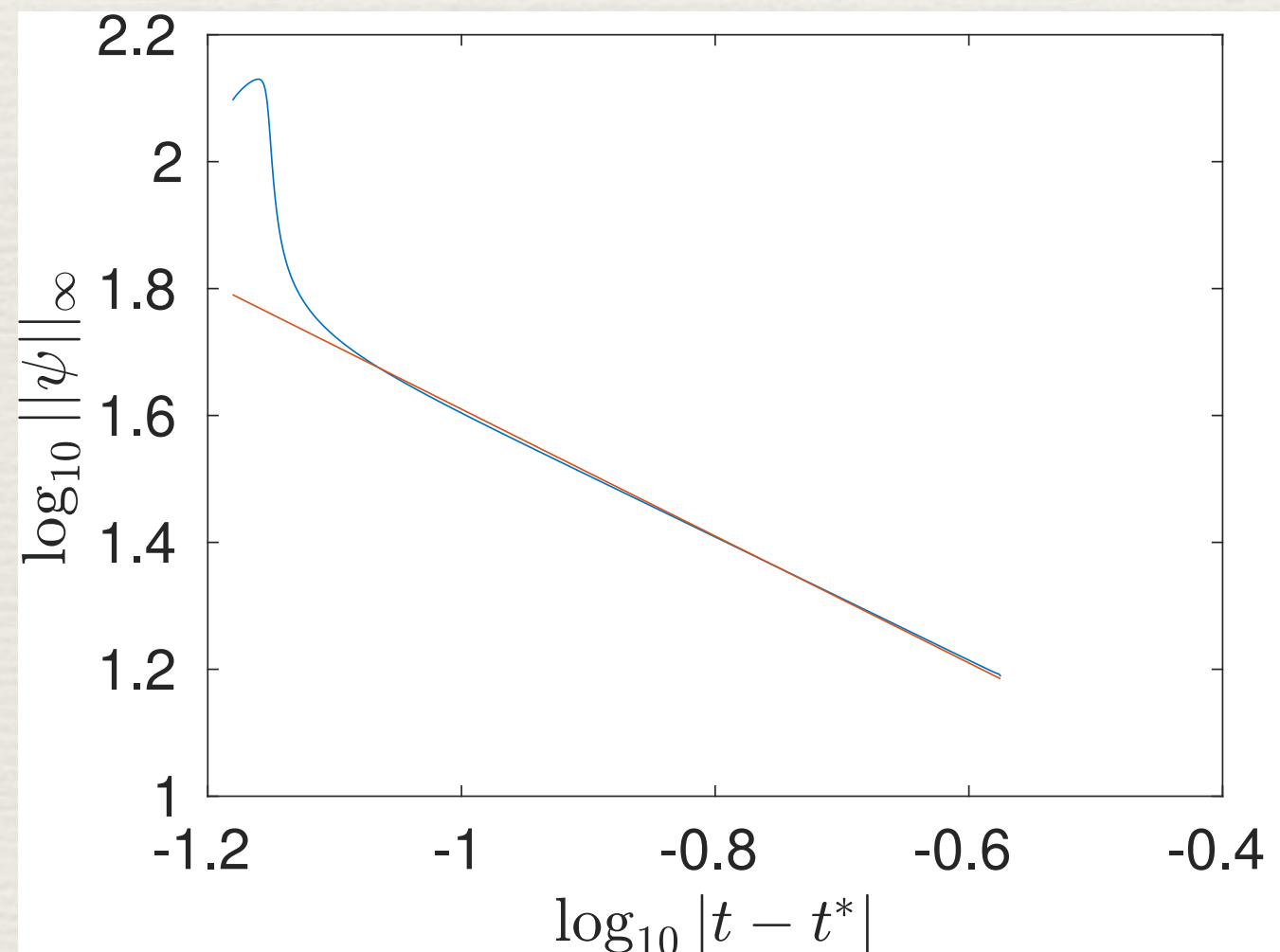
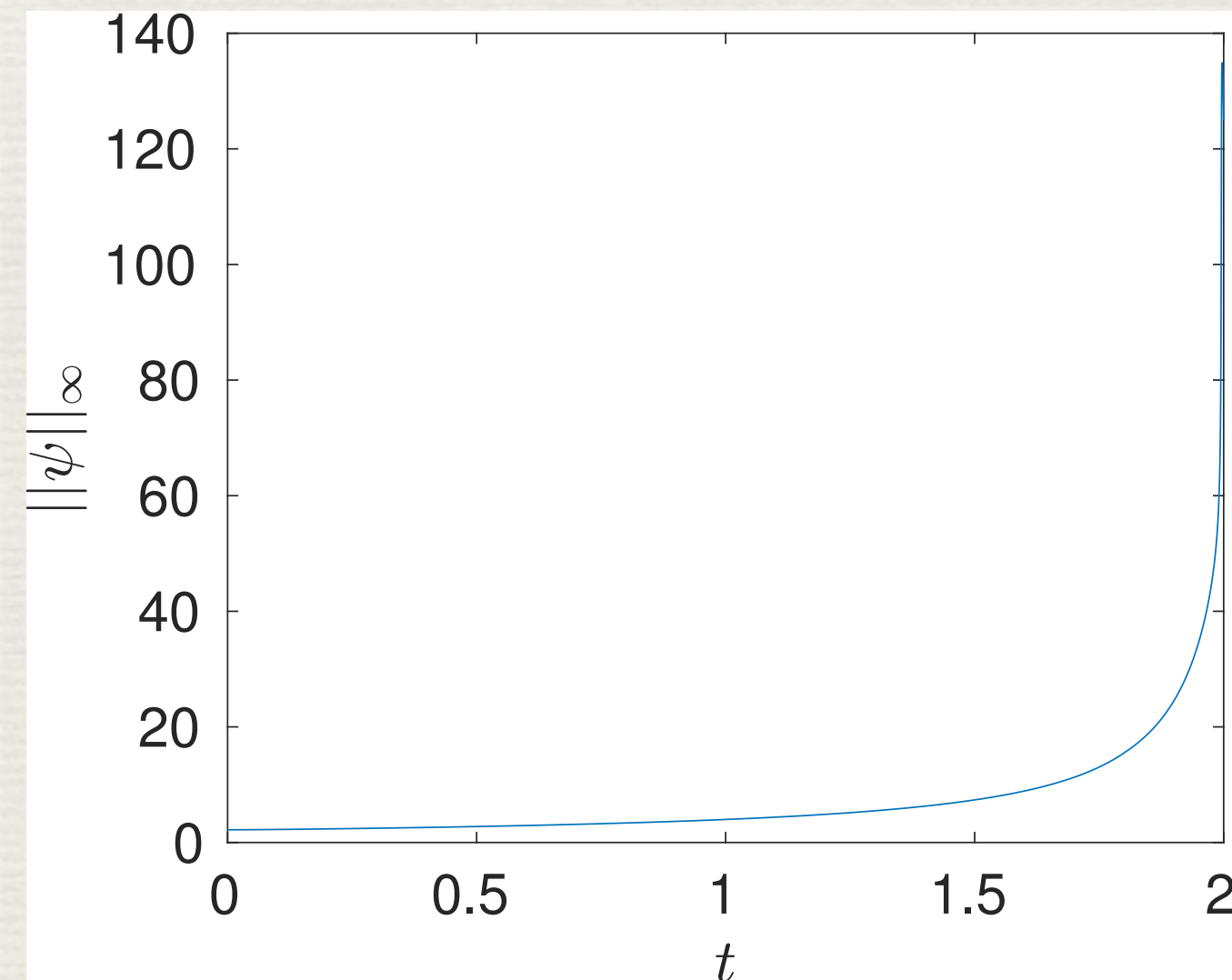
Blow-up

- Gaussian initial data, integrable case $\beta = 1$

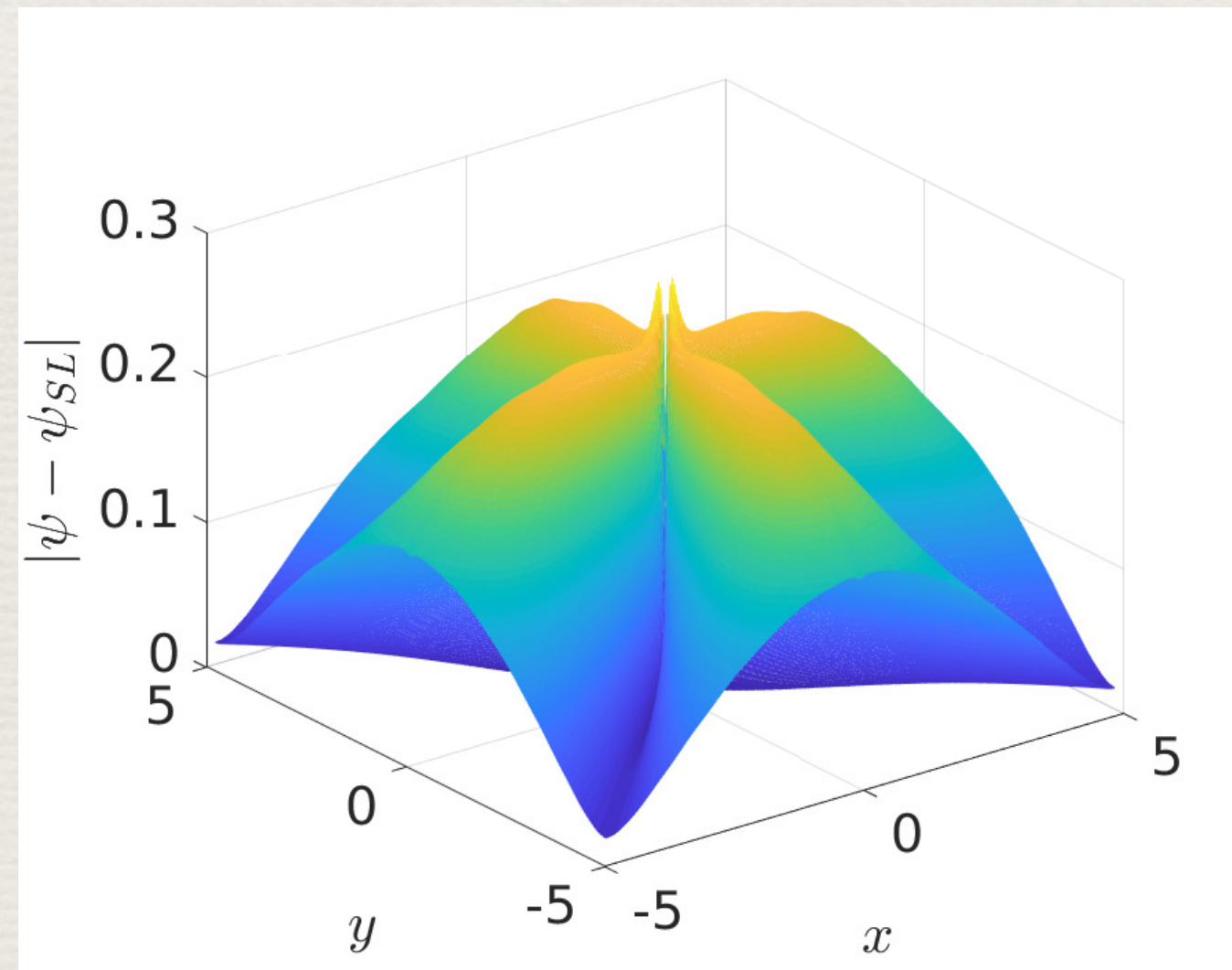
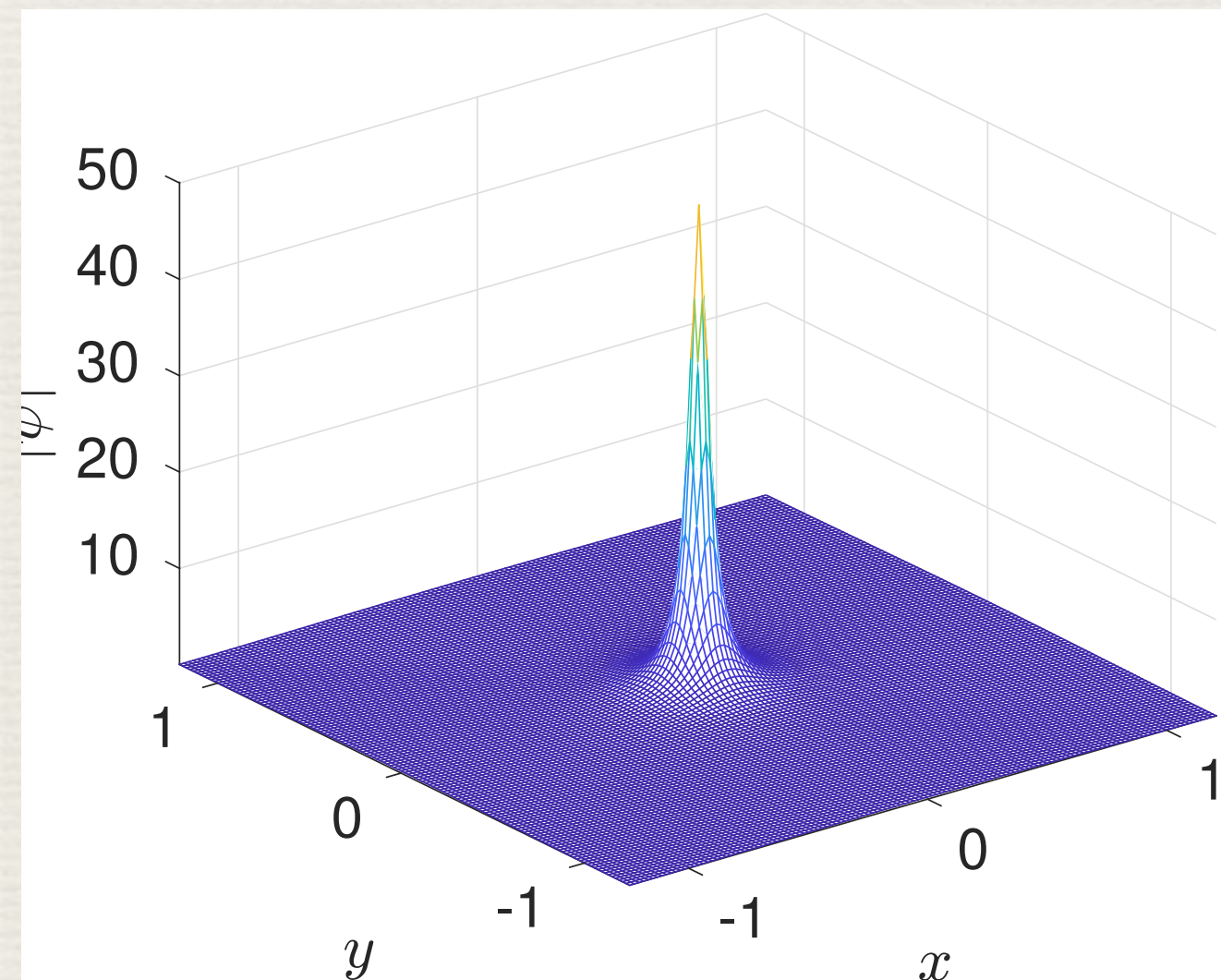


Perturbed lump

(multiplication with 1.1)



Blow-up profile



Conjecture

Conjecture 1.1. Consider initial data $\psi_0 \in C^\infty(\mathbb{R}^2) \cap L^2(\mathbb{R}^2)$ for the focusing DS II equation (1) with a single global maximum of $|\psi_0|$ such that the solution to DS II has a blow-up in finite time. Then the blow-up is self-similar according to (3) with a scaling factor $L(t)$ of the form (6) and the blow-up profile given by the lump, i.e.,

$$(7) \quad \psi(x, y, t) = \frac{P(X, Y)}{L(t)} + \tilde{\psi}, \quad P(X, Y) = \frac{2}{1 + X^2 + Y^2}, \quad L(t) \sim t^* - t,$$

where $\tilde{\psi}$ is bounded for all t .

Inverse scattering for DS II

- Dirac system of linear equations ($z = x + iy$):

$$\begin{aligned}\epsilon \bar{\partial} \psi_1 &= \frac{1}{2} q \psi_2 \\ \epsilon \partial \psi_2 &= \frac{1}{2} \bar{q} \psi_1\end{aligned}$$

- complex geometrical optics solution, $k \in \mathbb{C}$:

$$\lim_{|z| \rightarrow \infty} \psi_1^\epsilon(z; k, t) e^{-kz/\epsilon} = 1$$

$$\lim_{|z| \rightarrow \infty} \psi_2^\epsilon(z; k, t) e^{-\bar{k}\bar{z}/\epsilon} = 0,$$

- reflection coefficient $R = R^\epsilon(k; t)$:

$$e^{-kz/\epsilon} \overline{\psi_2^\epsilon(z; k, t)} = \frac{1}{2} R^\epsilon(k; t) z^{-1} + O(|z|^{-2}), \quad |z| \rightarrow \infty.$$

- time dependence

$$R^\epsilon(k; t) = R_0^\epsilon(k) e^{4it\Re(k^2)/\epsilon}, \quad R_0^\epsilon(k) := R^\epsilon(k; 0).$$

- Inverse transform

$$\nu_1 = \nu_1^\epsilon(k; z, t) := e^{-kz/\epsilon} \psi_1 \quad \text{and} \quad \nu_2 = \nu_2^\epsilon(k; z, t) := e^{-kz/\epsilon} \psi_2$$

satisfy

$$\begin{aligned} \epsilon \bar{\partial}_k \nu_1 &= \frac{1}{2} \overline{R^\epsilon(k; z, t)} \bar{\nu}_2 \\ \epsilon \bar{\partial}_k \nu_2 &= \frac{1}{2} \overline{R^\epsilon(k; z, t)} \bar{\nu}_1 \end{aligned}$$

where, writing $k = \kappa + i\sigma$ for $(\kappa, \sigma) \in \mathbb{R}^2$,

$$\bar{\partial}_k := \frac{1}{2} \left(\frac{\partial}{\partial \kappa} + i \frac{\partial}{\partial \sigma} \right),$$

asymptotic conditions

$$\lim_{|k| \rightarrow \infty} \nu_1^\epsilon(k; z, t) = 1 \quad \text{and} \quad \lim_{|k| \rightarrow \infty} \nu_2^\epsilon(k; z, t) = 0.$$

- inverse scattering problem

$$q^\epsilon(x, y, t) = 2\epsilon \overline{\left[\frac{\partial \psi_2}{\psi_1} \right]} = 2\epsilon \frac{\bar{\partial} \bar{\psi}_2}{\bar{\psi}_1} = 2 \frac{\bar{k} \bar{\nu}_2 + \epsilon \bar{\partial} \bar{\nu}_2}{\bar{\nu}_1}.$$

Eikonal equation

- write $q = Ae^{S/\epsilon}$

$$[2\bar{\partial}f + i\bar{\partial}S] [2\partial f - i\partial S] = A^2,$$

with

$$\lim_{|z| \rightarrow \infty} \left(f + \frac{i}{2}S - kz \right) = 0, \quad z = x + iy.$$

- conjecture

$$\begin{aligned} & e^{-f(x,y;k)/\epsilon} e^{-iS(x,y)\sigma_3/(2\epsilon)} \boldsymbol{\psi}^\epsilon(x + iy; k) \\ &= \frac{\alpha_0(x, y; k)}{2k} \left[\frac{2\partial f(x, y; k) - i\partial S(x, y)}{A(x, y)} \right] + o(1), \quad \epsilon \downarrow 0 \end{aligned}$$

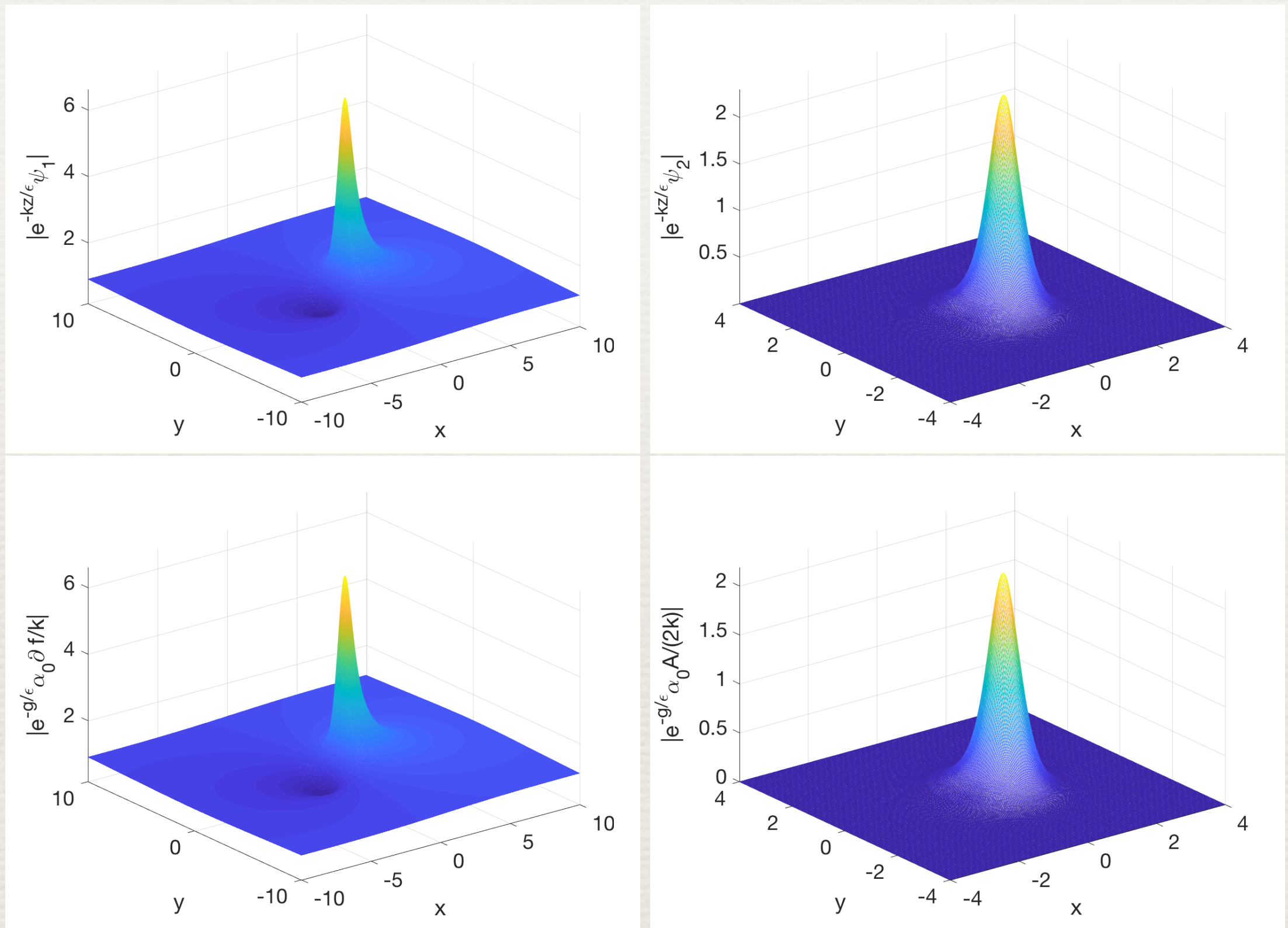


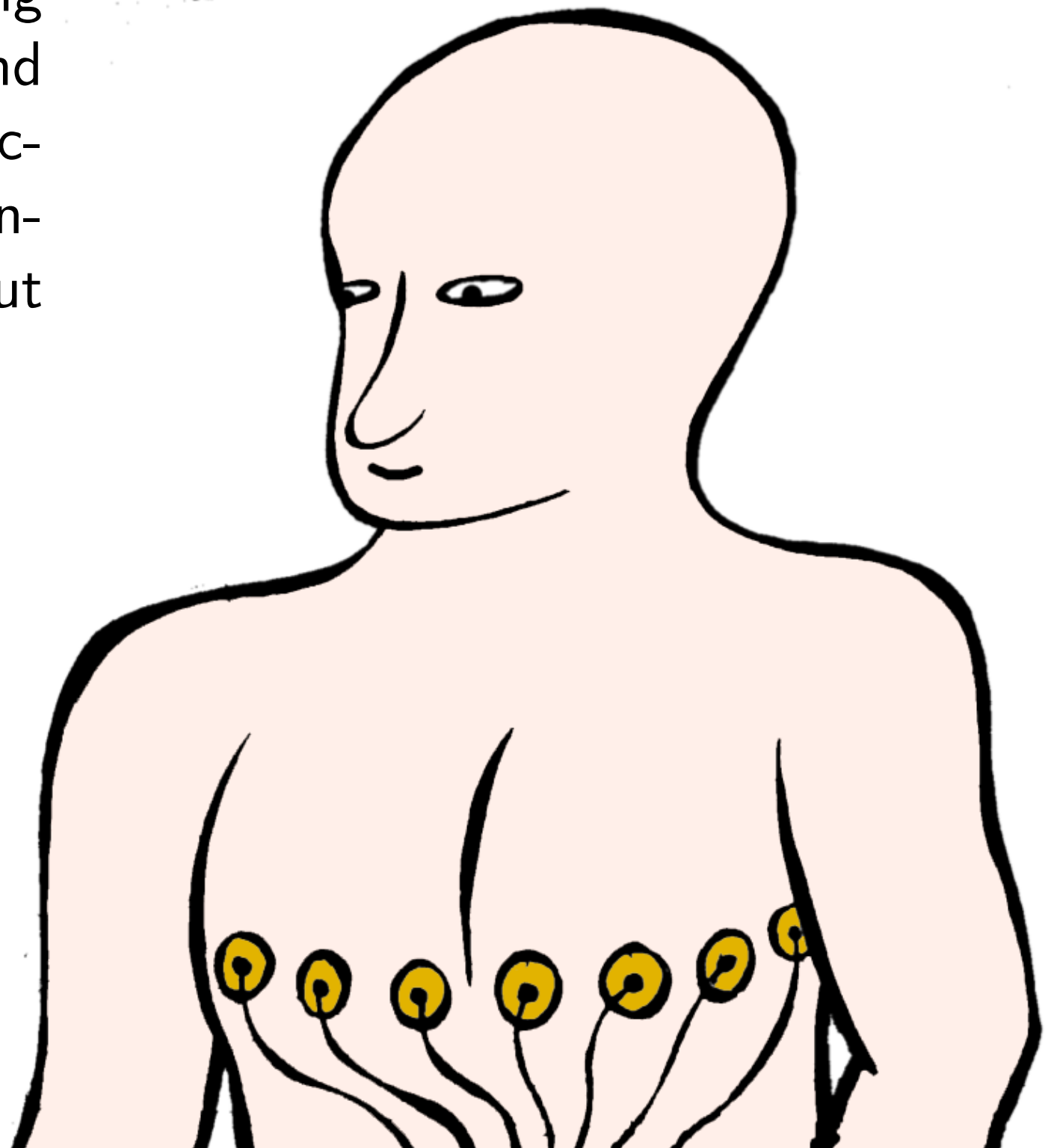
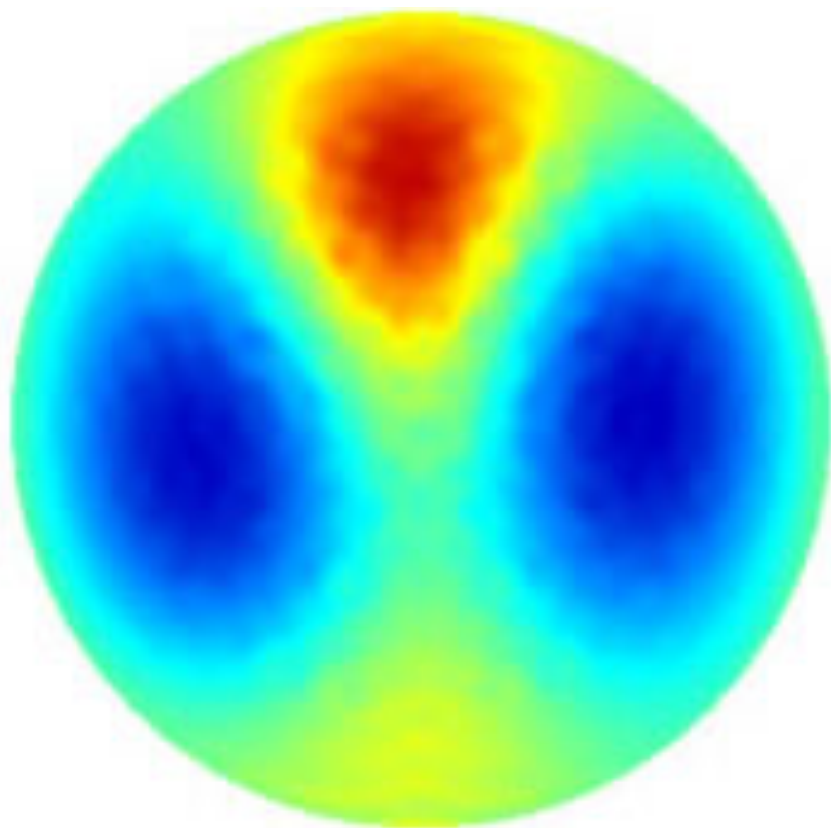
FIGURE 2. Comparison between the solution to the Dirac system (12)–(13) with Gaussian potential $e^{-(x^2+y^2)}$ for $k = 1$ and $\epsilon = 1/16$ with the WKB approximation. First row: the modulus of $e^{-kz/\epsilon}\psi_1$ (left) and of $e^{-kz/\epsilon}\psi_2$ (right). Second row: the corresponding WKB approximations of Conjecture 1.

Applications

- ♦ Integrable systems
- ♦ Orthogonal polynomials
- ♦ Normal Matrix Models in Random Matrix Theory
- ♦ Electrical Impedance Tomography (EIT), Calderon's problem
 - G. Uhlmann. Electrical impedance tomography and Calderón's problem. *Inverse Problems*, 25(12):123011, 2009.
 - J.L. Mueller and S. Siltanen. *Linear and Nonlinear Inverse Problems with Practical Applications*, SIAM, 2012.
 - C. Kenig, J. Sjöstrand, G. Uhlmann. The Calderón problem with partial data. *Annals of Mathematics* 165 (2007), 567-591.

The most successful application of EIT is chest imaging

Medical applications: monitoring cardiac activity, lung function, and pulmonary perfusion. Also, electrocardiography (ECG) can be enhanced using knowledge about conductivity distribution.



Reformulation of the d-bar problem

- functions with simple asymptotics: $\Phi_1 = e^{-kz}\psi_1$, $\Phi_2 = e^{-\bar{k}\bar{z}}\psi_2$

$$\bar{\partial}\Phi_1 = \frac{1}{2}qe^{\bar{k}\bar{z}-kz}\Phi_2,$$

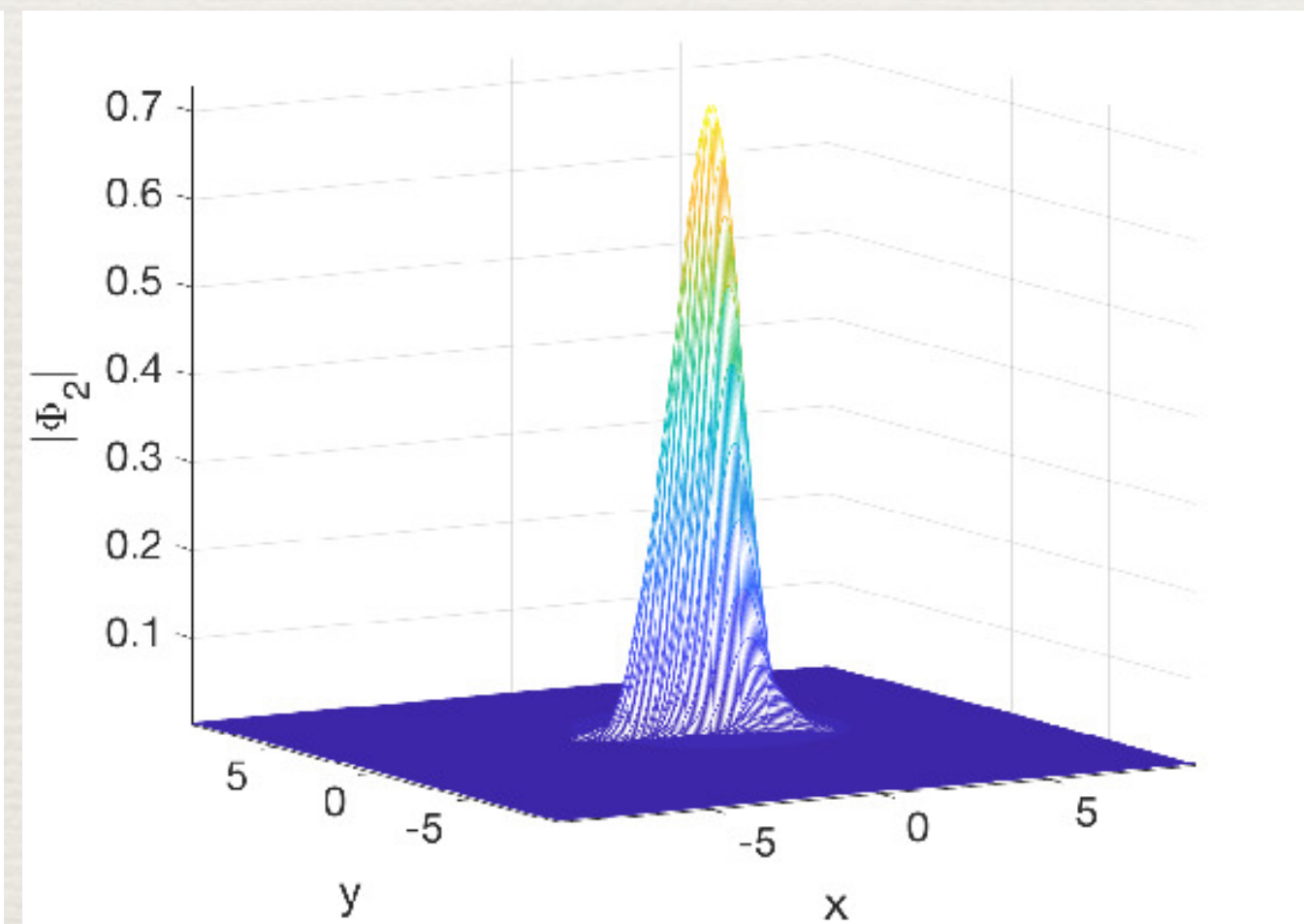
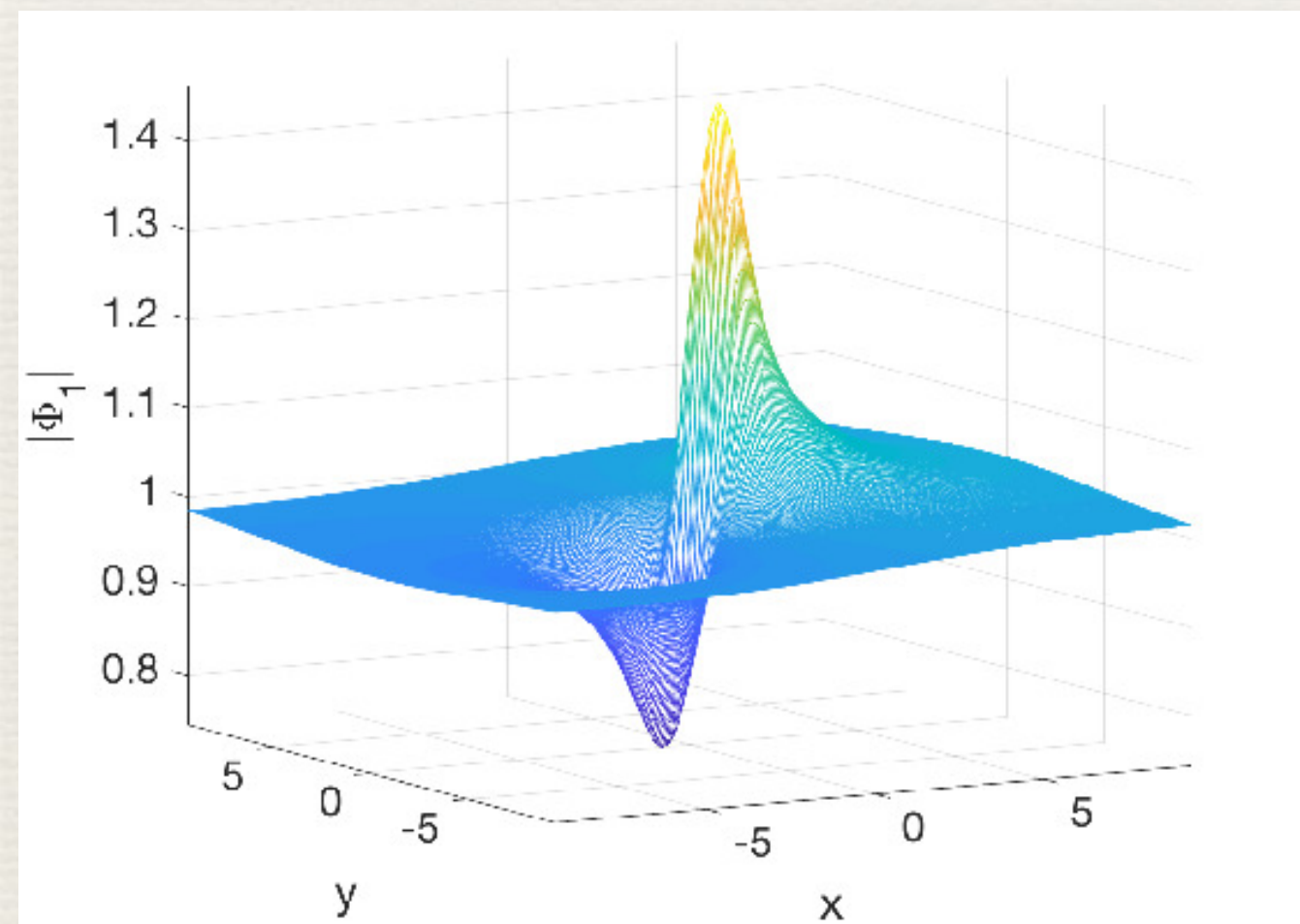
$$\partial\Phi_2 = \frac{1}{2}\bar{q}e^{kz-\bar{k}\bar{z}}\Phi_1.$$

- diagonal form, vanishing functions at infinity: $m^\pm = \Phi_1 \pm \bar{\Phi}_2 - 1$

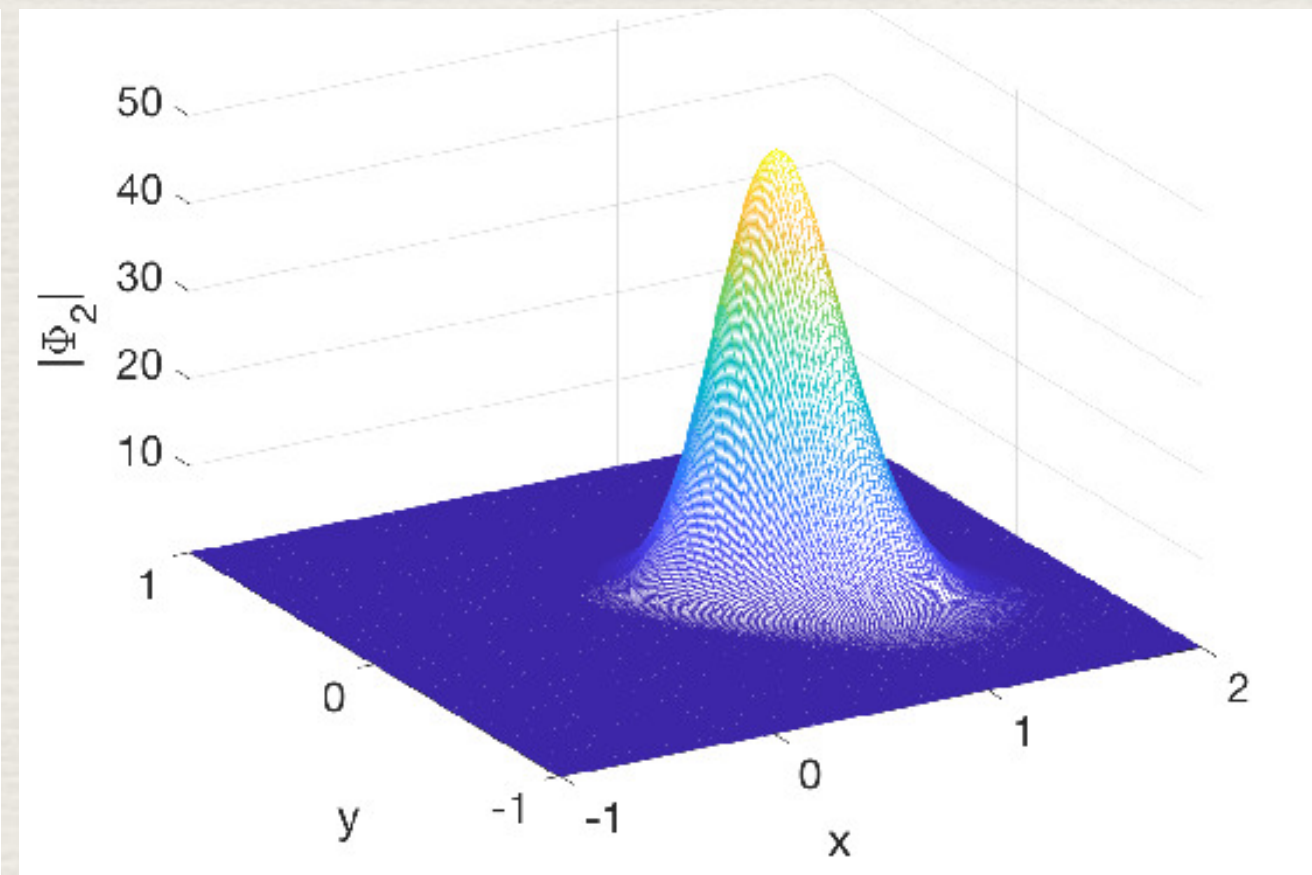
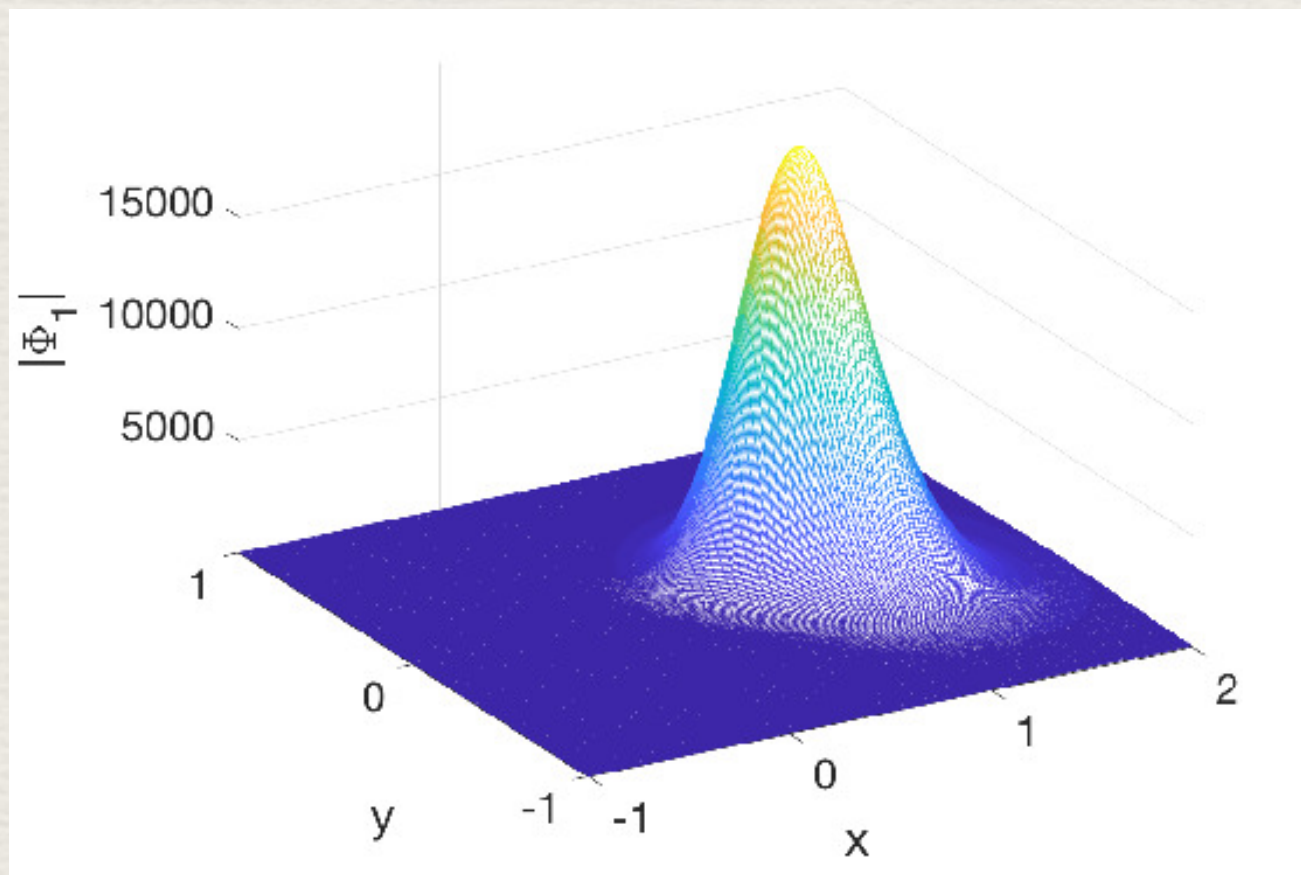
$$\bar{\partial}m^\pm = \frac{1}{2}qe^{\bar{k}\bar{z}-kz}(\bar{m}^\pm + 1)$$

$$q = \exp(-x^2 - 3xy - 5y^2)$$

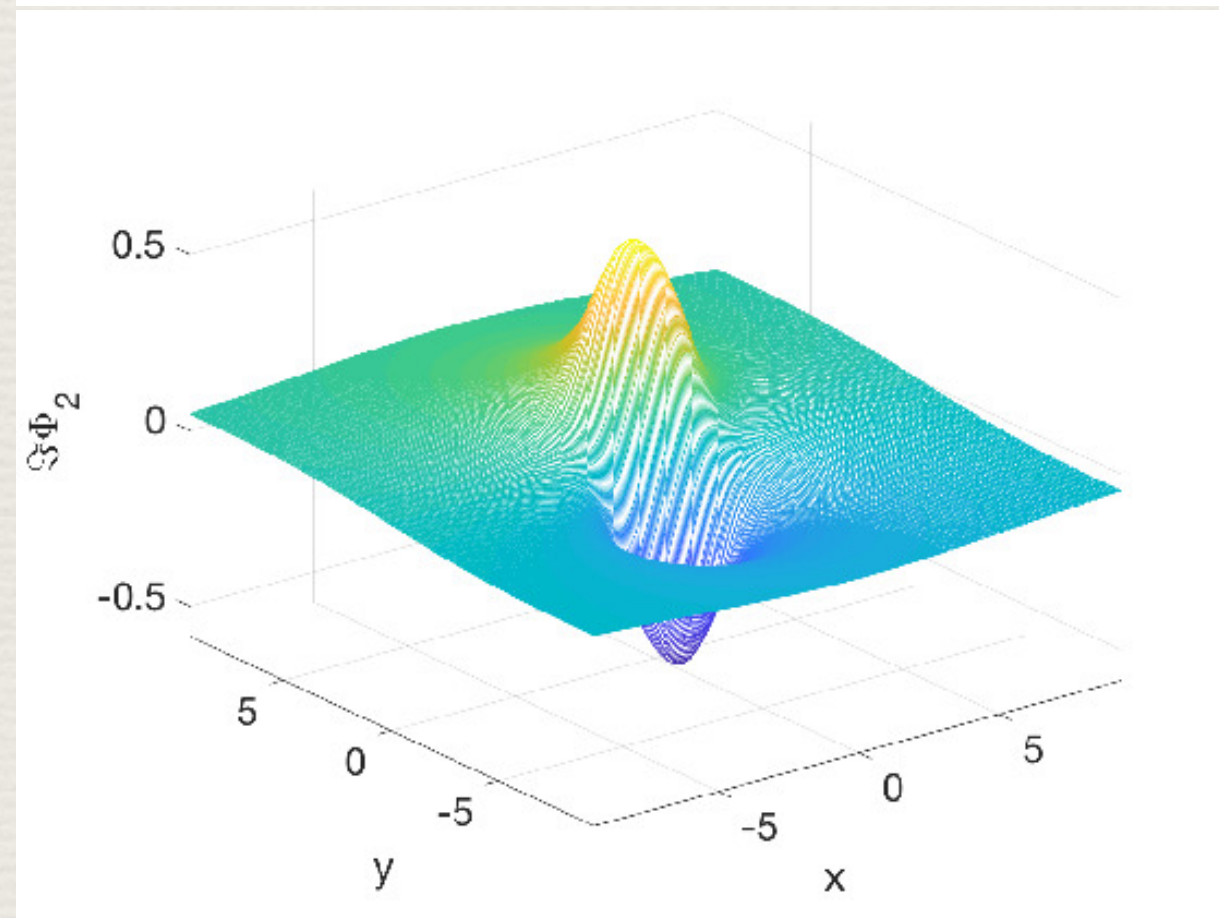
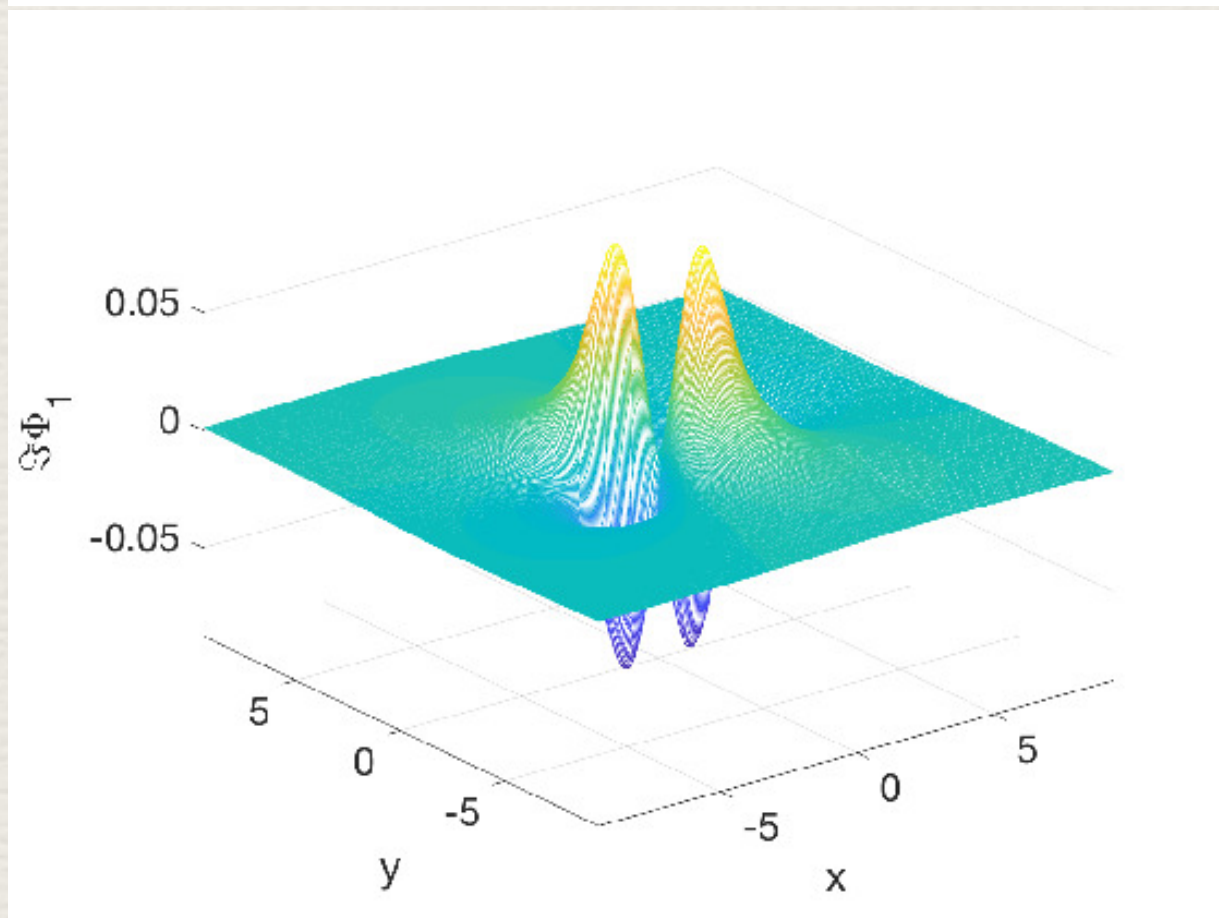
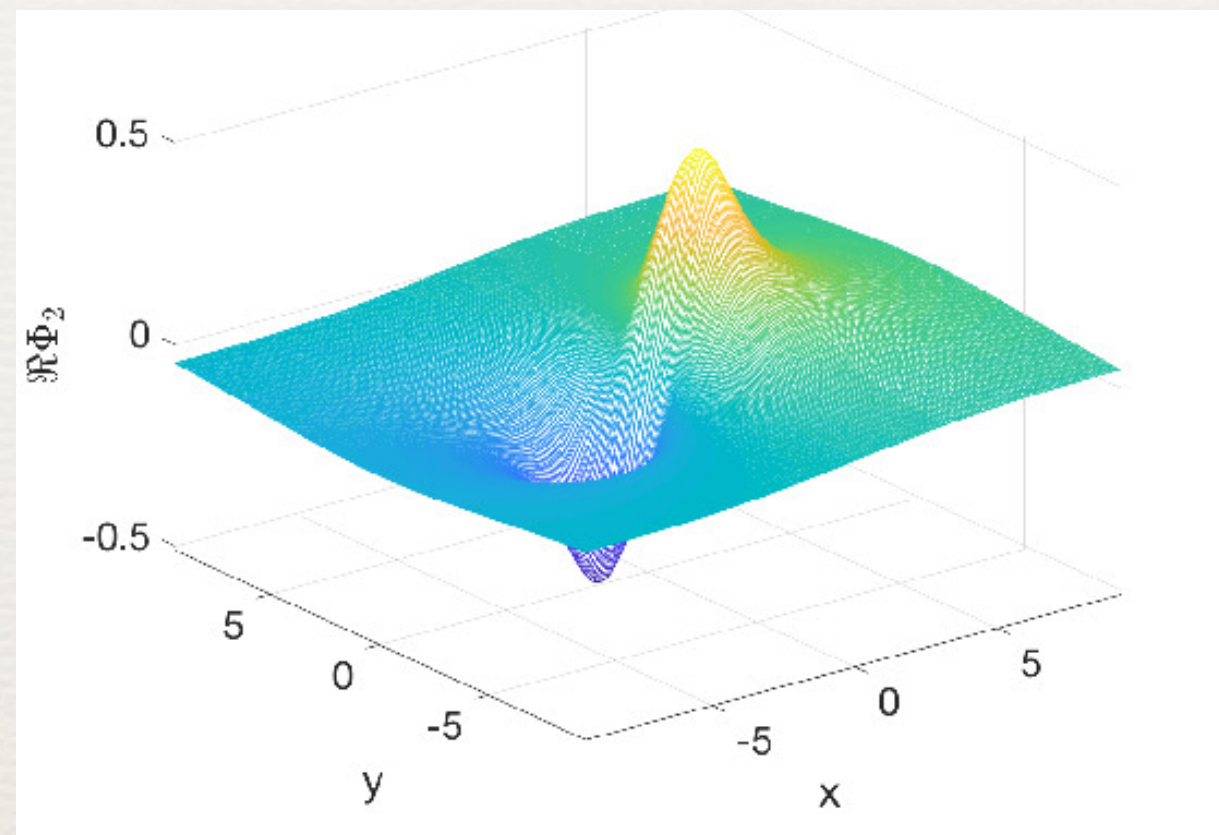
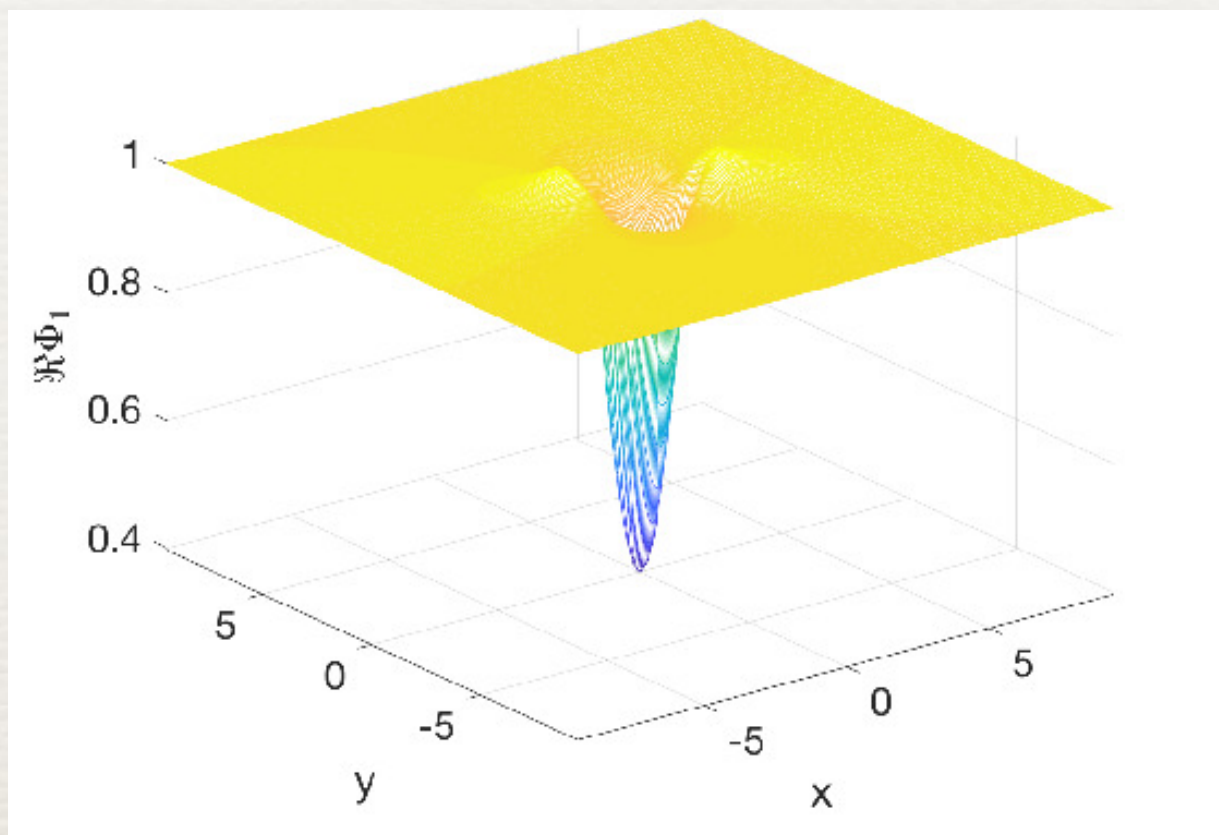
$$k = 1, \quad \epsilon = 1/4$$



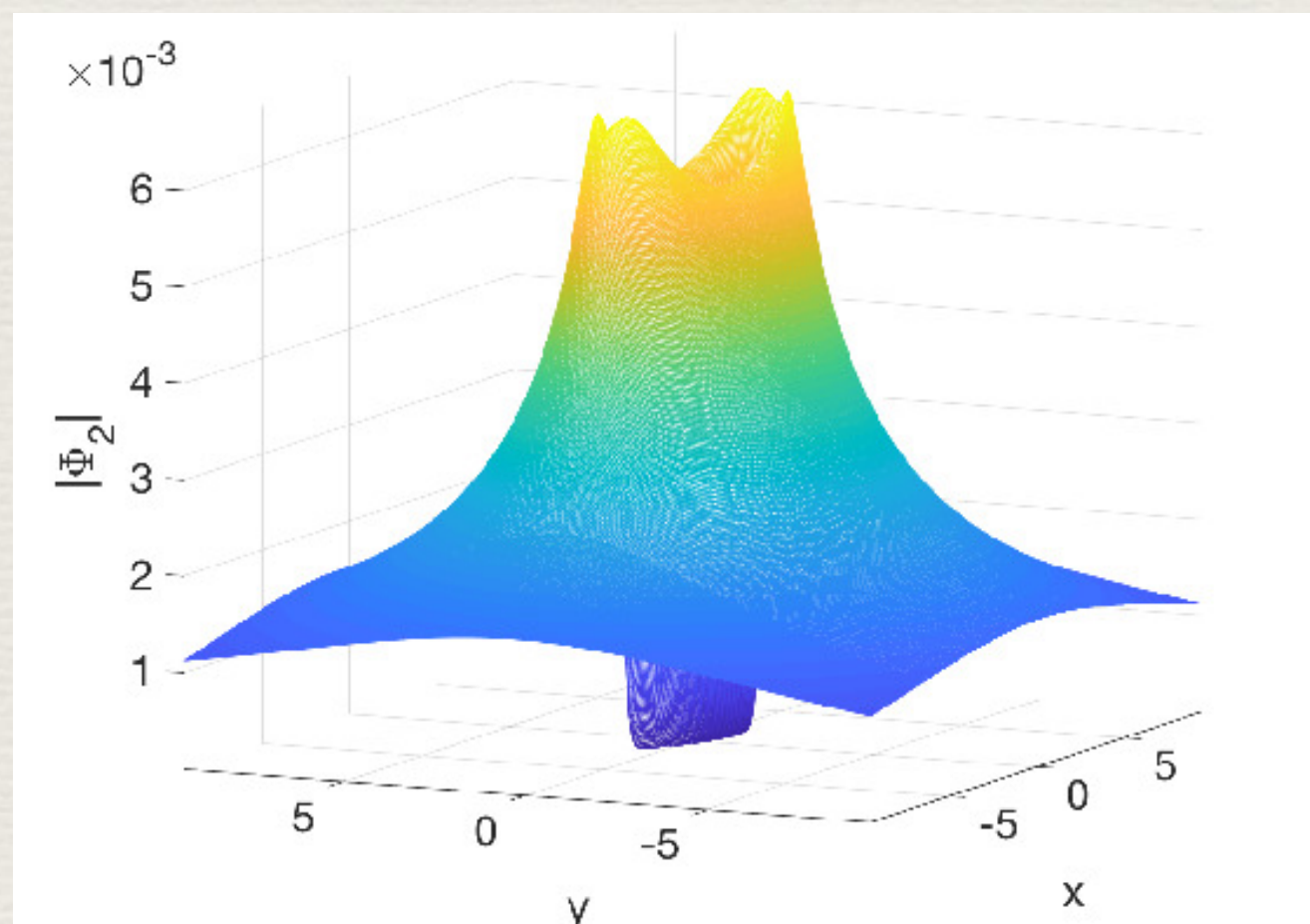
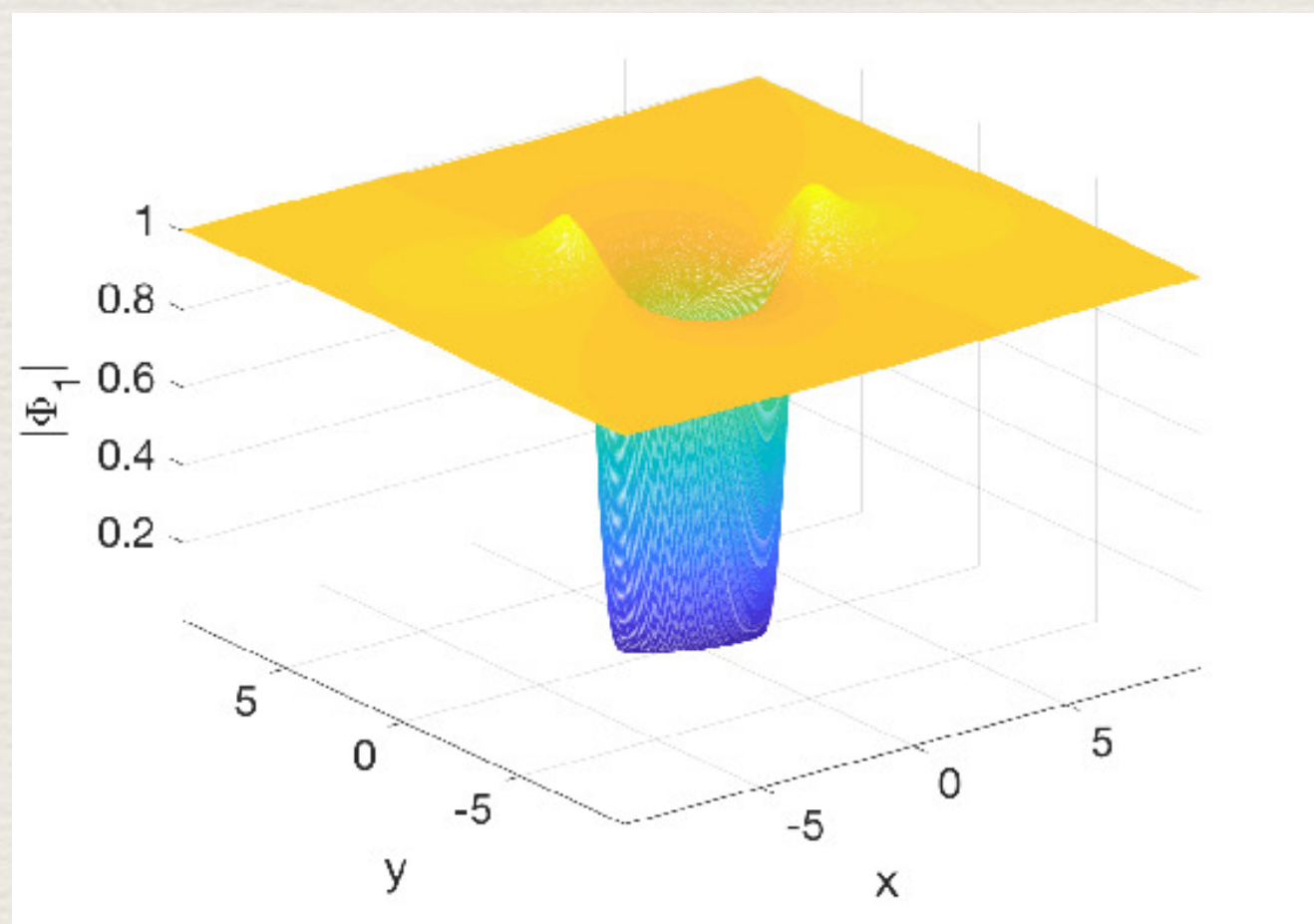
$$k = 1, \quad \epsilon = 1/128$$



$$k = 0, \quad \epsilon = 1/4$$



$$k = 0, \quad \epsilon = 1/128$$



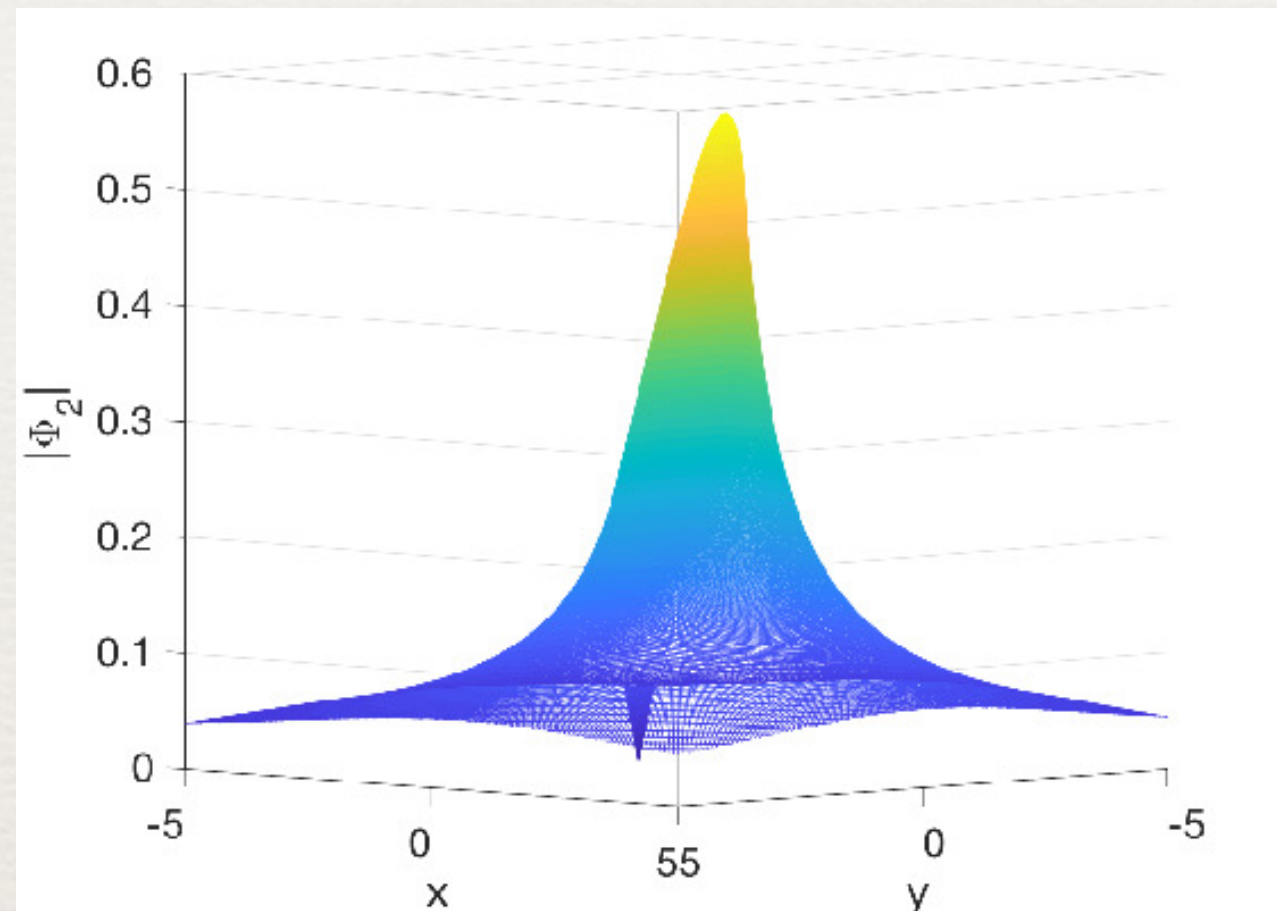
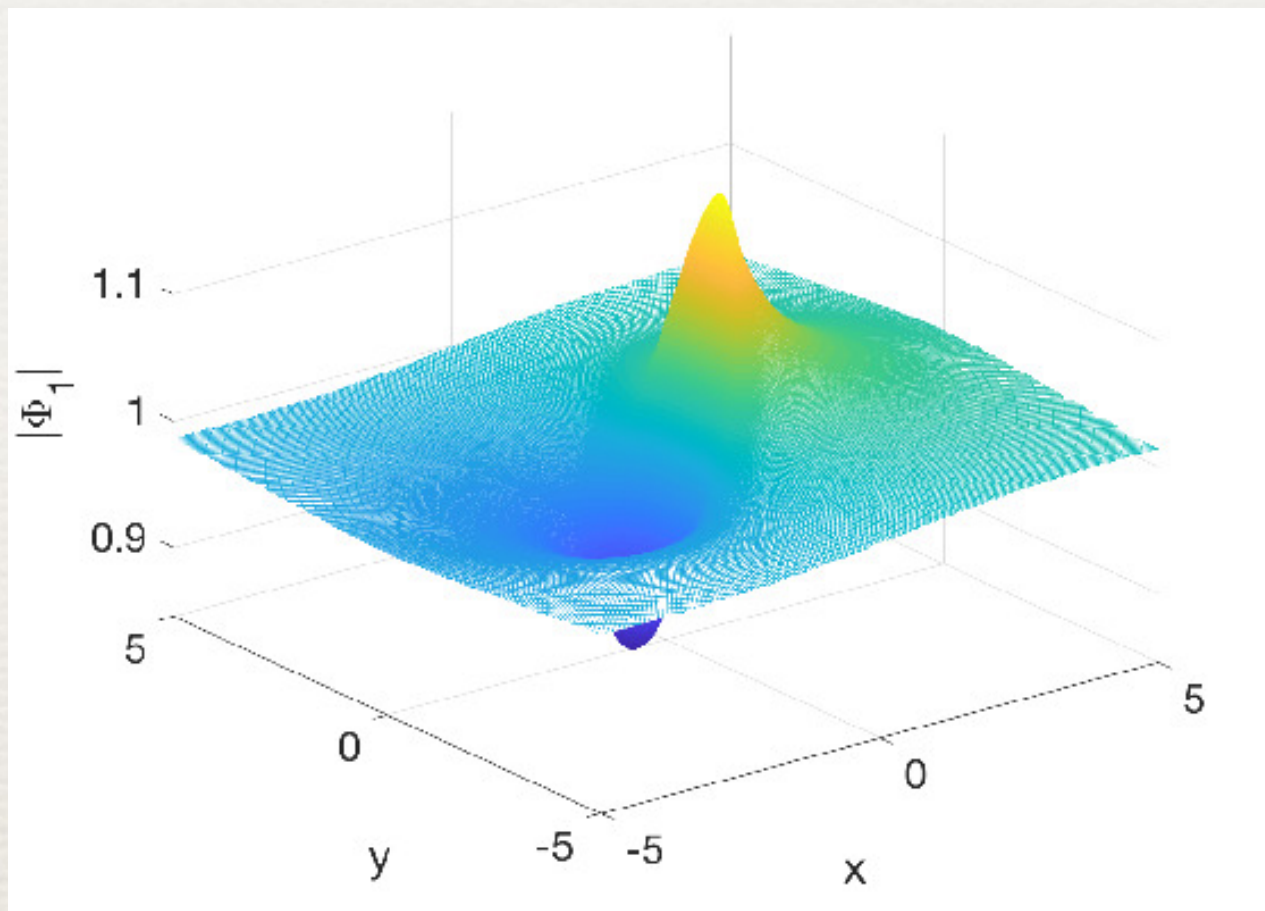
Compact support

- Potential with compact support on a simply connected domain, assume biholomorphic map to the unit disk (Hyvönen, Päivärinta, Tamminen 2017)
- use polar coordinates $z = re^{i\varphi}$, $k = \kappa e^{i\psi}$

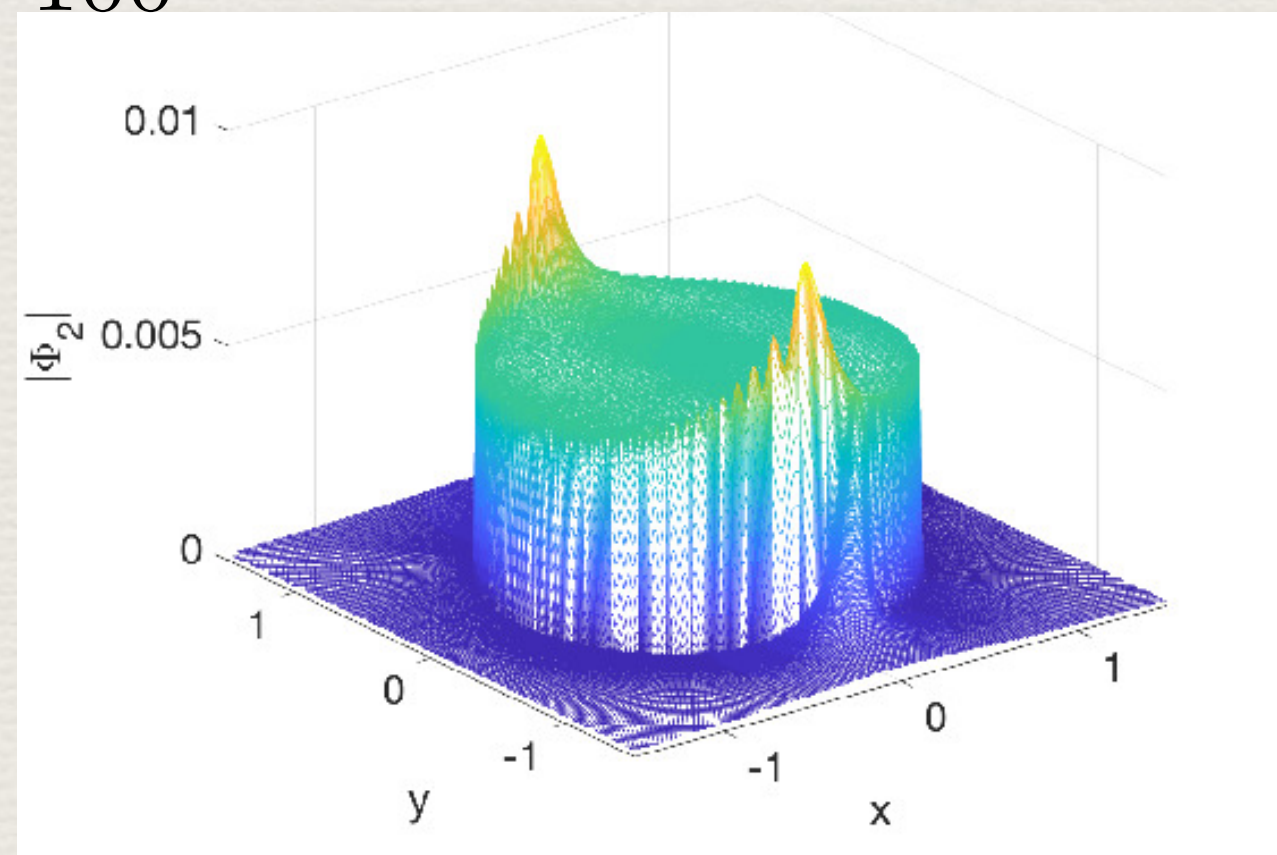
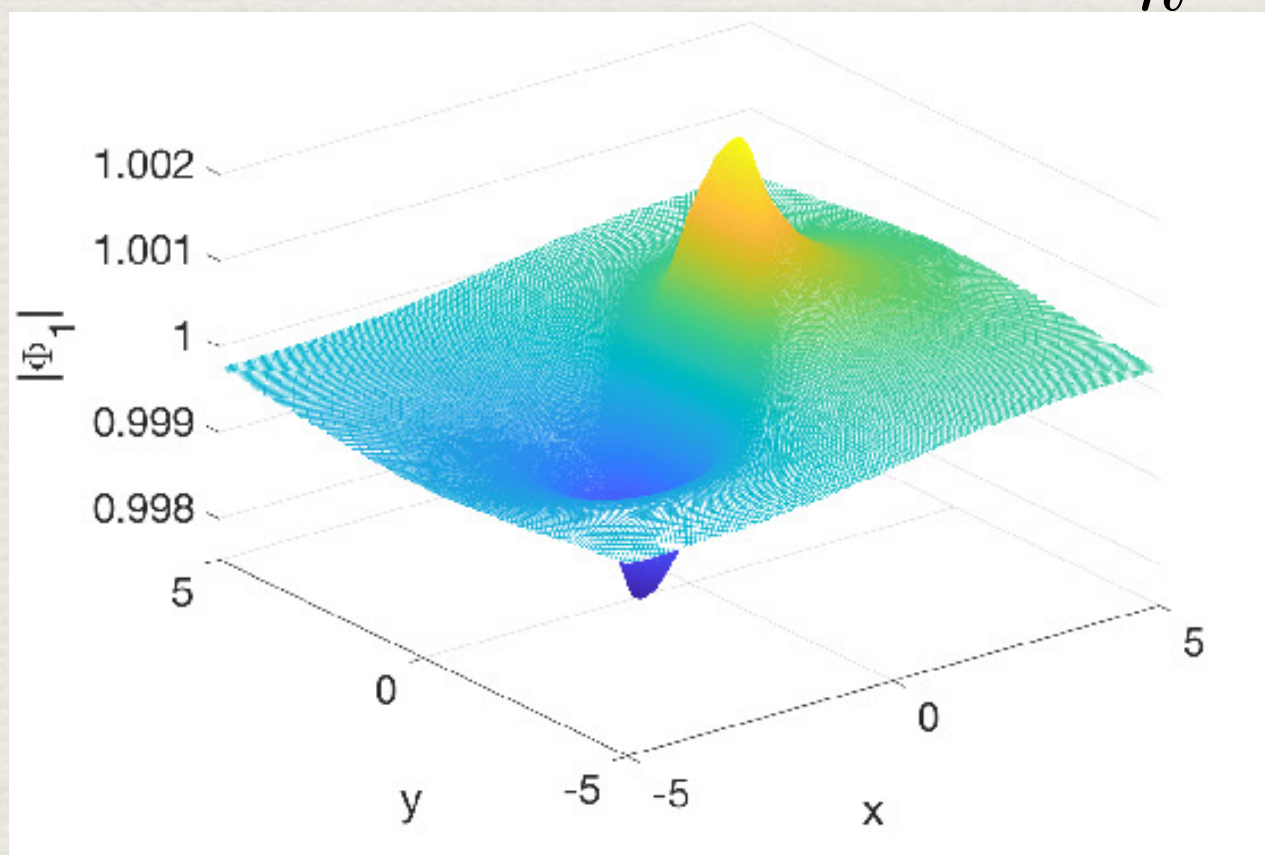
$$\left(\partial_r + \frac{i}{r} \partial_\varphi \right) \Phi_1 = q \exp(-2i\kappa r \cos(\varphi - \psi) - i\varphi) \Phi_2$$

$$\left(\partial_r - \frac{i}{r} \partial_\varphi \right) \Phi_2 = \bar{q} \exp(2i\kappa r \cos(\varphi - \psi) + i\varphi) \Phi_1$$

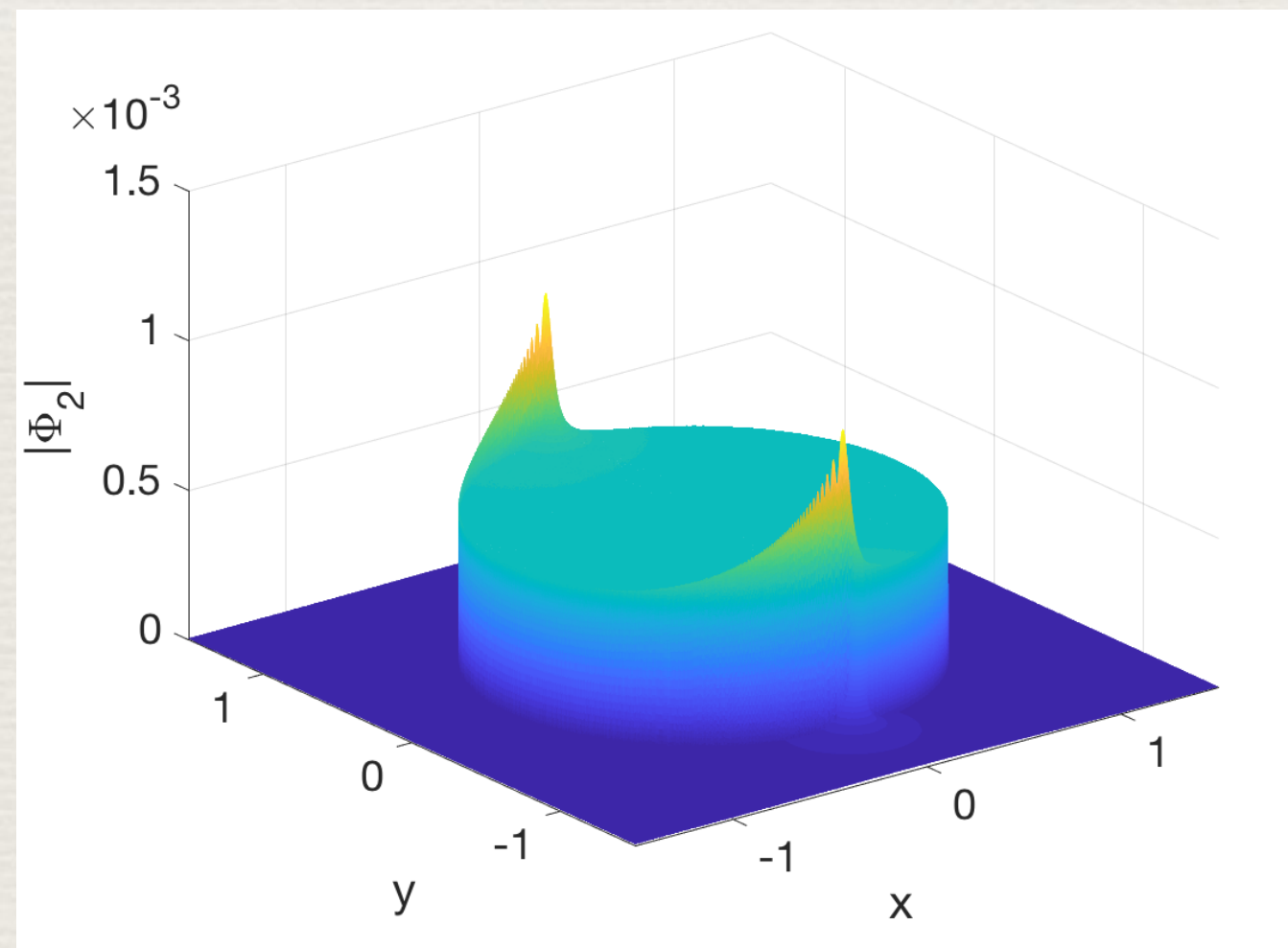
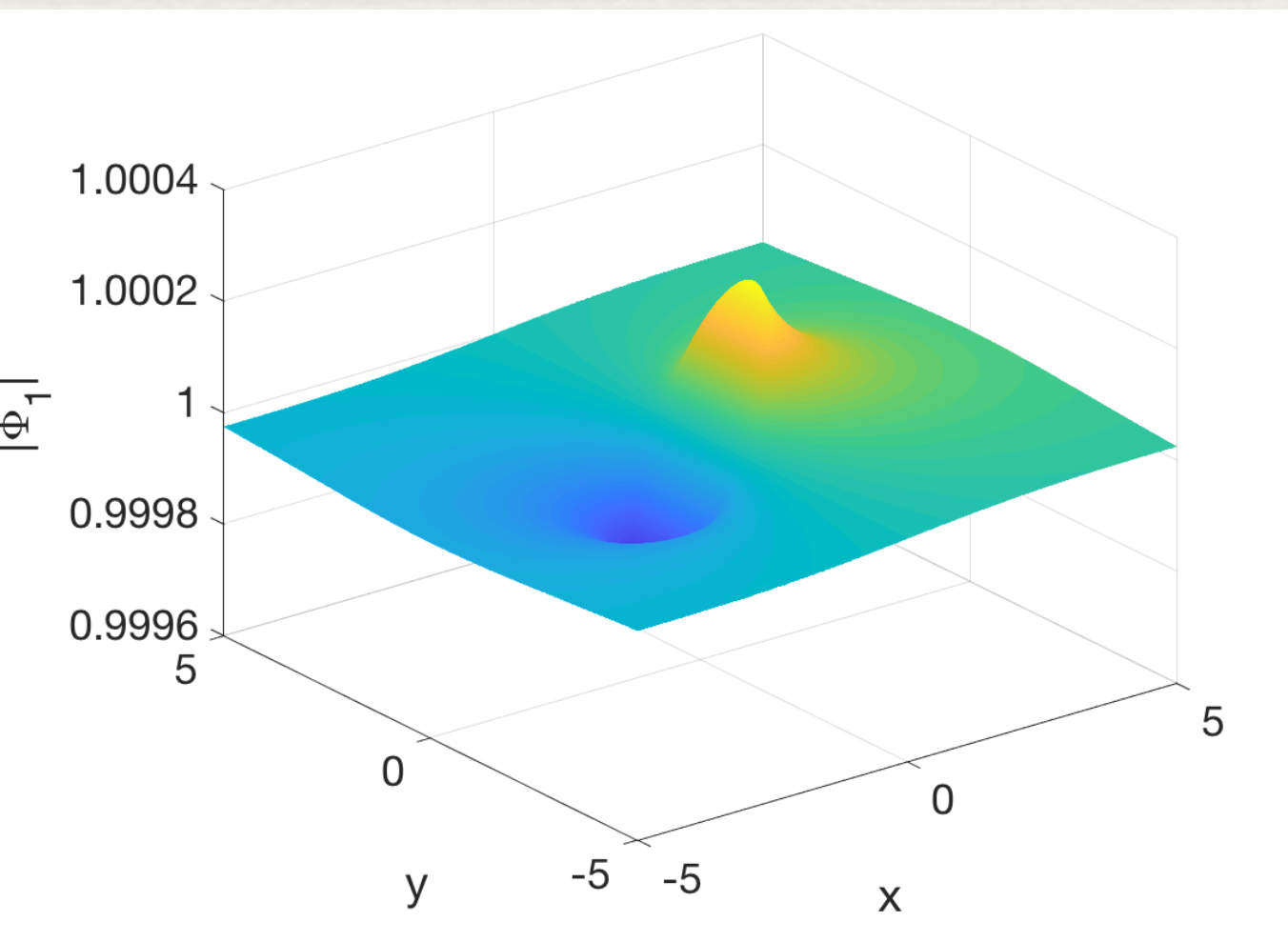
$$k = 1$$



$$k = 100$$



$$k = 1000$$



Large $|k|$ asymptotics

- Sjöstrand (2018): iterative solution of the d-bar system, initial iterate $\phi_1^0 = 1, \phi_2^0 = 0$

$$\begin{aligned}\phi_1 - \frac{1}{2}\bar{\partial}^{-1}(qe^{\bar{k}\bar{z}-kz}\phi_2) &= \bar{\partial}^{-1}\Psi_1 \\ \phi_2 - \frac{1}{2}\partial^{-1}(\bar{q}e^{kz-\bar{k}\bar{z}}\phi_1) &= \partial^{-1}\Psi_2\end{aligned}$$

of the form $(1 - \mathcal{K})\Phi = \Psi$

- Hörmander's solution of the d-bar equation with Carleman estimates in weighted L^2 spaces, $h = 1/|k| \ll 1$.
- Operator $\mathcal{K} = \mathcal{O}(1)$, but $\mathcal{K}^2 = \mathcal{O}(1/|k|)$. Therefore

$$(1 - \mathcal{K}^2)\Phi = (1 + \mathcal{K})\Psi,$$

convergence as the geometric series.

Theorem

Let $q \in \langle \cdot \rangle^{-2} H^s$ for some $s \in]1, 2]$ and fix $\epsilon \in]0, 1]$.

Then $\mathcal{K} = \mathcal{O}(1) : (\langle \cdot \rangle^\epsilon L^2)^2 \rightarrow (\langle \cdot \rangle^\epsilon L^2)^2$,

$$\mathcal{K}^2 = \mathcal{O}(h^{s-1}) : (\langle \cdot \rangle^\epsilon L^2)^2 \rightarrow (\langle \cdot \rangle^\epsilon L^2)^2.$$

For $h_0 > 0$ small enough and $0 < h \leq h_0$,

$1 - \mathcal{K} : (\langle \cdot \rangle^\epsilon L^2)^2 \rightarrow (\langle \cdot \rangle^\epsilon L^2)^2$ has a uniformly bounded inverse.

Characteristic function of a compact domain

Proposition:

Let q be the characteristic function of a strictly convex open set $\Omega \Subset \mathbb{C}$ with smooth boundary and fix $\epsilon \in]0, 1]$. Then the conclusions of the theorem hold with $s = 3/2$. In particular

$$\mathcal{K}^2 = \mathcal{O}(h^{1/2}).$$

Computation of an integral

- Leading order contribution to ϕ_2 :

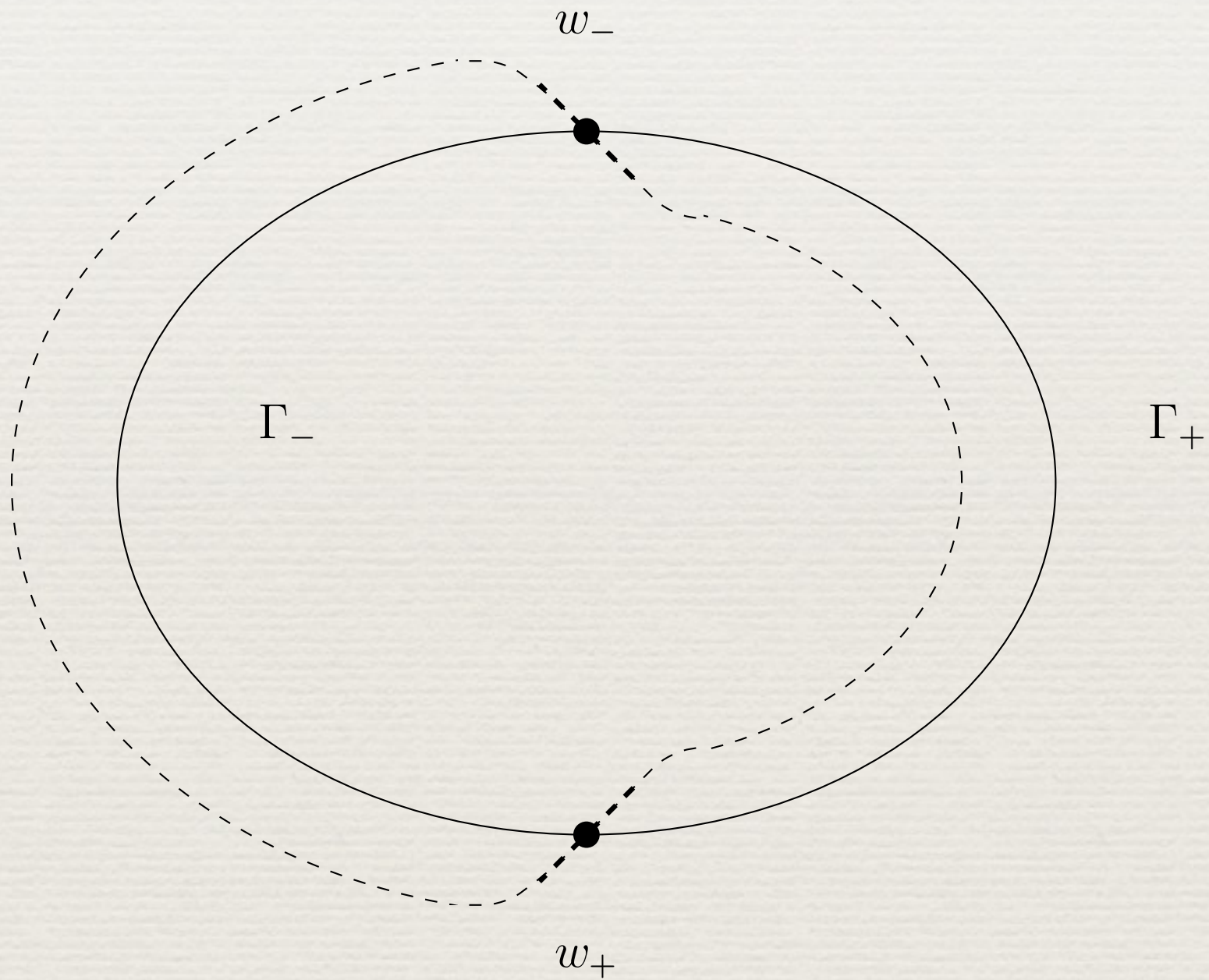
$$f(z, k) = \int_{\Omega} \frac{1}{z - w} e^{\overline{k}w - kw} L(dw) = \iint_{\Omega} \frac{e^{\overline{k}w - kw}}{z - w} \frac{d\overline{w} \wedge dw}{2i}, \quad (1)$$

- Stokes formula

$$\frac{1}{2i\overline{k}} \int_{\partial\Omega} \frac{1}{z - w} e^{\overline{k}w - kw} dw = \iint_{\Omega} \frac{e^{\overline{k}w - kw}}{z - w} \frac{d\overline{w} \wedge dw}{2i} - \begin{cases} 0 & \text{if } z \notin \Omega, \\ \frac{\pi}{\overline{k}} e^{\overline{k}z - kz}, & \text{if } z \in \Omega. \end{cases}$$

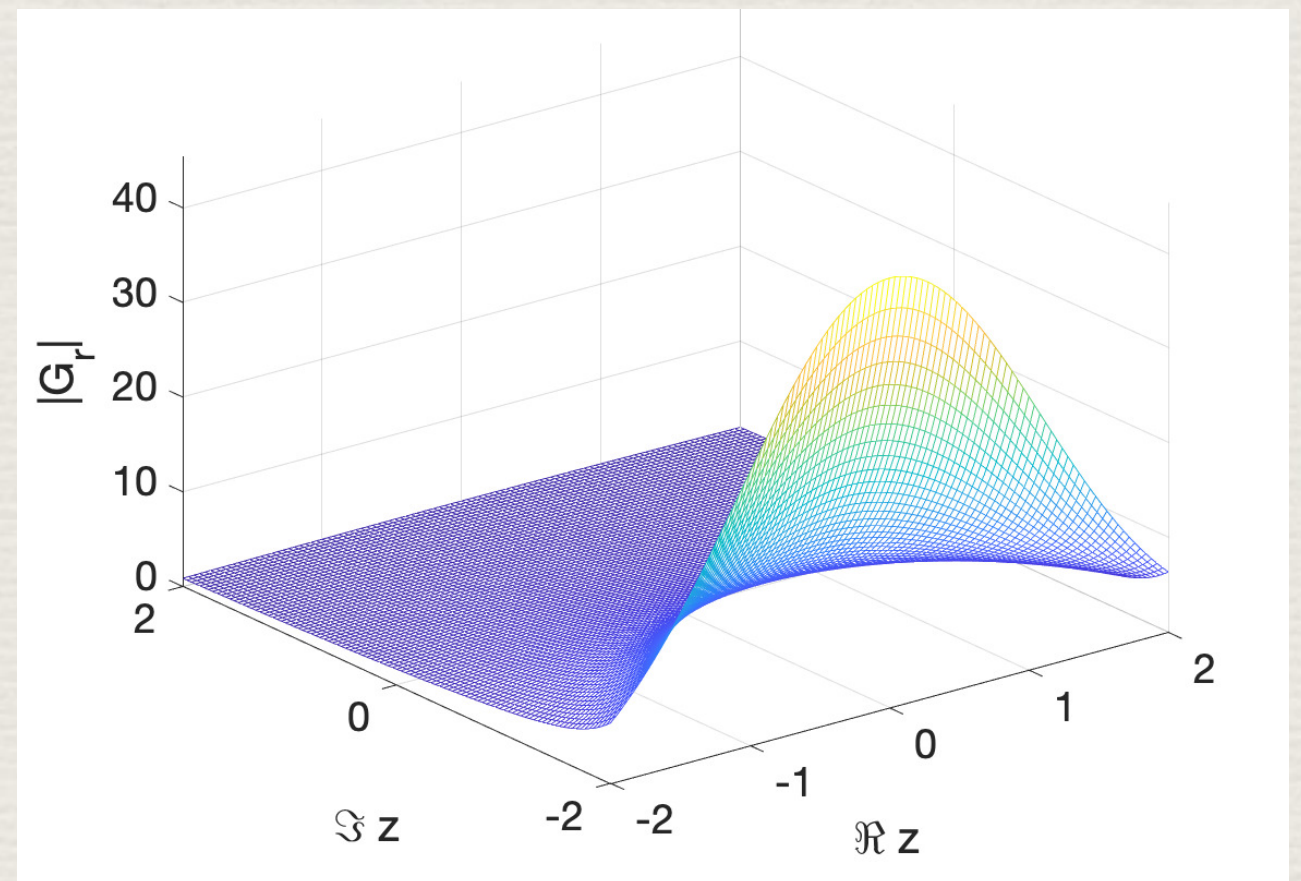
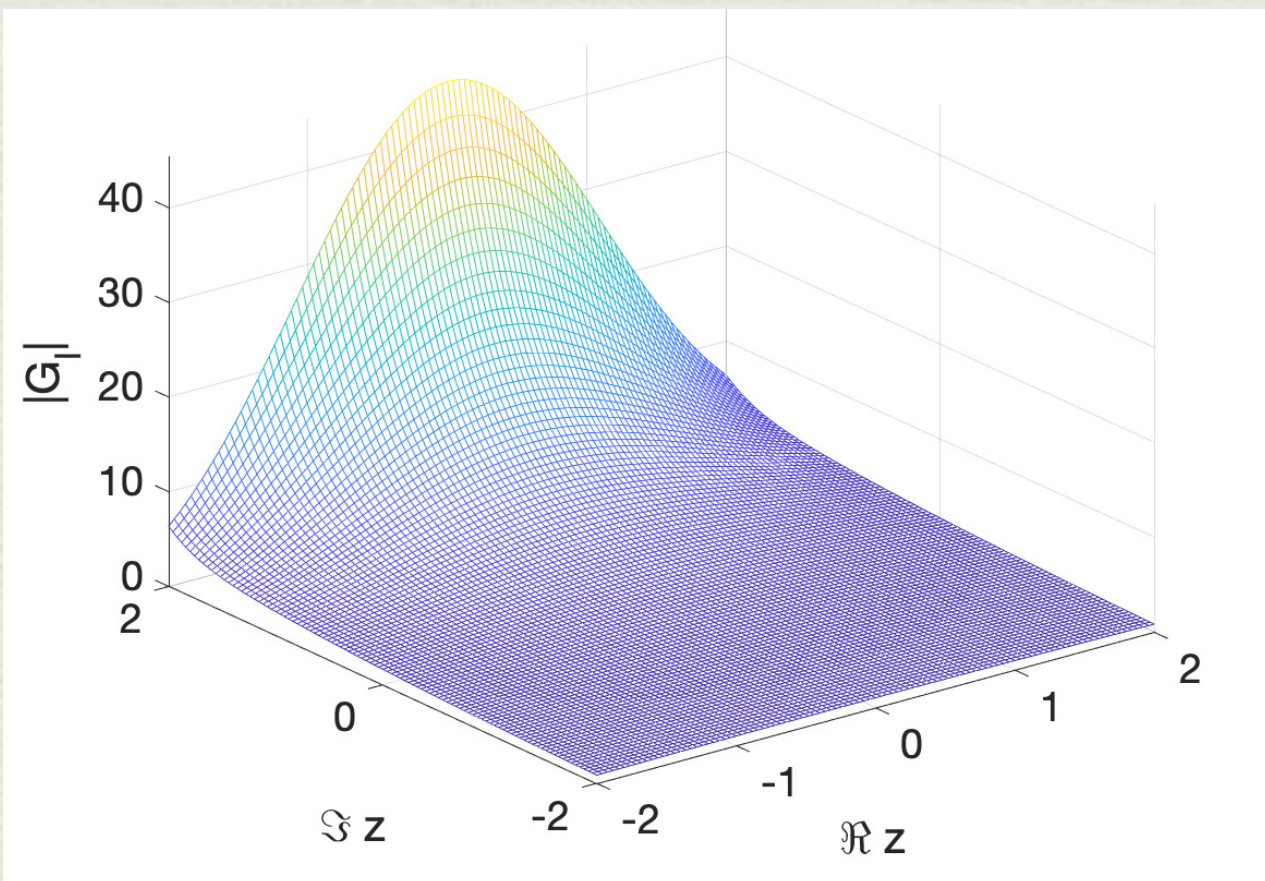
- analytical continuation and deformation of the integration contour, stationary phase approximation.

Deformed contour

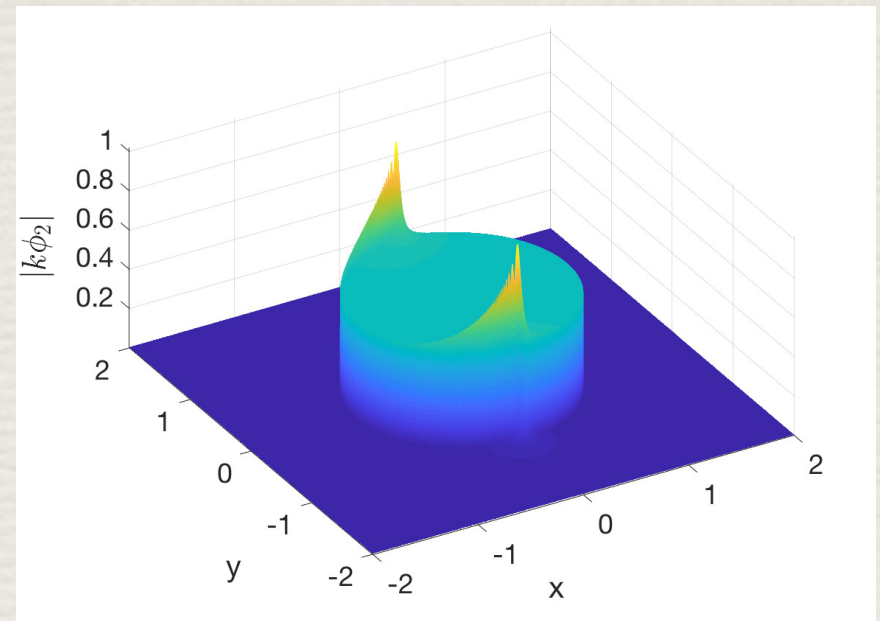
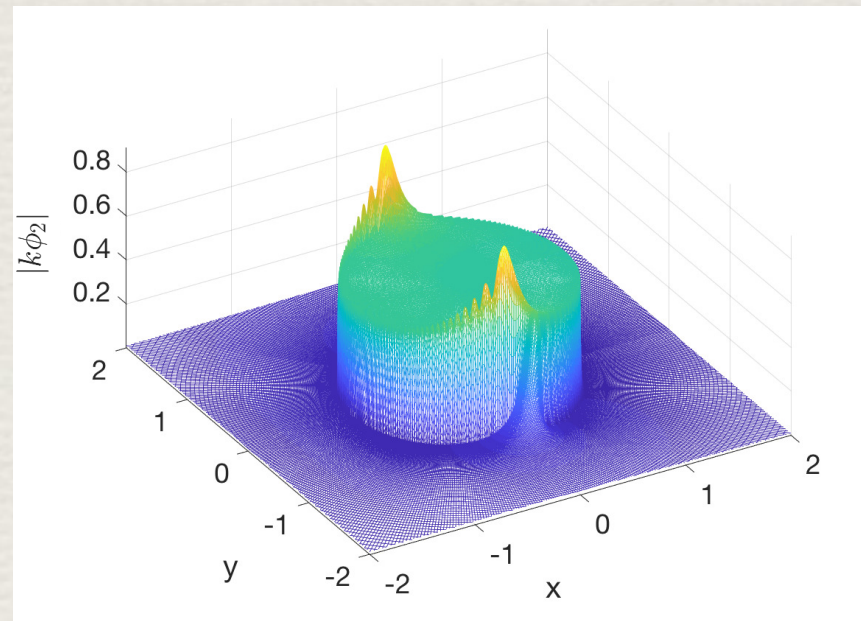
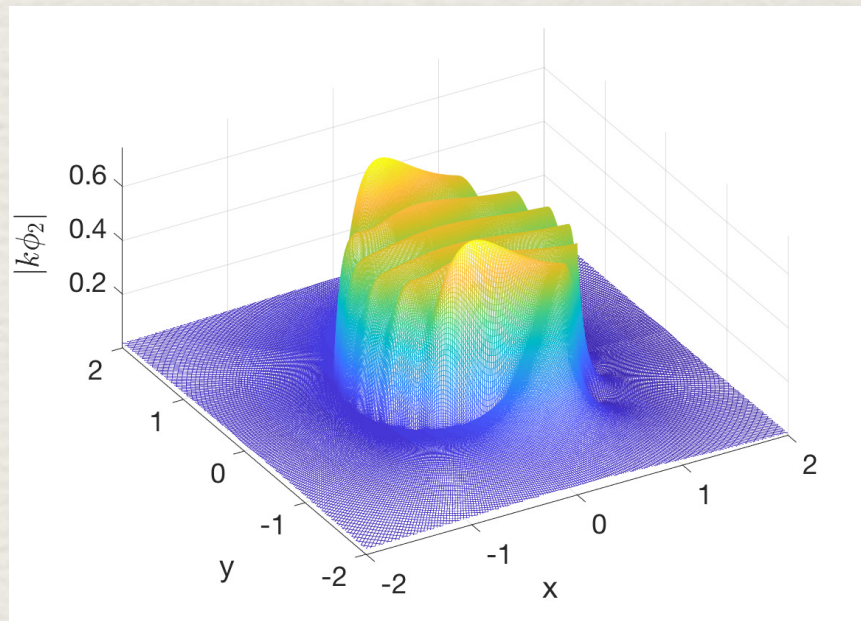
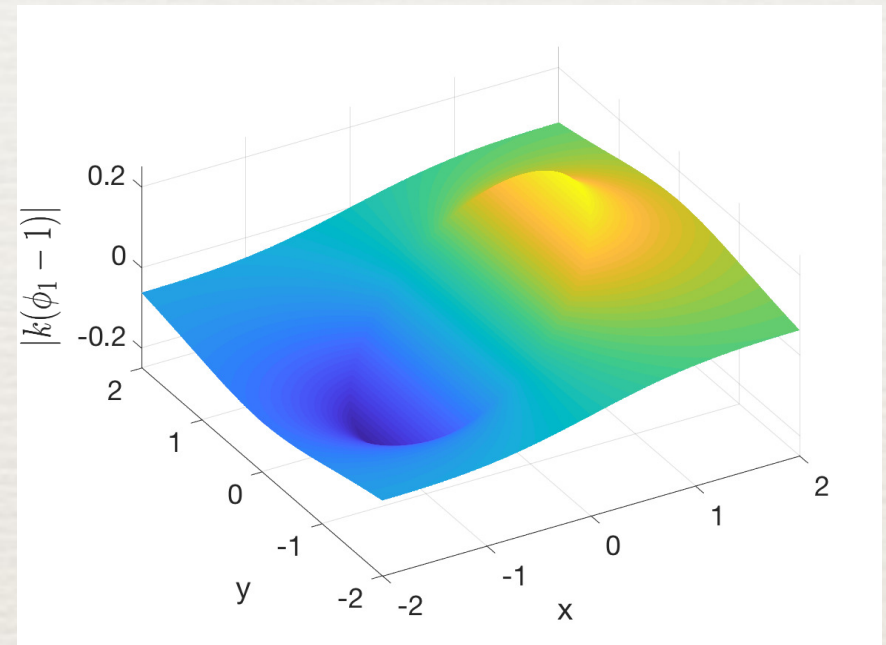
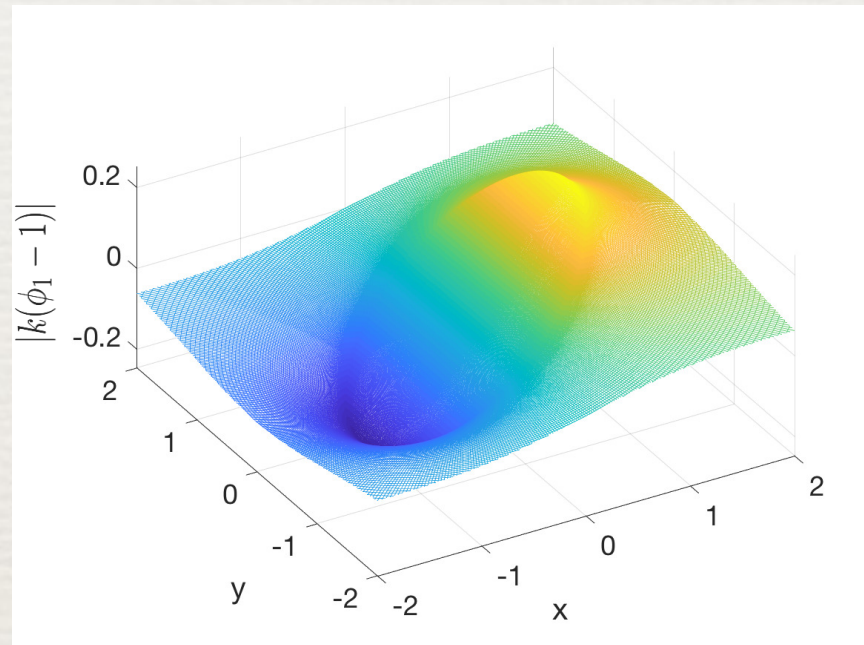
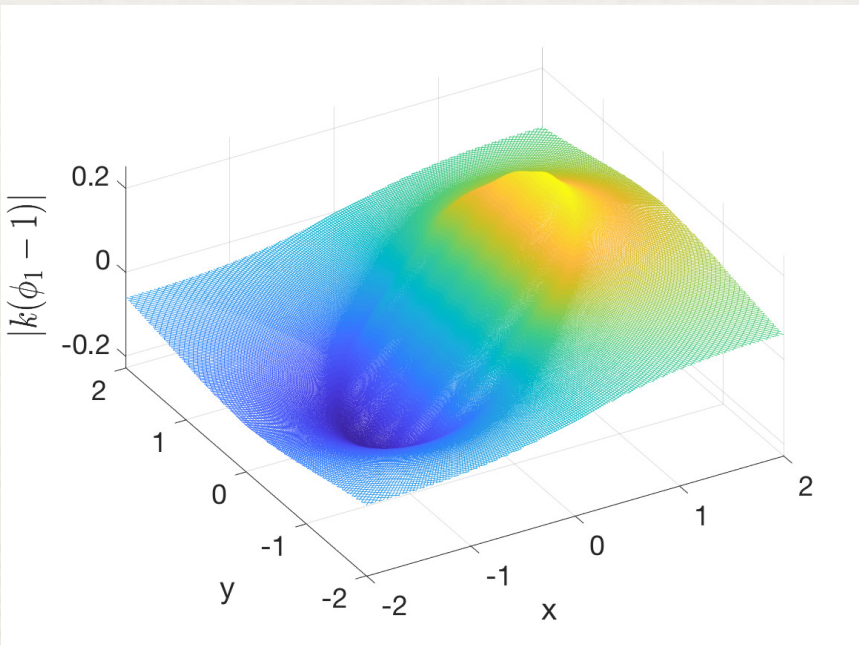


Transcendental function near north and south pole

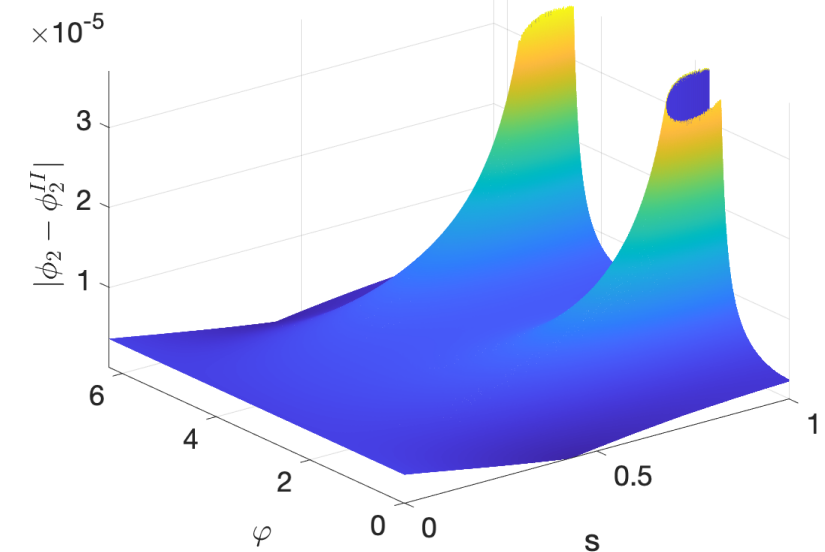
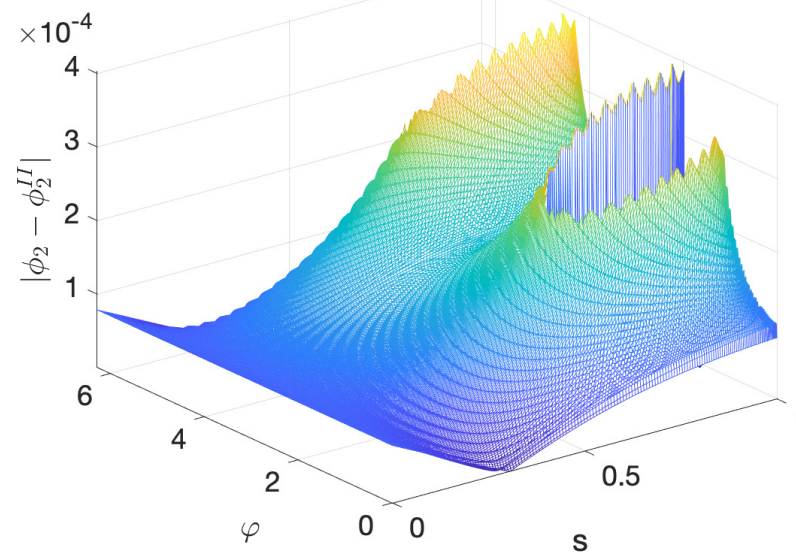
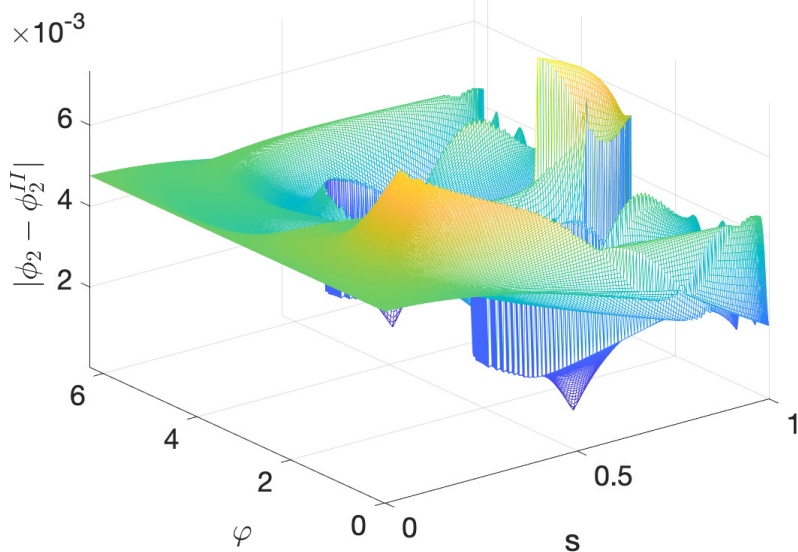
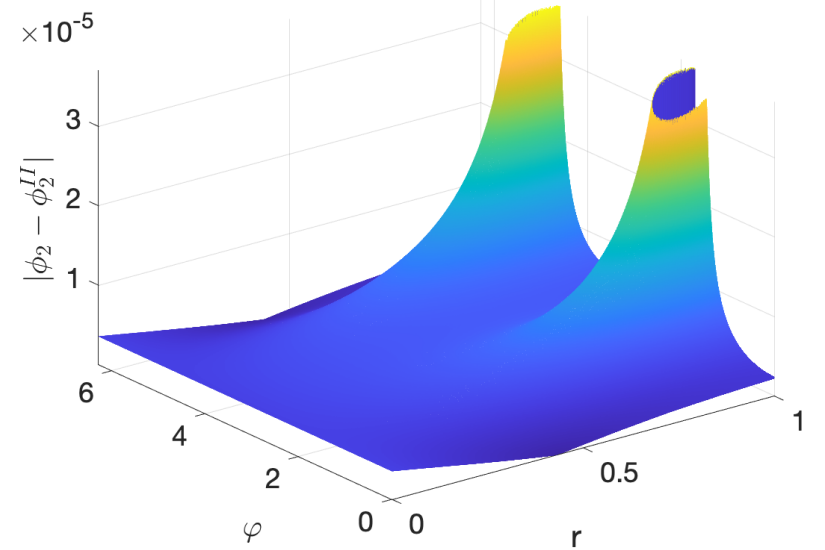
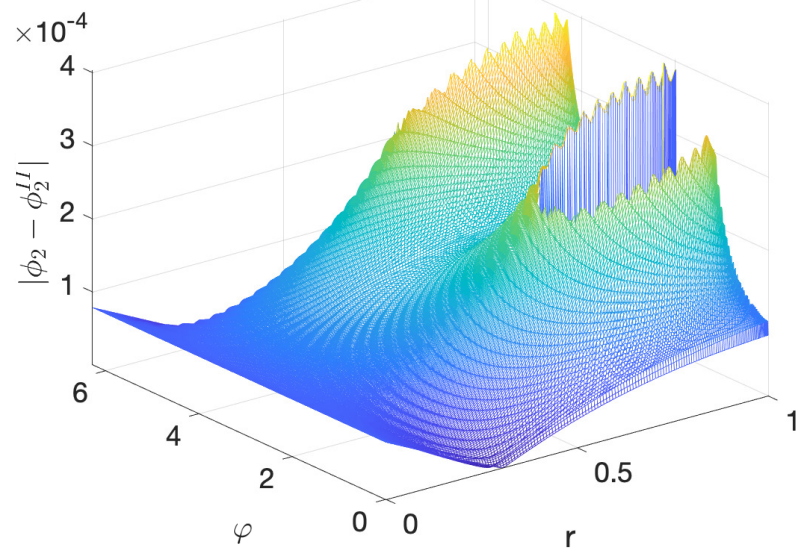
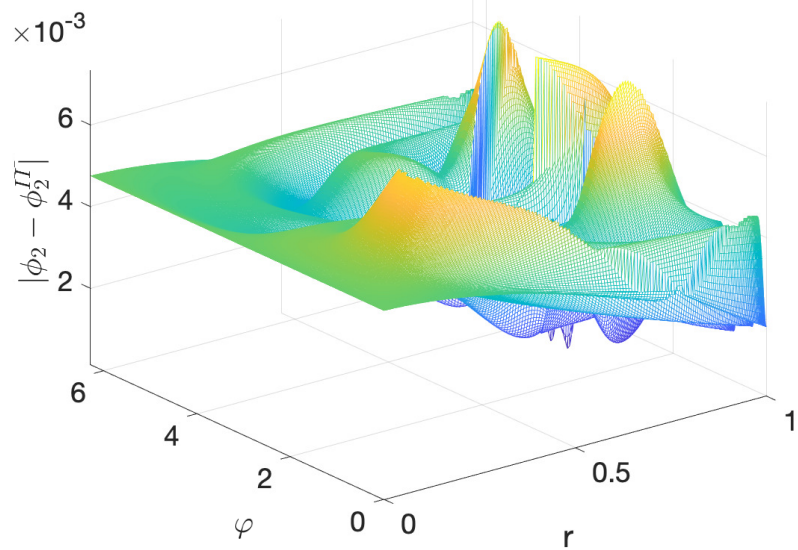
$$G(z) := \int_{-\infty}^{\infty} \frac{e^{-t^2/2}}{z - t} dt$$



Characteristic function of the disk



Asymptotic formulae



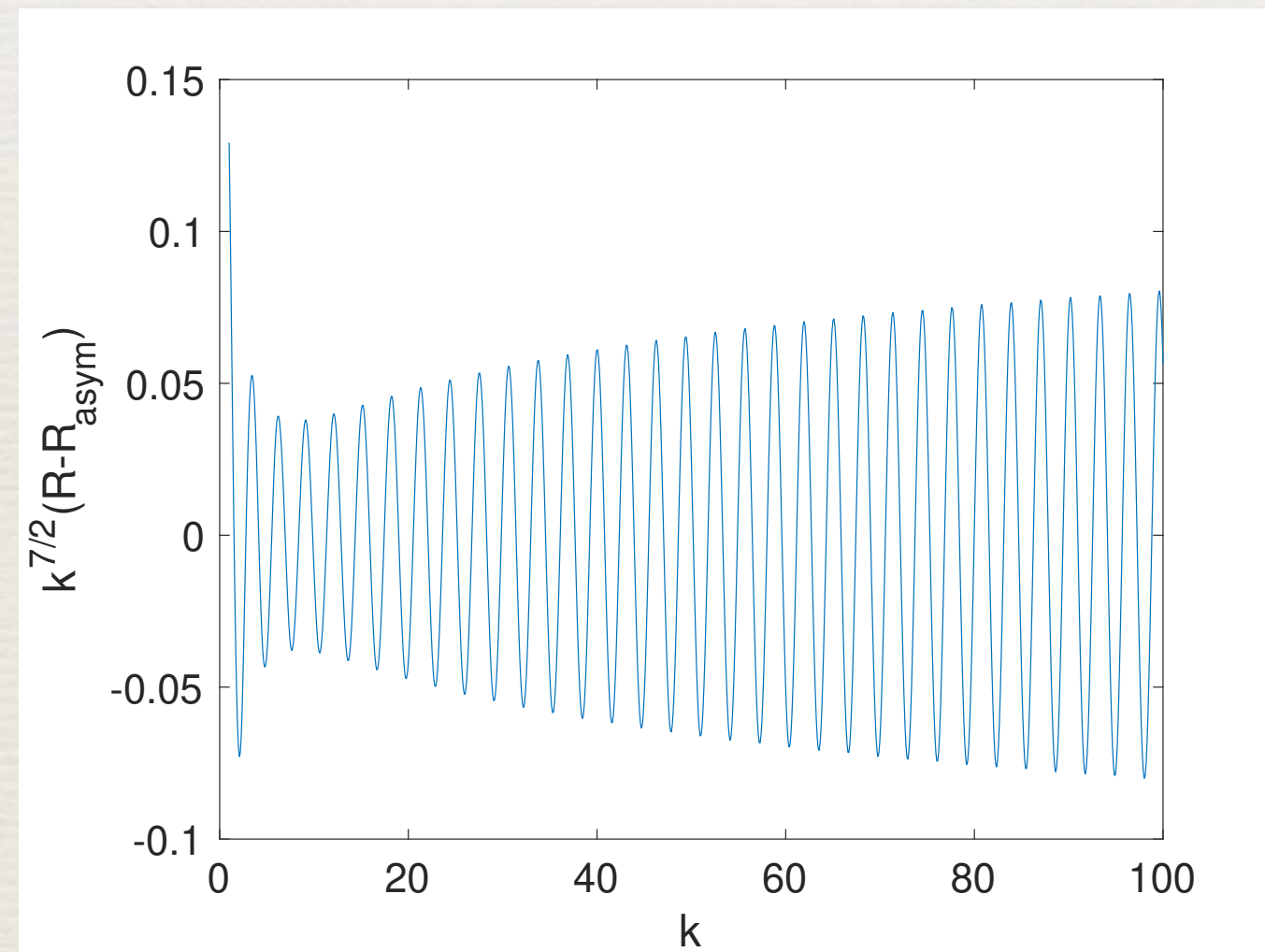
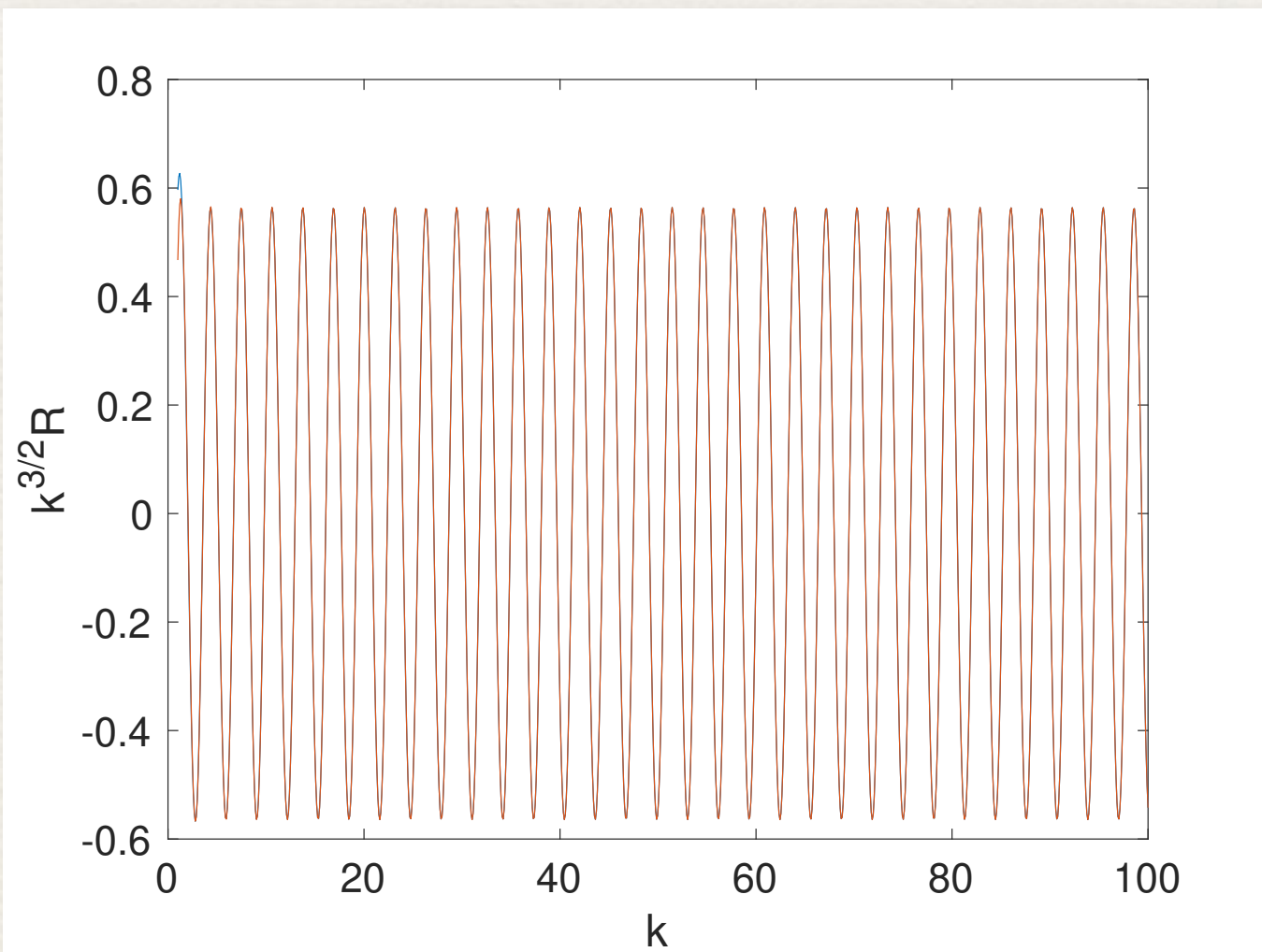
Reflection coefficient

- **Proposition** (Sjöstrand 2021):

$$\phi_1 = 1 + \frac{\bar{z}}{4k} + \mathcal{O}(|k|^{-2})$$

$$\bar{R} = \frac{2}{\pi} \int_{|z| \leq 1} e^{kw - \bar{k}\bar{w}} \phi_1 d^2 w \approx \frac{2}{\pi} \int_{|z| \leq 1} e^{kw - \bar{k}\bar{w}} \left(1 + \frac{\bar{w}}{4k}\right) d^2 w. \quad (1)$$

$$R \approx R_{asy} := \frac{1}{\sqrt{\pi k^3}} \left(\sin(2k - \pi/4) - \frac{5}{16k} \cos(2k - \pi/4) \right). \quad (2)$$



Outlook

- ♦ conformal transformations of compact domains with analytic boundary to the circle
- ♦ \bar{d} -bar problems for potentials with algebraic decay, hybrid approaches
- ♦ DS solution for the disk
- ♦ focusing DS, exceptional points
- ♦ blow-up in DS I solutions

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